

# Stable broken $H^1$ and $\mathbf{H}(\text{div})$ polynomial extensions

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# Outline

- 1 Main results & applications
- 2 Key ingredients
  - Stable polynomial extensions on a tetrahedron
  - 3D patch enumeration
- 3 Proof sketch (potentials)
- 4 Numerical illustration in 2D a posteriori estimates
- 5 Conclusions and future directions

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# Literature

## Fundamental results on a reference tetrahedron

- Costabel & McIntosh (2010): bounded right inverse of the divergence operator for polynomial volume data
- Demkowicz, Gopalakrishnan, Schöberl (2009, 2012): polynomial extensions in  $H^1$  and  $\mathbf{H}(\text{div})$  for polynomial boundary data

## Stable broken $\mathbf{H}(\text{div})$ polynomial extension on a patch

- Braess, Pillwein, & Schöberl (2009), 2D
- volume and boundary data

## Stable broken $H^1$ polynomial extensions on a patch

- Ern & V. (2015), 2D, by rotation from the result of Braess, Pillwein, & Schöberl
- only boundary data (divergence-free vectors are curls)

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# Setting

## Patches

- $\mathcal{T}_{\mathbf{a}} \subset \mathcal{T}_h$ : patch of elements sharing  $\mathbf{a} \in \mathcal{V}_h$ , subdomain  $\omega_{\mathbf{a}}$
- $\mathcal{F}_{\mathbf{a}} = \mathcal{F}_{\mathbf{a}}^s \cup \mathcal{F}_{\mathbf{a}}^b$ : faces of the elements in the patch  $\mathcal{T}_{\mathbf{a}}$ ,  $\mathbf{a} \in \mathcal{V}_h^\circ$

## Piecewise $H^1$ spaces

$$H^1(\mathcal{T}_{\mathbf{a}}) := \{v \in L^2(\omega_{\mathbf{a}}); v|_K \in H^1(K) \quad \forall K \in \mathcal{T}_{\mathbf{a}}\}$$

## Piecewise $\mathbf{H}(\text{div})$ spaces

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# Main result: potentials

Theorem (Broken  $H^1$  polynomial extension; Ern & V. (2015) in 2D)

For  $p \geq 1$  and  $\mathbf{a} \in \mathcal{V}_h^\circ$ , let  $r \in \mathbb{P}_p(\mathcal{F}_\mathbf{a}^s)$ . Suppose the *compatibility*

$$\begin{aligned} r &= 0 && \text{on } \partial\omega_\mathbf{a}, \\ \sum_{F \in \mathcal{F}_e} \iota_{F,e} r_F|_e &= 0 && \forall e \in \mathcal{E}_\mathbf{a}. \end{aligned}$$

Then there exists a constant  $C_{\text{st}} > 0$  only depending on the mesh *shape-regularity* parameter  $\kappa_{\mathcal{T}_h}$  such that

$$\min_{\substack{v_h \in \mathbb{P}_p(\mathcal{T}_\mathbf{a}) \\ v_h = 0 \text{ on } \partial\omega_\mathbf{a}, \\ \llbracket v_h \rrbracket = r_F \forall F \in \mathcal{F}_\mathbf{a}^s}} \|\nabla v_h\|_{\omega_\mathbf{a}} \leq C_{\text{st}} \min_{\substack{v \in H^1(\mathcal{T}_\mathbf{a}) \\ v = 0 \text{ on } \partial\omega_\mathbf{a}, \\ \llbracket v \rrbracket = r_F \forall F \in \mathcal{F}_\mathbf{a}^s}} \|\nabla v\|_{\omega_\mathbf{a}}.$$

# Main result: fluxes

Theorem (Broken  $\mathbf{H}(\text{div})$  polynomial extension; Braess, Pillwein, & Schöberl (2009) in 2D)

For  $p \geq 1$  and  $\mathbf{a} \in \mathcal{V}_h^\circ$ , let  $\mathbf{r} \in \mathbb{P}_p(\mathcal{F}_a) \times \mathbb{P}_p(\mathcal{T}_a)$ . Suppose the compatibility

$$\sum_{K \in \mathcal{T}_a} (r_K, \mathbf{1})_K - \sum_{F \in \mathcal{F}_a} (r_F, \mathbf{1})_F = 0.$$

Then there exists a constant  $C_{\text{st}} > 0$  only depending on the shape-regularity parameter  $\kappa_{\mathcal{T}_h}$  such that

