

Cryptographie post-quantique : étude du décodage des codes QC-MDPC

Soutenance de thèse

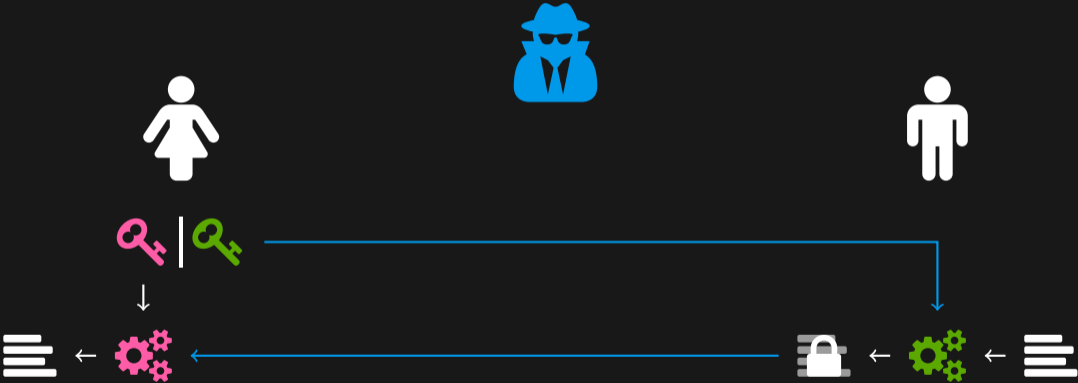
Valentin Vasseur

Université de Paris
Inria

Monday 29th March, 2021

Introduction

Public key cryptography



Post-quantum cryptography

Quantum algorithm for cryptography

[Sho99]: Factorization & Discrete logarithm.

Post-quantum cryptography

Aims at being secure against an adversary with a quantum computer.

NIST Post-Quantum Cryptography Standardization Process — Round 3

	PKE/KEM	Signature
Code	3	0
Lattice	5	2
Hash	0	1
Isogeny	1	0
Multivariate	0	2
Zero-knowledge	0	1

Classic McEliece
BIKE
HQC

Coding theory

(Binary) Linear code

\mathbb{F}_2 -linear code \mathcal{C} of length n and dimension k :

linear subspace of \mathbb{F}_2^n of dimension k .

Generator matrix

Generator matrix $\mathbf{G} \in \mathbb{F}_2^{k \times n}$:

rows form a basis of \mathcal{C} .

Parity check matrix

Parity check matrix $\mathbf{H} \in \mathbb{F}_2^{(n-k) \times n}$:

$\mathcal{C} = \{\mathbf{c} \in \mathbb{F}_2^n \mid \mathbf{H}\mathbf{c}^T = \mathbf{0}\}$.

Syndrome

Syndrome of $\mathbf{x} \in \mathbb{F}_2^n$:

$\mathbf{H}\mathbf{x}^T \in \mathbb{F}_2^{n-k}$.

Hard problems in code-based cryptography

Syndrome Decoding – SD

Instance: A parity check matrix $\mathbf{H} \in \mathbb{F}_2^{(n-k) \times n}$, a syndrome $\mathbf{s} \in \mathbb{F}_2^{n-k}$, a target weight t .

Property: There exists $\mathbf{e} \in \mathbb{F}_2^n$ such that $|\mathbf{e}| = t$ and $\mathbf{H}\mathbf{e}^T = \mathbf{s}$.

Codeword Finding – CF

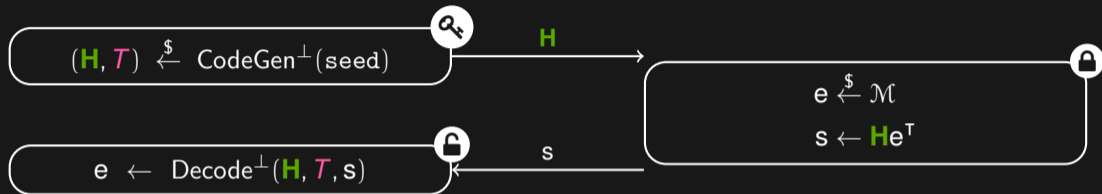
Instance: A parity check matrix $\mathbf{H} \in \mathbb{F}_2^{(n-k) \times n}$, a target weight $w > 0$.

Property: There exists $\mathbf{e} \in \mathbb{F}_2^n$ such that $|\mathbf{e}| = w$ and $\mathbf{H}\mathbf{e}^T = \mathbf{0}$.

They were proven to be NP-complete in [BMT78].

Elwyn Berlekamp, Robert McEliece and Henk van Tilborg. 'On the inherent intractability of certain coding problems'. In: *IEEE Transactions on Information Theory* 3 (May 1978).

Niederreiter cryptosystem [Nie86]



- CodeGen^\perp : Generates a **public parity check matrix** and a **private trapdoor**.
- Decode^\perp : Polynomial time decoder for any syndrome constructed from \mathcal{M} .

Security relies on the difficulty of SD and the difficulty of finding the trapdoor.

Harald Niederreiter. 'Knapsack-type cryptosystems and algebraic coding theory'. In: *Problems of Control and Information Theory 2* (1986).

Quasi-cyclic code

Circulant matrix

A circulant matrix is a matrix of the form

$$\mathbf{H} = \begin{pmatrix} h_0 & h_1 & \dots & h_{r-2} & h_{r-1} \\ h_{r-1} & h_0 & h_1 & & h_{r-2} \\ \vdots & h_{r-1} & h_0 & \ddots & \vdots \\ h_2 & & \ddots & \ddots & h_1 \\ h_1 & h_2 & \dots & h_{r-1} & h_0 \end{pmatrix} = \begin{pmatrix} h_0 & h_1 & \dots & h_{r-2} & h_{r-1} \\ \mathbf{C} & & & & \end{pmatrix}.$$

Quasi-cyclic code

A quasi-cyclic code has a parity check matrix consisting of circulant blocks.