$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}_a) \\ \mathbf{v}_h \cdot \mathbf{n}_F = r_F \quad \forall F \in \mathcal{F}_a^b \\ \llbracket \mathbf{v}_h \cdot \mathbf{n}_F \rrbracket = r_F \quad \forall F \in \mathcal{F}_a^s \\ \nabla_{\mathcal{T}} \cdot \mathbf{v}_h|_K = r_K \quad \forall K \in \mathcal{T}_a}} \|\mathbf{v}_h\|_{\omega_a} \leq C_{\text{st}} \min_{\substack{\mathbf{v} \in \mathbf{H}(\text{div}, \mathcal{T}_a) \\ \mathbf{v} \cdot \mathbf{n}_F = r_F \quad \forall F \in \mathcal{F}_a^b \\ \llbracket \mathbf{v} \cdot \mathbf{n}_F \rrbracket = r_F \quad \forall F \in \mathcal{F}_a^s \\ \nabla_{\mathcal{T}} \cdot \mathbf{v}|_K = r_K \quad \forall K \in \mathcal{T}_a}} \|\mathbf{v}\|_{\omega_a}.$$

# Application to piecewise polynomial approximation

## Volume liftings

- $\tau_h \in \mathbb{P}_\rho(\mathcal{T}_a)$  so that  $\tau_h|_F = 0 \ \forall F \in \mathcal{F}_a^b$ , and  $[[\tau_h]]_F = r_F$   
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There holds

$$\min_{v_h \in \mathbb{P}_p(\mathcal{T}_a) \cap H_0^1(\omega_a)} \|\nabla(\tau_h - v_h)\|_{\omega_a} \leq C_{\text{st}} \min_{v \in H_0^1(\omega_a)} \|\nabla(\tau_h - v)\|_{\omega_a},$$

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# Application to a posteriori error analysis

## Laplace model problem

For  $f \in \mathbb{P}_{p'-1}(\mathcal{T}_h)$ ,  $p' \geq 1$ , find  $u \in H_0^1(\Omega)$  such that

$$(\nabla u, \nabla v) = (f, v) \quad \forall v \in H_0^1(\Omega)$$

Approximate solution with hat-function orthogonality

$u_h \in \mathbb{P}_{p'}(\mathcal{T}_h)$ ,  $u_h \notin H_0^1(\Omega)$ ,  $-\nabla u_h \notin \mathbf{H}(\text{div}, \Omega)$

$$(\nabla u_h, \nabla \psi_a)_{\omega_a} = (f, \psi_a)_{\omega_a} \quad \forall a \in \mathcal{V}_h^\circ$$

Potential case ( $p = p' + 1$ )

$$r_F := \psi_a \llbracket u_h \rrbracket|_F,$$

$$\tau_h := \psi_a u_h$$

Flux case ( $p = p'$ )

$$r_F := \psi_a \llbracket \nabla u_h \cdot \mathbf{n}_F \rrbracket|_F,$$

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# Potential reconstruction

## Definition (Potential reconstruction)

For each  $\mathbf{a} \in \mathcal{V}_h$ , let  $s_h^{\mathbf{a}}$  be given by

$$s_h^{\mathbf{a}} := \arg \min_{v_h \in \mathbb{P}_p(\mathcal{T}_{\mathbf{a}}) \cap H_0^1(\omega_{\mathbf{a}})} \|\nabla(\psi_{\mathbf{a}} u_h - v_h)\|_{\omega_{\mathbf{a}}}.$$

Then set  $s_h := \sum_{\mathbf{a} \in \mathcal{V}_h} s_h^{\mathbf{a}} \in \mathbb{P}_p(\mathcal{T}_h) \cap H_0^1(\Omega)$ .

## Equivalent form

Find  $s_h^{\mathbf{a}} \in \mathbb{P}_p(\mathcal{T}_{\mathbf{a}}) \cap H_0^1(\omega_{\mathbf{a}})$  such that

$$(\nabla s_h^{\mathbf{a}}, \nabla v_h)_{\omega_{\mathbf{a}}} = (\nabla(\psi_{\mathbf{a}} u_h), \nabla v_h)_{\omega_{\mathbf{a}}} \quad \forall v_h \in \mathbb{P}_p(\mathcal{T}_{\mathbf{a}}) \cap H_0^1(\omega_{\mathbf{a}}).$$

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$$\begin{aligned} (\sigma_h^{\mathbf{a}}, \mathbf{v}_h)_{\omega_{\mathbf{a}}} - (r_h^{\mathbf{a}}, \nabla \cdot \mathbf{v}_h)_{\omega_{\mathbf{a}}} &= -(\psi_{\mathbf{a}} \nabla U_h, \mathbf{v}_h)_{\omega_{\mathbf{a}}} \quad \forall \mathbf{v}_h \in \mathbf{V}_h^{\mathbf{a}}, \\ (\nabla \cdot \sigma_h^{\mathbf{a}}, q_h)_{\omega_{\mathbf{a}}} &= (\psi_{\mathbf{a}} f - \nabla \psi_{\mathbf{a}} \cdot \nabla U_h, q_h)_{\omega_{\mathbf{a}}} \quad \forall q_h \in \mathbf{Q}_h^{\mathbf{a}}. \end{aligned}$$

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# Guaranteed reliability and $p$ -robust efficiency

## Guaranteed **reliability**

$$\|\nabla(u - u_h)\|^2 \leq \sum_{K \in \mathcal{T}_h} \|\nabla u_h + \sigma_h\|_K^2 + \sum_{K \in \mathcal{T}_h} \|\nabla(u_h - s_h)\|_K^2$$

## Potential local $p$ -robust efficiency

$$\|\nabla(\psi_a u_h - s_h^a)\|_{\omega_a} \leq C_{\text{st}} C_{\text{cont,bPF}} \|\nabla(u - u_h)\|_{\omega_a}$$

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## Applications

- conforming finite elements
- nonconforming finite elements
- discontinuous Galerkin
- mixed finite elements
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# Guaranteed reliability and $p$ -robust efficiency

## Guaranteed **reliability**

$$\|\nabla(u - u_h)\|^2 \leq \sum_{K \in \mathcal{T}_h} \|\nabla u_h + \sigma_h\|_K^2 + \sum_{K \in \mathcal{T}_h} \|\nabla(u_h - s_h)\|_K^2$$

## Potential local **$p$ -robust efficiency**

$$\|\nabla(\psi_a u_h - s_h^a)\|_{\omega_a} \leq C_{\text{st}} C_{\text{cont,bPF}} \|\nabla(u - u_h)\|_{\omega_a}$$

## Flux local **$p$ -robust efficiency**

$$\|\psi_a \nabla u_h + \sigma_h^a\|_{\omega_a} \leq C_{\text{st}} C_{\text{cont,PF}} \|\nabla(u - u_h)\|_{\omega_a}$$

## Applications

- conforming finite elements
- nonconforming finite elements
- discontinuous Galerkin
- mixed finite elements
- ...

# Outline

- 1 Main results & applications
- 2 Key ingredients
  - Stable polynomial extensions on a tetrahedron
  - 3D patch enumeration
- 3 Proof sketch (potentials)
- 4 Numerical illustration in 2D a posteriori estimates
- 5 Conclusions and future directions

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# Potentials (following Demkowicz, Gopalakrishnan, Schöberl (2009))

## Lemma ( $H^1$ polynomial extension on a tetrahedron)

Let  $K \in \mathcal{T}_h$ ,  $\mathcal{F}_K^D \subset \mathcal{F}_K$ . Let  $r \in \mathbb{P}_p(\mathcal{F}_K^D)$  be continuous on  $\mathcal{F}_K^D$ .  
Then for  $C = C(\kappa_K) > 0$ ,

$$\min_{\substack{v_h \in \mathbb{P}_p(K) \\ v_h = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v_h\|_K \leq C \min_{\substack{v \in H^1(K) \\ v = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v\|_K .$$

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### Context

$$\begin{aligned} -\Delta \zeta_K &= 0 && \text{in } K, \\ \zeta_K &= r_F && \text{on all } F \in \mathcal{F}_K^D, \\ -\nabla \zeta_K \cdot \mathbf{n}_K &= 0 && \text{on all } F \in \mathcal{F}_K \setminus \mathcal{F}_K^D. \end{aligned}$$



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$$\|\nabla \zeta_{h,K}\|_K \stackrel{FEs}{=} \min_{\substack{v_h \in \mathbb{P}_p(K) \\ v_h = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v_h\|_K \leq C \min_{\substack{v \in H^1(K) \\ v = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v\|_K = C \|\nabla \zeta_K\|_K.$$

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# Fluxes (fol. Costabel & McIntosh (2010), Demkowicz, Gopalakrishnan, Schöberl (2012))

## Lemma ( $\mathbf{H}(\text{div})$ polynomial extension on a tetrahedron)

Let  $K \in \mathcal{T}_h$ ,  $\mathcal{F}_K^{\mathbf{N}} \subset \mathcal{F}_K$ . Let  $r \in \mathbb{P}_p(\mathcal{F}_K^{\mathbf{N}}) \times \mathbb{P}_p(K)$ , satisfying  $\sum_{F \in \mathcal{F}_K} (r_F, \mathbf{1})_F = (r_K, \mathbf{1})_K$  if  $\mathcal{F}_K^{\mathbf{N}} = \mathcal{F}_K$ . Then for  $C = C(\kappa_K) > 0$ ,

$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \mathbf{v}_h \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^{\mathbf{N}} \\ \nabla \cdot \mathbf{v}_h = r_K}} \|\mathbf{v}_h\|_K \leq C \min_{\substack{\mathbf{v} \in \mathbf{H}(\text{div}, K) \\ \mathbf{v} \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^{\mathbf{N}} \\ \nabla \cdot \mathbf{v} = r_K}} \|\mathbf{v}\|_K .$$

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Set  $\xi_K := -\nabla \zeta_K$ .