Double-circulant code

A double-circulant code has a parity check matrix consisting of two circulant blocks

$$\mathbf{H} = \begin{pmatrix} h_0 & h_1 \\ \mathbf{C} & \mathbf{C} \end{pmatrix}.$$

Polynomial representation

Polynomial \leftrightarrow Circulant matrix

$$\mathbf{H} = \begin{pmatrix} h_0 & h_1 & \dots & h_{r-2} & h_{r-1} \\ h_{r-1} & h_0 & h_1 & & h_{r-2} \\ \vdots & h_{r-1} & h_0 & \ddots & \vdots \\ h_2 & & \ddots & \ddots & h_1 \\ h_1 & h_2 & \dots & h_{r-1} & h_0 \end{pmatrix} \xrightarrow{\sim} h_0 + h_1x + \dots + h_{r-2}x^{r-2} + h_{r-1}x^{r-1} =: \mathbf{h}$$

$r \times r$ circulant matrices $\simeq \mathbb{F}_2[x]/(x^r - 1) =: \mathcal{R}$

Underlying problems and best known attacks

QC Syndrome Decoding – QCSD

Instance: $(h, s) \in \mathcal{R}^2$, an integer $t > 0$.

Property: There exists $(e_0, e_1) \in \mathcal{R}^2$ such that $e_0 + e_1 h = s$ and $|e_0| + |e_1| = t$.

QC Codeword Finding – QCCF

Instance: $h \in \mathcal{R}$, an even integer $w > 0$.

Property: There exists $(h_0, h_1) \in \mathcal{R}^2$ such that $h_1 + h_0 h = 0$ and $|h_0| + |h_1| = w$.

Asymptotically [CS15] best known attacks still cost the same as [Pra62], and with [Sen11]:

■ for QCSD, $\frac{2^{t(1+o(1))}}{\sqrt{r}}$ operations,

■ for QCCF, $\frac{2^{w(1+o(1))}}{r}$ operations.

Rodolfo Canto Torres and Nicolas Sendrier. 'Analysis of Information Set Decoding for a Sub-linear Error Weight'. In: *Post-Quantum Cryptography (PQCrypto)*. 2015.

Eugene Prange. 'The use of information sets in decoding cyclic codes'. In: *IRE Transactions on Information Theory* 5 (Sept. 1962).

Nicolas Sendrier. 'Decoding One Out of Many'. In: *Post-Quantum Cryptography (PQCrypto)*. 2011.

Low / Moderate Density Parity Check codes

	LDPC	MDPC
Row weight	$w = \Theta(1)$	$w = \Theta(\sqrt{n})$
Decoding capability	$t = \Theta(n)$	$t = \Theta(\sqrt{n})$

LDPC decoding algorithms can decode t errors with $t \cdot w < c \cdot n$ for some constant $c < 1$.

Tradeoff between security and code length achieved for $t = \Theta(\sqrt{n})$ and $w = \Theta(\sqrt{n})$.

Quasi-Cyclic Moderate Density Parity Check [MTSB13]

A $[n = 2r, r]$ QC-MDPC code has a quasi-cyclic parity check matrix $\begin{pmatrix} h_0 & h_1 \\ \mathbf{C} & \mathbf{C} \end{pmatrix}$ of row weight $w = \Theta(\sqrt{n})$.

Rafael Misoczki, Jean-Pierre Tillich, Nicolas Sendrier and Paulo S. L. M. Barreto. 'MDPC-McEliece: New McEliece variants from Moderate Density Parity-Check codes'. In: *IEEE International Symposium on Information Theory (ISIT)*. 2013.

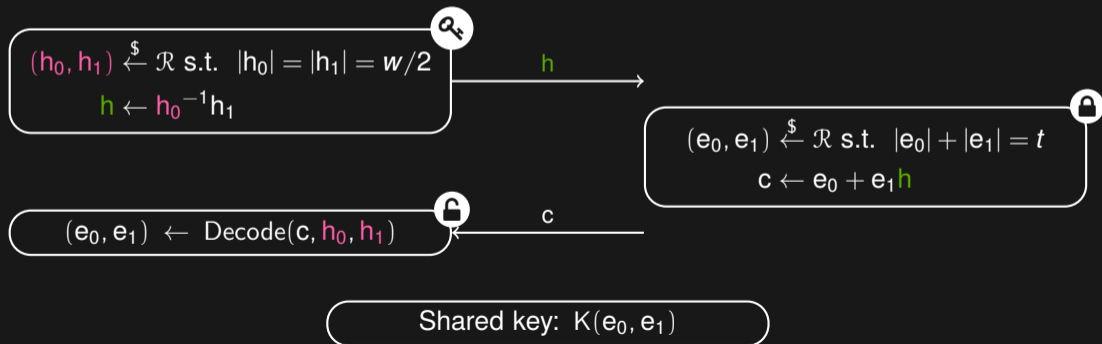
BIKE

Parameters

- r : block size,
- w : row weight,
- t : error weight.

Decoding

Decoding done with an efficient iterative probabilistic algorithm. It has a Decoding Failure Rate (DFR).



Needs a semantic security conversion to meet IND-CPA or IND-CCA requirements.

Summary on security

Requirements for λ bits of security [FO99; HHK17]

1. QCSD costs 2^λ operations,
 2. QCCF costs 2^λ operations,
 3. $\text{DFR} \leq 2^{-\lambda}$.
- } IND-CPA }
} IND-CCA

- [GJS16] attack costs in the order of $\frac{1}{\text{DFR}}$ operations.

Eiichiro Fujisaki and Tatsuaki Okamoto. 'Secure Integration of Asymmetric and Symmetric Encryption Schemes'. In: *CRYPTO'99*. Santa Barbara, CA, USA, Aug. 1999.