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# A graph result for patch enumerations (shellability of polytopes, e.g. Ziegler, Lectures on Polytopes)

## Two families of faces

- already visited faces:  $\mathcal{F}_i^\sharp := \{F \in \mathcal{F}_a^s, F = \partial K_i \cap \partial K_j, j < i\}$
- yet unvisited faces:  $\mathcal{F}_i^b := \mathcal{F}_a^s \cap \mathcal{F}_K \setminus \mathcal{F}_i^\sharp$
- $|\mathcal{F}_i^b| + |\mathcal{F}_i^\sharp| = 3$ ,  $\mathcal{F}_1^\sharp = \emptyset$ , and  $\mathcal{F}_{|\mathcal{T}_a|}^b = \emptyset$

### Lemma (Interior patch enumeration)

There exists an enumeration of the patch  $\mathcal{T}_a$  so that

- For all  $1 < i < |\mathcal{T}_a|$ ,  $|\mathcal{F}_i^\sharp| \in \{1, 2\}$ .
- If  $|\mathcal{F}_i^\sharp| \geq 2$  then  $K_j \in \mathcal{T}_{F_i^1 \cap F_i^2} \setminus \{K_i\}$ ,  $\{F_i^1, F_i^2\} \subset \mathcal{F}_i^\sharp$ , implies  $j < i$ .



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Run through the patch following the enumeration:  $K_i$ 

Construct  $\zeta_h \in \mathbb{P}_p(\mathcal{T}_a)$ ,  $\zeta_h = 0$  on  $\partial\omega_a$ ,  $[[\zeta_h]] = r_F$  for all  $F \in \mathcal{F}_a^s$ :

$$\|\nabla\zeta_h\|_{\omega_a} \lesssim \|\nabla v^*\|_{\omega_a} = \min_{\substack{v \in H^1(\mathcal{T}_a) \\ v=0 \text{ on } \partial\omega_a, \\ [[v]]=r_F \forall F \in \mathcal{F}_a^s}} \|\nabla v\|_{\omega_a}$$

spirit of Braess, Pillwein, & Schöberl (2009), but work with strong norms

On  $K_i$ ,  $1 \leq i < |\mathcal{T}_a|$ , consider the weak form of: find  $\zeta_{K_i}$  s.t.

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1)  $i = 1$ : trivially  $0 = \|\nabla\zeta_{h,K_1}\|_{K_1} \leq \|\nabla v^*\|_{\omega_a}$

2) only one (Dirichlet) face in the set  $\mathcal{F}_i^\sharp$

- prescribed conditions compatible
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Run through the patch following the enumeration:  $K_1$ 

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$$\begin{aligned} \|\nabla \zeta_{K_i}\|_{K_i} &\leq \left\| \nabla (v^* - (v^* - \zeta_{h,K_j}) \circ \mathbf{T}_{K_j \rightarrow K_i}^{-1}) \right\|_{K_i} \\ &\lesssim \|\nabla v^*\|_{K_i} + \|\nabla v^*\|_{K_j} + \|\zeta_{h,K_j}\|_{K_j} \lesssim \|\nabla v^*\|_{\omega_a} \end{aligned}$$

3) two faces in  $\mathcal{F}_i^\sharp \Leftrightarrow K_i$  last in rotation around  $e$  by shellability

- compatibility of the Dirichlet data by assumption
- $H^1$  extension on a tetrahedron:  $\|\nabla \zeta_{h,K_i}\|_{K_i} \lesssim \|\nabla \zeta_{K_i}\|_{K_i}$
- binary coloring: affine maps to satisfy the Dirichlet BCs

$$\tilde{\zeta}_{K_i} := v^* - \frac{1}{2} \sum_{\substack{F \in \mathcal{F}_e \setminus \mathcal{F}_i^\sharp \\ F = \partial K_j \cap \partial K_m}} \{ (v^*|_{K_j} - \zeta_{h,K_j}) \circ \mathbf{T}_{K_j \rightarrow K_i}^{-1} - (v^*|_{K_m} - \zeta_{h,K_m}) \circ \mathbf{T}_{K_m \rightarrow K_i}^{-1} \}$$

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Run through the patch following the enumeration:  $K_i$ 

- $K \in \mathcal{T}_a$  adjacent to  $K_i$  over  $F \in \mathcal{F}_i^\sharp$ , affine map  $\mathbf{T}_{K_j \rightarrow K_i}$

$$\begin{aligned} \|\nabla \zeta_{K_i}\|_{K_i} &\leq \left\| \nabla (v^* - (v^* - \zeta_{h,K_j}) \circ \mathbf{T}_{K_j \rightarrow K_i}^{-1}) \right\|_{K_i} \\ &\lesssim \|\nabla v^*\|_{K_i} + \|\nabla v^*\|_{K_j} + \|\zeta_{h,K_j}\|_{K_j} \lesssim \|\nabla v^*\|_{\omega_a} \end{aligned}$$

3) two faces in  $\mathcal{F}_i^\sharp \Leftrightarrow K_i$  last in rotation around  $e$  by shellability

- compatibility of the Dirichlet data by assumption
- $H^1$  extension on a tetrahedron:  $\|\nabla \zeta_{h,K_i}\|_{K_i} \lesssim \|\nabla \zeta_{K_i}\|_{K_i}$
- binary coloring: affine maps to satisfy the Dirichlet BCs

$$\tilde{\zeta}_{K_i} := v^* - \frac{1}{2} \sum_{\substack{F \in \mathcal{F}_e \setminus \mathcal{F}_i^\sharp \\ F = \partial K_j \cap \partial K_m}} \{ (v^*|_{K_j} - \zeta_{h,K_j}) \circ \mathbf{T}_{K_j \rightarrow K_i}^{-1} - (v^*|_{K_m} - \zeta_{h,K_m}) \circ \mathbf{T}_{K_m \rightarrow K_i}^{-1} \}$$

- stability of  $\tilde{\zeta}_{K_i}$ :

$$\|\nabla \zeta_{K_i}\|_{K_i} \leq \|\nabla \tilde{\zeta}_{K_i}\|_{K_i} \lesssim \sum_{K \in \mathcal{T}_e, K \neq K_i} \{ \|\nabla v^*\|_K + \|\nabla \zeta_{h,K}\|_K \} + \|\nabla v^*\|_{K_i} \lesssim \|\nabla v^*\|_{\omega_a}$$

Run through the patch following the enumeration:  $K_{|\mathcal{T}_a|}$ 

3) On  $K_n$ ,  $n := |\mathcal{T}_a|$ , consider the weak form of: find  $\zeta_{K_n}$  s.t.

$$\begin{aligned} -\Delta \zeta_{K_n} &= 0 && \text{in } K_n, \\ \zeta_{K_n} &= -r_F + \zeta_{h,K_j}|_F && \text{on all } F = \partial K_n \cap \partial K_j \in \mathcal{F}_n^\sharp, \\ \zeta_{K_n} &= 0 && \text{on } \partial K_n \cap \partial \omega_a \end{aligned}$$

- three faces in  $\mathcal{F}_n^\sharp$ : pure Dirichlet problem
- compatibility of the Dirichlet data again by assumption
- $H^1$  extension on a tetrahedron:  $\|\nabla \zeta_{h,K_n}\|_{K_n} \lesssim \|\nabla \zeta_{K_n}\|_{K_n}$
- ternary coloring in a sub-patch: affine maps to construct  $\tilde{\zeta}_{K_n}$  satisfying the Dirichlet BCs, thus  $\|\nabla \zeta_{K_n}\|_{K_n} \leq \|\nabla \tilde{\zeta}_{K_n}\|_{K_n}$
- stability of  $\tilde{\zeta}_{K_n}$ :  $\|\nabla \tilde{\zeta}_{K_n}\|_{K_n} \lesssim \|\nabla v^*\|_{\omega_a}$

4)  $\zeta_{h|K_j} := \zeta_{h,K_j}$  for all  $1 \leq i \leq n$  meets all the requirements

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# Outline

- 1 Main results & applications
- 2 Key ingredients
  - Stable polynomial extensions on a tetrahedron
  - 3D patch enumeration
- 3 Proof sketch (potentials)
- 4 Numerical illustration in 2D a posteriori estimates
- 5 Conclusions and future directions

# Smooth case

## Model problem

$$\begin{aligned} -\Delta u &= f & \text{in } \Omega &:= ]0, 1[^2, \\ u &= 0 & \text{on } \partial\Omega \end{aligned}$$

## Exact solution

$$u(\mathbf{x}) = \sin(2\pi \mathbf{x}_1) \sin(2\pi \mathbf{x}_2)$$

## Discretization (with V. Dolejší)

- symmetric, nonsymmetric, and incomplete interior penalty discontinuous Galerkin method
- unstructured triangular grids
- uniform refinement

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## Incomplete DG, nested grids