Dennis Hofheinz, Kathrin Hövelmanns and Eike Kiltz. 'A modular analysis of the Fujisaki-Okamoto transformation'. In: *Theory of Cryptography Conference*. Springer. 2017.

Qian Guo, Thomas Johansson and Paul Stankovski. 'A Key Recovery Attack on MDPC with CCA Security Using Decoding Errors'. In: *Advances in Cryptology - ASIACRYPT*. 2016.

[BIKE] IND-CCA parameters

Parameters: $r, w, t \in \mathbb{N}, n = 2r, w \simeq t \simeq \sqrt{n}$

λ	r	n	w	t
128	12 323	24 646	142	134
192	24 659	49 318	206	199
256	40 973	81 946	274	264

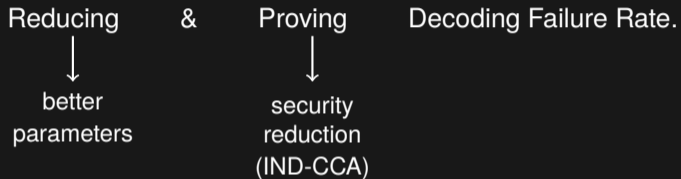
Carlos Aguilar Melchor, Nicolas Aragon, Paulo S L M Barreto, Slim Bettaleb, Loïc Bidoux, Olivier Blazy, Jean-Christophe Deneuville, Philippe Gaborit, Ghosh Santosh, Shay Gueron, Tim Güneysu, Rafael Misoczki, Edoardo Persichetti, Nicolas Sendrier, Jean-Pierre Tillich, Valentin Vasseur and Gilles Zémor. *BIKE*. Aug. 2020.

NIST about BIKE

- “BIKE as one of the most promising code-based candidates”
- “serious questions about side-channel protections and CCA security”
- “need to be resolved before BIKE can be considered for standardization”
- “more time will be needed to address the security concerns listed”
- “not chosen to be a finalist but will advance to the third round for more study”

Gorjan Alagic, Jacob Alperin-Sheriff, Daniel Apon, David Cooper, Quynh Dang, John Kelsey, Yi-Kai Liu, Carl Miller, Dustin Moody, Rene Peralta, Ray Perlner, Angela Robinson and Daniel Smith-Tone. ‘Status Report on the Second Round of the NIST Post-Quantum Cryptography Standardization Process’. In: (July 2020).

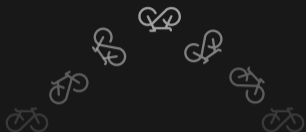
Goal



→ Improve performance and confidence in the system.

Contributions

- New decoders with low complexity and high performance
 - Backflip
 - Grey decoders
- Design statistical models
 - Precise model of one iteration accounting for the regularity of the code
 - Full Markovian model of a sequential decoder
- Estimate DFR
 - Extrapolation framework with confidence intervals based on decoding assumption
 - Analysis of weak keys with combinatorial properties that hinder decoding
 - Analysis of error floors



New decoding algorithm: Backflip

Nicolas Sendrier and Valentin Vasseur. 'About Low DFR for QC-MDPC Decoding'. In: *Post-Quantum Cryptography (PQCrypto)*. Paris, France, Apr. 2020

Original bitflipping algorithm

input : $\mathbf{H} \in \mathbb{F}_2^{r \times n}$, $\mathbf{s} = \mathbf{H}\mathbf{e}^T \in \mathbb{F}_2^r$ with $|\mathbf{e}| \leq t$

output : $\mathbf{e}' \in \mathbb{F}_2^n$ s.t. $\mathbf{H}\mathbf{e}'^T = \mathbf{s}$

$\mathbf{e}' \leftarrow \mathbf{0}$; $\mathbf{s}' \leftarrow \mathbf{s} - \mathbf{H}\mathbf{e}'^T$;

while $\mathbf{s}' \neq \mathbf{0}$ **do**

```
     $T \leftarrow \text{threshold}(\text{context})$  ;  
    for  $j \in \{0, \dots, n-1\}$  do  
        if  $|\mathbf{s}' \star \mathbf{h}_j| \geq T$  then  
             $e'_j \leftarrow 1 - e'_j$  ;  
     $\mathbf{s}' \leftarrow \mathbf{s} - \mathbf{H}\mathbf{e}'^T$ ;
```

return \mathbf{e}' ;

\mathbf{H} : Parity check matrix

\mathbf{h}_j : j -th column of \mathbf{H}

$|\mathbf{s}' \star \mathbf{h}_j|$: counter of position j

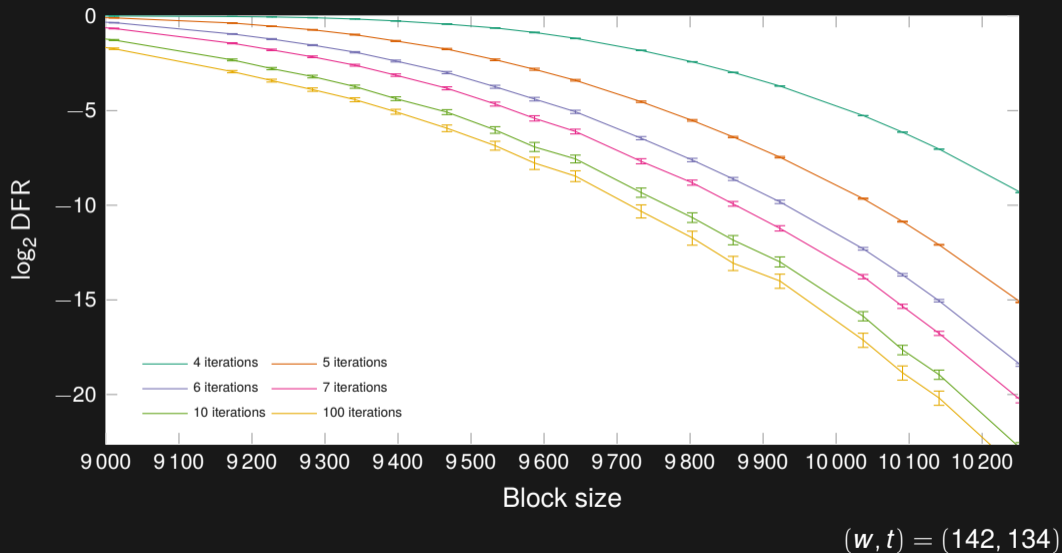
i.e. # unsatisfied equations

Problem of the original algorithm

Algorithm takes bad decisions (adds errors):

- hard to detect,
- hinder progress when too many.