$h$	$p$	$\ \nabla(u-u_h)\ $	$\ u-u_h\ _{DG}$	$\ \nabla u_h + \sigma_h\ $	$\ \nabla(u_h - s_h)\ $	$\eta_{osc}$	$\eta$	$\eta_{DG}$	$f^{eff}$	$f_{DG}^{eff}$
$h_0/1$	1	1.21E+00	1.22E+00	1.24E+00	1.07E-01	5.56E-02	1.30E+00	1.31E+00	1.07	1.07
$h_0/2$		6.18E-01 (0.97)	6.22E-01 (0.97)	6.38E-01 (0.96)	5.09E-02 (1.07)	7.02E-03 (2.99)	6.47E-01 (1.01)	6.50E-01 (1.01)	1.05	1.05
$h_0/4$		3.12E-01 (0.99)	3.13E-01 (0.99)	3.22E-01 (0.99)	2.43E-02 (1.07)	8.80E-04 (3.00)	3.24E-01 (1.00)	3.25E-01 (1.00)	1.04	1.04
$h_0/8$		1.56E-01 (1.00)	1.57E-01 (1.00)	1.61E-01 (1.00)	1.18E-02 (1.05)	1.10E-04 (3.00)	1.62E-01 (1.00)	1.63E-01 (1.00)	1.04	1.04
$h_0/1$	2	1.50E-01	1.53E-01	1.49E-01	2.76E-02	5.10E-03	1.56E-01	1.59E-01	1.04	1.04
$h_0/2$		3.85E-02 (1.96)	3.92E-02 (1.96)	3.83E-02 (1.96)	7.99E-03 (1.79)	3.22E-04 (3.98)	3.94E-02 (1.98)	4.01E-02 (1.98)	1.03	1.02
$h_0/4$		9.70E-03 (1.99)	9.88E-03 (1.99)	9.68E-03 (1.98)	2.12E-03 (1.92)	2.02E-05 (4.00)	9.93E-03 (1.99)	1.01E-02 (1.99)	1.02	1.02
$h_0/8$		2.43E-03 (1.99)	2.48E-03 (1.99)	2.43E-03 (1.99)	5.42E-04 (1.96)	1.26E-06 (4.00)	2.49E-03 (1.99)	2.54E-03 (1.99)	1.02	1.02
$h_0/1$	3	1.32E-02	1.34E-02	1.29E-02	2.52E-03	3.58E-04	1.35E-02	1.37E-02	1.03	1.03
$h_0/2$		1.67E-03 (2.98)	1.69E-03 (2.98)	1.65E-03 (2.97)	3.13E-04 (3.01)	1.13E-05 (4.99)	1.70E-03 (3.00)	1.71E-03 (3.00)	1.01	1.01
$h_0/4$		2.11E-04 (2.99)	2.13E-04 (2.99)	2.09E-04 (2.99)	3.83E-05 (3.03)	3.53E-07 (5.00)	2.12E-04 (3.00)	2.15E-04 (3.00)	1.01	1.01
$h_0/8$		2.64E-05 (3.00)	2.67E-05 (3.00)	2.61E-05 (3.00)	4.69E-06 (3.03)	1.10E-08 (5.00)	2.66E-05 (3.00)	2.69E-05 (3.00)	1.01	1.01
$h_0/1$	4	9.36E-04	9.54E-04	9.05E-04	2.41E-04	2.12E-05	9.57E-04	9.74E-04	1.02	1.02
$h_0/2$		5.93E-05 (3.98)	6.05E-05 (3.98)	5.77E-05 (3.97)	1.68E-05 (3.84)	3.36E-07 (5.98)	6.04E-05 (3.99)	6.16E-05 (3.98)	1.02	1.02
$h_0/4$		3.72E-06 (3.99)	3.80E-06 (3.99)	3.63E-06 (3.99)	1.10E-06 (3.94)	5.31E-09 (5.98)	3.80E-06 (3.99)	3.87E-06 (3.99)	1.02	1.02
$h_0/8$		2.33E-07 (4.00)	2.38E-07 (4.00)	2.27E-07 (4.00)	7.02E-08 (3.97)	8.30E-11 (6.00)	2.38E-07 (4.00)	2.43E-07 (3.99)	1.02	1.02
$h_0/1$	5	5.41E-05	5.50E-05	5.22E-05	1.38E-05	1.06E-06	5.50E-05	5.58E-05	1.02	1.02
$h_0/2$		1.70E-06 (4.99)	1.72E-06 (5.00)	1.65E-06 (4.98)	4.39E-07 (4.98)	9.35E-09 (6.82)	1.72E-06 (5.00)	1.74E-06 (5.00)	1.01	1.01
$h_0/4$		5.32E-08 (5.00)	5.39E-08 (5.00)	5.19E-08 (4.99)	1.40E-08 (4.97)	7.67E-11 (6.93)	5.38E-08 (5.00)	5.45E-08 (5.00)	1.01	1.01
$h_0/8$		1.66E-09 (5.00)	1.69E-09 (5.00)	1.62E-09 (5.00)	4.41E-10 (4.99)	5.99E-13 (7.00)	1.68E-09 (5.00)	1.70E-09 (5.00)	1.01	1.01

## Symmetric DG, non-nested grids

$h$	$p$	$\ \nabla_d(u-u_h)\ $	$\ u-u_h\ _{DG}$	$\ \nabla_d u_h + \sigma_h\ $	$\eta_{osc}$	$\ \nabla_d(u_h-s_h)\ $	$\eta$	$\eta_{DG}$	$l^{eff}$	$l_{DG}^{eff}$
$h_0$	1	1.07E-00	1.09E-00	1.12E-00	5.55E-02	4.16E-01	1.25E-00	1.26E-00	1.17	1.16
$\approx h_0/2$		5.56E-01	5.61E-01	5.71E-01	7.42E-03	1.82E-01	6.07E-01	6.11E-01	1.09	1.09
$\approx h_0/4$		2.92E-01	2.93E-01	2.96E-01	1.04E-03	8.77E-02	3.10E-01	3.11E-01	1.06	1.06
$\approx h_0/8$		1.39E-01	1.39E-01	1.40E-01	1.10E-04	3.85E-02	1.45E-01	1.45E-01	1.04	1.04
$h_0$	2	1.54E-01	1.55E-01	1.55E-01	5.10E-03	3.05E-02	1.63E-01	1.64E-01	1.06	1.06
$\approx h_0/2$		4.07E-02	4.09E-02	4.13E-02	3.53E-04	7.55E-03	4.23E-02	4.26E-02	1.04	1.04
$\approx h_0/4$		1.10E-02	1.11E-02	1.12E-02	2.51E-05	1.97E-03	1.14E-02	1.15E-02	1.03	1.03
$\approx h_0/8$		2.50E-03	2.52E-03	2.54E-03	1.30E-06	4.21E-04	2.57E-03	2.59E-03	1.03	1.03
$h_0$	3	1.37E-02	1.37E-02	1.37E-02	3.58E-04	1.74E-03	1.41E-02	1.41E-02	1.03	1.03
$\approx h_0/2$		1.85E-03	1.85E-03	1.85E-03	1.26E-05	2.10E-04	1.88E-03	1.88E-03	1.01	1.01
$\approx h_0/4$		2.60E-04	2.60E-04	2.60E-04	4.73E-07	2.54E-05	2.62E-04	2.62E-04	1.01	1.01
$\approx h_0/8$		2.75E-05	2.75E-05	2.75E-05	1.15E-08	2.55E-06	2.76E-05	2.76E-05	1.01	1.01
$h_0$	4	9.87E-04	9.87E-04	9.84E-04	2.12E-05	1.11E-04	1.01E-03	1.01E-03	1.02	1.02
$\approx h_0/2$		6.92E-05	6.93E-05	6.92E-05	3.96E-07	7.44E-06	7.00E-05	7.00E-05	1.01	1.01
$\approx h_0/4$		5.04E-06	5.04E-06	5.04E-06	7.58E-09	4.98E-07	5.07E-06	5.07E-06	1.01	1.01
$\approx h_0/8$		2.58E-07	2.59E-07	2.58E-07	8.96E-11	2.47E-08	2.60E-07	2.60E-07	1.01	1.01
$h_0$	5	5.64E-05	5.64E-05	5.63E-05	1.06E-06	4.50E-06	5.75E-05	5.75E-05	1.02	1.02
$\approx h_0/2$		2.01E-06	2.01E-06	2.01E-06	9.88E-09	1.46E-07	2.03E-06	2.03E-06	1.01	1.01
$\approx h_0/4$		7.74E-08	7.74E-08	7.73E-08	1.01E-10	4.35E-09	7.76E-08	7.76E-08	1.00	1.00
$\approx h_0/8$		1.86E-09	1.86E-09	1.86E-09	1.70E-12	1.00E-10	1.86E-09	1.86E-09	1.00	1.00
$h_0$	6	2.85E-06	2.85E-06	2.85E-06	4.70E-08	2.18E-07	2.90E-06	2.90E-06	1.02	1.02
$\approx h_0/2$		5.42E-08	5.42E-08	5.42E-08	2.40E-10	4.02E-09	5.46E-08	5.46E-08	1.01	1.01
$\approx h_0/4$		1.07E-09	1.07E-09	1.07E-09	1.03E-11	6.90E-11	1.08E-09	1.08E-09	1.01	1.01