Classic bitflipping



Backflip ideas

Soft decision decoder

A soft decision decoder handles probabilities rather than bits

- ⇒ better decoding performance,
- ⇒ not as computationally efficient.

Backflip

- Approach soft decision decoding:
 - limit the impact of a flip based on reliability,
 - counters give a reliability information.
- Each flip has a time-to-live (a few iterations):
 - for each flip, a $\tau+1$ is computed,
 - most reliable flips live longer,
 - at each iteration revert expired flips.

Backflip algorithm

input : $\mathbf{H} \in \mathbb{F}_2^{r \times n}$, $\mathbf{s} = \mathbf{H}\mathbf{e}^\top \in \mathbb{F}_2^r$ with $|\mathbf{e}| \leq t$

output : $\mathbf{e}' \in \mathbb{F}_2^n$ s.t. $\mathbf{H}\mathbf{e}'^\top = \mathbf{s}$

$\mathbf{e}' \leftarrow \mathbf{0}$; $\mathbf{s}' \leftarrow \mathbf{s} - \mathbf{H}\mathbf{e}'^\top$; $\mathbf{D} \leftarrow \mathbf{0}$;

while $\mathbf{s}' \neq \mathbf{0}$ **do**

for $j \in \{0, \dots, n-1\}$ **do**

$D_j \leftarrow D_j - 1$;

if $D_j = 0$ **then** $e'_j \leftarrow 0$;

$\mathbf{s}' \leftarrow \mathbf{s} - \mathbf{H}\mathbf{e}'^\top$; $T \leftarrow \text{threshold}(\text{context})$;

for $j \in \{0, \dots, n-1\}$ **do**

if $|\mathbf{s}' \star \mathbf{h}_j| \geq T$ **then**

$e'_j \leftarrow 1 - e'_j$;

$D_j \leftarrow \text{ttl}(|\mathbf{s}' \star \mathbf{h}_j|)$

$\mathbf{s}' \leftarrow \mathbf{s} - \mathbf{H}\mathbf{e}'^\top$;

return \mathbf{e}' ;

\mathbf{H} : parity check matrix

\mathbf{h}_j : j -th column of \mathbf{H}

$|\mathbf{s}' \star \mathbf{h}_j|$: counter of position j

i.e. # unsatisfied equations

D : time-to-live of flips

Low additional cost of our variant

- each flip has a time-to-live,
- need extra memory to store,
- obsolete flips are reverted first at each iteration.

Thresholds and time-to-live function

Idea

ttl is an increasing function of the counter value σ .

Implementation

Thresholds T_1, T_2, \dots, T_ℓ : A flip survives i iterations if its counter is above T_i .

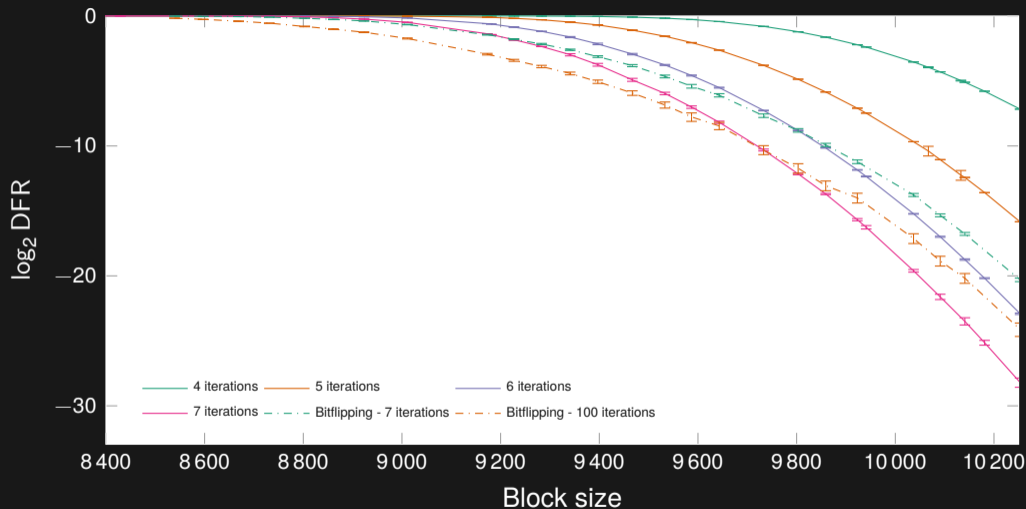
$$n \binom{w/2}{T_i} \pi_0^{T_i} (1 - \pi_0)^{w/2 - T_i} < \alpha_i$$

for some chosen constants $\alpha_1 > \alpha_2 > \dots > \alpha_\ell > 0$ decreasing exponentially.

π_0 :

- for a correct position, probability that an equation in which it is involved is unsatisfied,
- well estimated in a statistical model.

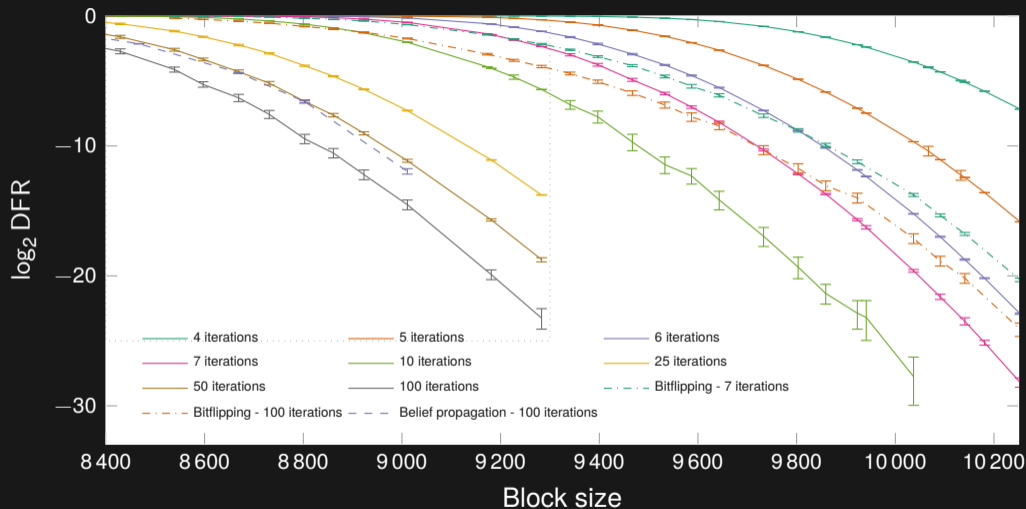
Backflip



With $\alpha_1 = 8$, $\alpha_2 = 2$, $\alpha_3 = 1/2$, $\alpha_4 = 1/8$, $\alpha_5 = 1/32$.

$(w, t) = (142, 134)$

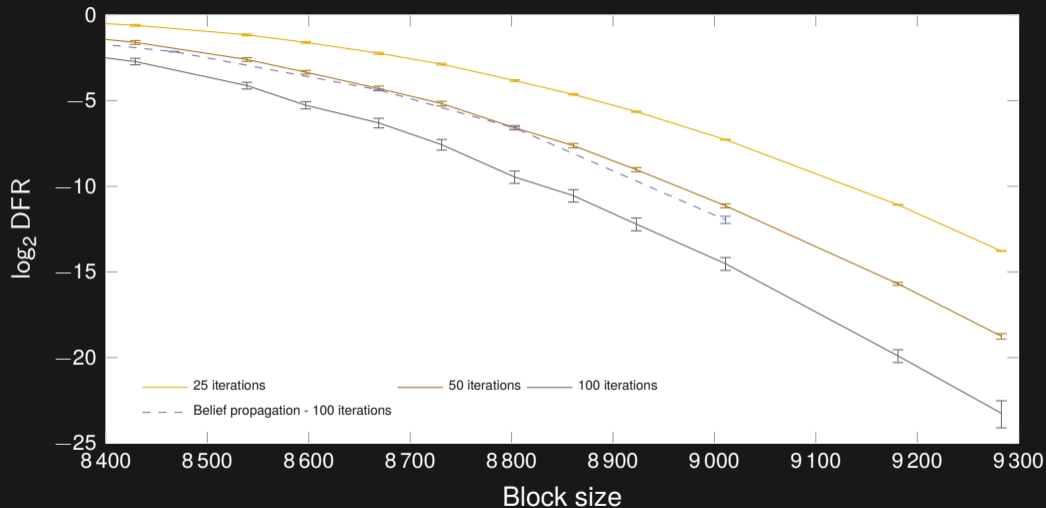
Backflip



With $\alpha_1 = 8, \alpha_2 = 2, \alpha_3 = 1/2, \alpha_4 = 1/8, \alpha_5 = 1/32$.

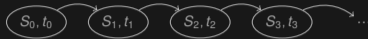
$(w, t) = (142, 134)$

Backflip



With $\alpha_1 = 8$, $\alpha_2 = 2$, $\alpha_3 = 1/2$, $\alpha_4 = 1/8$, $\alpha_5 = 1/32$.

$(w, t) = (142, 134)$



Statistical modeling of the bitflipping

Nicolas Sendrier and Valentin Vasseur. 'On the Decoding Failure Rate of QC-MDPC Bit-Flipping Decoders'. In: *Post-Quantum Cryptography (PQCrypto)*. Chongqing, China, May 2019

Step-by-step algorithm

input : $\mathbf{H} \in \mathbb{F}_2^{r \times n}$, $\mathbf{s} = \mathbf{H}\mathbf{e}^\top \in \mathbb{F}_2^r$ with $|\mathbf{e}| \leq t$

output : $\mathbf{e}' \in \mathbb{F}_2^n$ s.t. $\mathbf{H}\mathbf{e}'^\top = \mathbf{s}$

$\mathbf{e}' \leftarrow \mathbf{0}$; $\mathbf{s}' \leftarrow \mathbf{s} - \mathbf{H}\mathbf{e}'^\top$;

while $\mathbf{s}' \neq \mathbf{0}$ **do**

$T \leftarrow \text{threshold}(\text{context})$;
 $j \leftarrow \text{sample}(\text{context})$;
 if $|\mathbf{s}' \star \mathbf{h}_j| \geq T$ **then**
 $e'_j \leftarrow 1 - e'_j$;
 $\mathbf{s}' \leftarrow \mathbf{s} - \mathbf{H}\mathbf{e}'^\top$;

return \mathbf{e}' ;

\mathbf{H} : Parity check matrix

\mathbf{h}_j : j -th column of \mathbf{H}

$|\mathbf{s}' \star \mathbf{h}_j|$: counter of position j

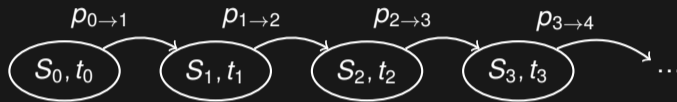
i.e. # unsatisfied equations

We write, at iteration i :

$$S_i := |\mathbf{s}'| = |\mathbf{H}(\mathbf{e} - \mathbf{e}')^\top|$$

$$t_i := |\mathbf{e} - \mathbf{e}'|$$

Assumptions: Markov chain



- The step-by-step algorithm is a time-homogeneous Markov chain.

Assumptions: Counters

- Counters are independent
- Numbers of errors per equation are independent

Counters

The counters σ_j follow binomial distributions [Cha17]:

$$\sigma_j \sim \text{Bin}(w/2, \pi_1) \text{ if } j \in \mathbf{e} - \mathbf{e}', \quad \sigma_j \sim \text{Bin}(w/2, \pi_0) \text{ if } j \notin \mathbf{e} - \mathbf{e}' .$$

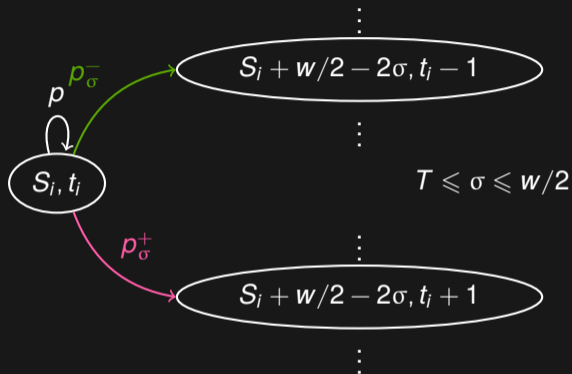
with

$$\pi_1 = \frac{S_i + \bar{X}}{t_i w/2}, \quad \pi_0 = \frac{(w-1)S_i - \bar{X}}{(n-t_i)w/2}$$

and $\bar{X} = \xi E[X | S_i, t_i]$ for some constant ξ ,

$$X = \left(\sum_{j \in \mathbf{e}} |\mathbf{s}' \star \mathbf{h}_j| \right) - |\mathbf{s}'| .$$

Transition diagram



When we flip the column \mathbf{h}_j , $\mathbf{s}' \leftarrow \mathbf{s}' + \mathbf{h}_j$

$$\underbrace{|\mathbf{s}' + \mathbf{h}_j|}_{S_{i+1}} = \underbrace{|\mathbf{s}'|}_{S_i} + \underbrace{|\mathbf{h}_j|}_{w/2} - 2 \underbrace{|\mathbf{s}' * \mathbf{h}_j|}_{\sigma}$$

Transitions

Transition probabilities are derived from the counters distributions

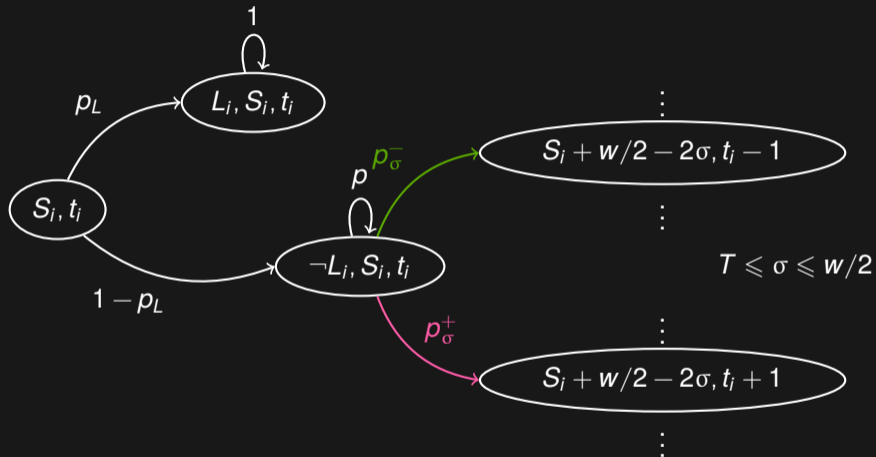
Problem

Model does not account for the situation where all the counters are below the threshold.

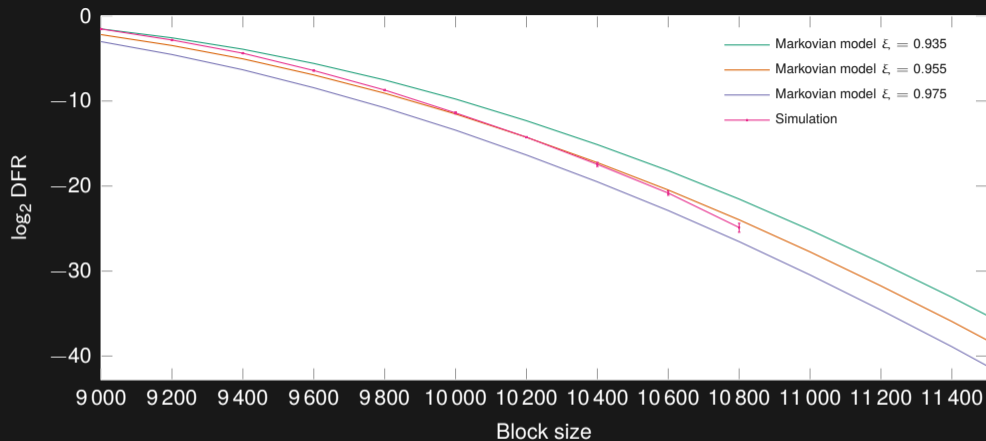
Solution

Add a special state in the FSM for this blocked decoder state.

Transition diagram

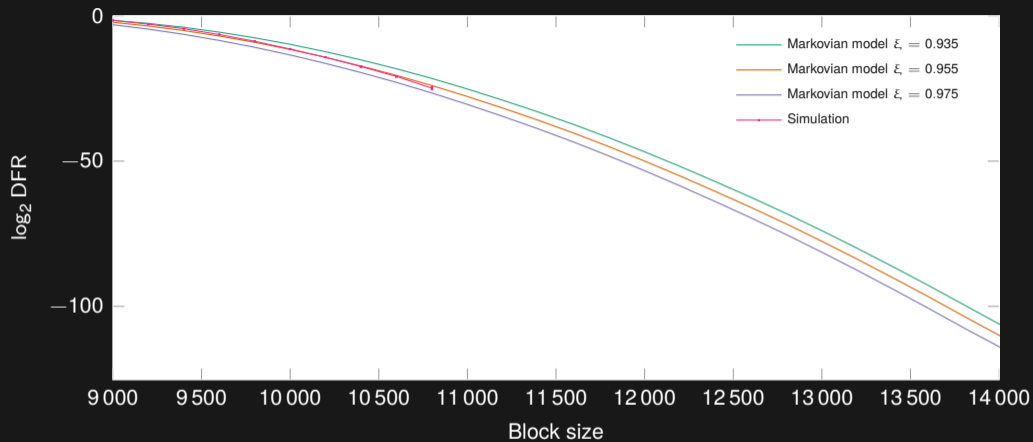


Results

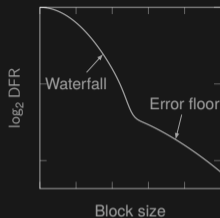


$(w, t) = (142, 134)$

Results



$(w, t) = (142, 134)$



Decoding assumption and validation

Nicolas Sendrier and Valentin Vasseur. 'On the Decoding Failure Rate of QC-MDPC Bit-Flipping Decoders'. In: *Post-Quantum Cryptography (PQCrypto)*. Chongqing, China, May 2019

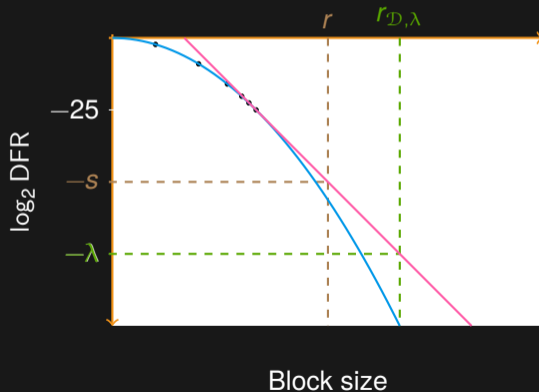
DFR curve behavior

- Step-by-step algorithm fixed (w, t) , varying r
 - Simple sequential bitflipping algorithm
 - Modeled with a Markov chain allowing to predict its DFR
 - Small difference between the DFR predicted and with simulation
 - In the model, for large r , \log DFR is an affine function
- Simulation of several variants of decoding algorithm fixed (w, t) , varying r
 - $r \mapsto \log \text{DFR}(r, \mathcal{D})$ is a concave function
- Asymptotic result [Til18] $w = \Theta(\sqrt{n})$, $t = \Theta(\sqrt{n})$
 - $r \mapsto \log \text{DFR}(r, \mathcal{D})$ is upper bounded by a concave function of r

Decoding assumption

Assumption

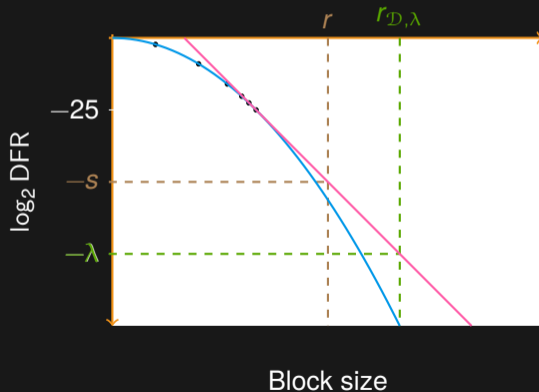
For a given decoder \mathcal{D} , and a given security level λ , the function $r \mapsto \log \text{DFR}(r, \mathcal{D})$ is concave.



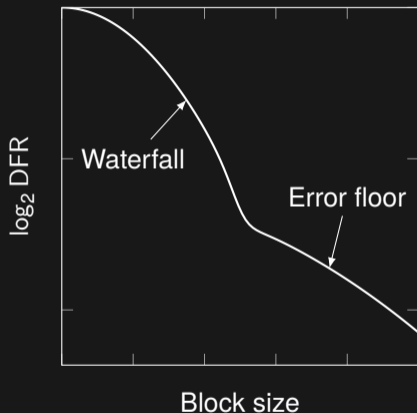
Decoding assumption

Assumption

For a given decoder \mathcal{D} , and a given security level λ , the function $r \mapsto \log \text{DFR}(r, \mathcal{D})$ is concave if $\log \text{DFR}(r, \mathcal{D}) \geq -\lambda$.



Error floor



Source of error floors [Ric03]

- Low weight codewords
- “Near codewords”

Tom Richardson. 'Error Floors of LDPC Codes'. In: *41st Annual Allerton Conference on Communication, Control, and Computing*. 2003.

In a QC-MDPC code

Near-codeword

A (u, v) near-codeword is an error pattern of (small) weight u that produces a syndrome of (small) weight v .

$$\mathbf{s} = \mathbf{h}_0 \mathbf{e}_0 + \mathbf{h}_1 \mathbf{e}_1$$

\mathbf{e}_0	\mathbf{e}_1	\mathbf{s}	$ \mathbf{e}_0 + \mathbf{e}_1 $	$ \mathbf{s} $
\mathcal{C} : low weight codewords				$\#\mathcal{C} = r$
$x^i \mathbf{h}_1$	$x^i \mathbf{h}_0$	$\mathbf{0}$	w	$\mathbf{0}$
\mathcal{N} : $(w/2, w/2)$ near-codewords				$\#\mathcal{N} = 2r$
$x^i \mathbf{h}_0$	$\mathbf{0}$	$x^i \mathbf{h}_0^2$	$w/2$	$w/2$
$\mathbf{0}$	$x^i \mathbf{h}_1$	$x^i \mathbf{h}_1^2$	$w/2$	$w/2$
$2\mathcal{N}$: $(w, \approx w)$ near-codewords				$\#2\mathcal{N} = r^2$
$x^i \mathbf{h}_0$	$x^i \mathbf{h}_1$	$x^i \mathbf{h}_0^2 + x^i \mathbf{h}_1^2$	w	$\approx w$

Impact of near-codewords on DFR

\mathcal{S} : either \mathcal{C} or \mathcal{N} or $2\mathcal{N}$

\mathcal{E} : set of all the error patterns

Problem

Decoding is impaired when the error pattern is close to an element of \mathcal{S}

Experiment

Define $\mathcal{A}_{\delta, \mathcal{S}}$: set of vectors at distance exactly δ of \mathcal{S}

For any $\delta > 0$, generate error patterns of $\mathcal{A}_{\delta, \mathcal{S}}$ and evaluate

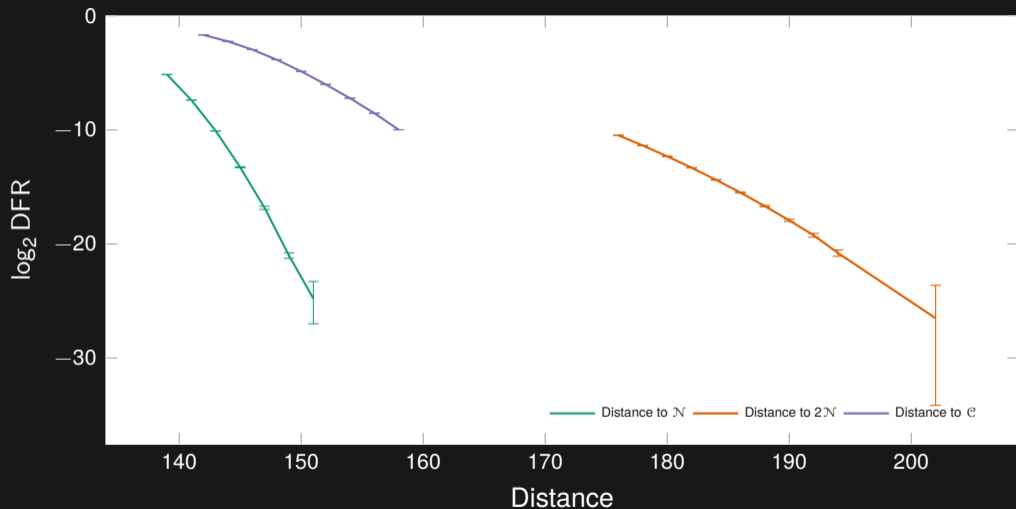
$$\text{DFR}_{\mathcal{A}_{\delta, \mathcal{S}}}$$

Decoding assumption

The decoding assumption is wrong if there exists a δ such that

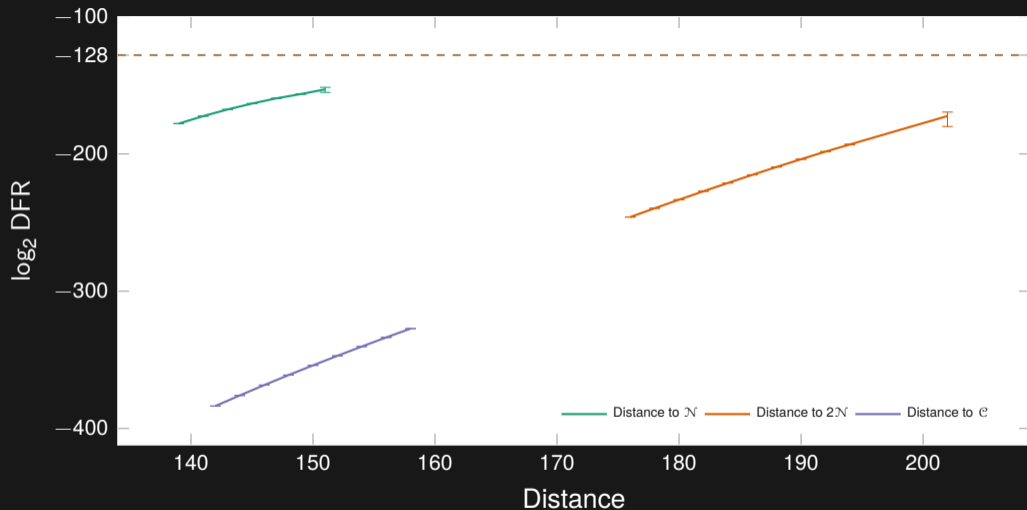
$$2^{-\lambda} < \frac{\#\mathcal{A}_{\delta, \mathcal{S}}}{\#\mathcal{E}} \text{DFR}_{\mathcal{A}_{\delta, \mathcal{S}}} < \text{DFR}$$

DFR vs. distance with Backflip (7 iterations) - raw data



$(r, w, t) = (12323, 142, 134)$

DFR vs. distance with Backflip (7 iterations) - weighted by density



$(r, w, t) = (12323, 142, 134)$

Conclusion and perspectives

- New decoders with low complexity and high performance
 - Backflip
 - Grey decoders
- Design statistical models
 - Precise model of one iteration accounting for the regularity of the code
 - Full Markovian model of a sequential decoder
- Estimate DFR
 - Extrapolation framework with confidence intervals based on decoding assumption
 - Analysis of weak keys with combinatorial properties that hinder decoding
 - Analysis of error floors

Perspectives:

- Better understand the mechanics behind Backflip to have a better τ_{t1} function
- Improve model to estimate the syndrome weight distribution
- Understand the link between weak keys/near-codeword and counters correlations