# Nonsymmetric DG, non-nested grids

$h$	$p$	$\ \nabla_d(u-u_h)\ $	$\ u-u_h\ _{DG}$	$\ \nabla_d u_h + \sigma_h\ $	$\eta_{osc}$	$\ \nabla_d(u_h-s_h)\ $	$\eta$	$\eta_{DG}$	$l^{eff}$	$l_{DG}^{eff}$
$h_0$	1	1.08E-00	1.09E-00	8.05E-01	5.55E-02	7.98E-01	1.17E-00	1.18E-00	1.09	1.09
$\approx h_0/2$		5.50E-01	5.55E-01	4.18E-01	7.42E-03	3.75E-01	5.66E-01	5.71E-01	1.03	1.03
$\approx h_0/4$		2.84E-01	2.86E-01	2.18E-01	1.04E-03	1.86E-01	2.87E-01	2.89E-01	1.01	1.01
$\approx h_0/8$		1.34E-01	1.35E-01	1.04E-01	1.10E-04	8.64E-02	1.36E-01	1.36E-01	1.01	1.01
$h_0$	2	1.65E-01	1.72E-01	1.41E-01	5.10E-03	1.71E-01	2.24E-01	2.30E-01	1.36	1.33
$\approx h_0/2$		4.28E-02	4.46E-02	3.67E-02	3.53E-04	4.74E-02	6.01E-02	6.14E-02	1.41	1.38
$\approx h_0/4$		1.14E-02	1.19E-02	9.86E-03	2.51E-05	1.29E-02	1.63E-02	1.66E-02	1.43	1.40
$\approx h_0/8$		2.58E-03	2.70E-03	2.24E-03	1.30E-06	2.99E-03	3.74E-03	3.82E-03	1.45	1.42
$h_0$	3	1.53E-02	1.54E-02	1.34E-02	3.58E-04	9.19E-03	1.65E-02	1.66E-02	1.08	1.08
$\approx h_0/2$		2.07E-03	2.07E-03	1.79E-03	1.26E-05	1.22E-03	2.18E-03	2.18E-03	1.05	1.05
$\approx h_0/4$		2.99E-04	2.99E-04	2.64E-04	4.73E-07	1.59E-04	3.08E-04	3.09E-04	1.03	1.03
$\approx h_0/8$		3.16E-05	3.17E-05	2.82E-05	1.15E-08	1.60E-05	3.24E-05	3.25E-05	1.02	1.02
$h_0$	4	1.11E-03	1.12E-03	9.80E-04	2.12E-05	7.21E-04	1.23E-03	1.24E-03	1.11	1.11
$\approx h_0/2$		7.71E-05	7.75E-05	6.89E-05	3.96E-07	5.08E-05	8.59E-05	8.63E-05	1.11	1.11
$\approx h_0/4$		5.66E-06	5.69E-06	5.05E-06	7.58E-09	3.76E-06	6.30E-06	6.33E-06	1.11	1.11
$\approx h_0/8$		2.89E-07	2.91E-07	2.58E-07	8.96E-11	1.96E-07	3.24E-07	3.26E-07	1.12	1.12
$h_0$	5	6.23E-05	6.24E-05	5.62E-05	1.06E-06	3.23E-05	6.57E-05	6.58E-05	1.05	1.05
$\approx h_0/2$		2.26E-06	2.27E-06	2.04E-06	9.88E-09	1.17E-06	2.36E-06	2.36E-06	1.04	1.04
$\approx h_0/4$		8.86E-08	8.87E-08	8.17E-08	1.01E-10	3.90E-08	9.06E-08	9.06E-08	1.02	1.02
$\approx h_0/8$		2.11E-09	2.12E-09	1.96E-09	1.70E-12	9.02E-10	2.16E-09	2.16E-09	1.02	1.02
$h_0$	6	3.18E-06	3.18E-06	2.91E-06	4.70E-08	1.66E-06	3.39E-06	3.39E-06	1.07	1.07
$\approx h_0/2$		6.00E-08	6.01E-08	5.57E-08	2.40E-10	3.07E-08	6.38E-08	6.39E-08	1.06	1.06
$\approx h_0/4$		1.20E-09	1.20E-09	1.12E-09	1.03E-11	6.01E-10	1.28E-09	1.28E-09	1.07	1.07

# Singular case & $hp$ -adaptivity

## Model problem

$$\begin{aligned} -\Delta u &= 0 & \text{in } \Omega &:= ]-1, 1[^2 \setminus [0, 1]^2, \\ u &= u_D & \text{on } \partial\Omega \end{aligned}$$

## Exact solution

$$u(r, \phi) = r^{2/3} \sin(2\phi/3)$$

## Discretization (with V. Dolejší)

- incomplete interior penalty discontinuous Galerkin method
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## Model problem

$$\begin{aligned} -\Delta u &= 0 & \text{in } \Omega &:= ]-1, 1[^2 \setminus [0, 1]^2, \\ u &= u_D & \text{on } \partial\Omega \end{aligned}$$

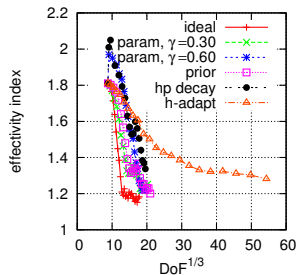
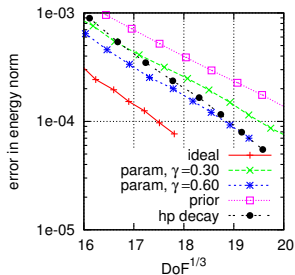
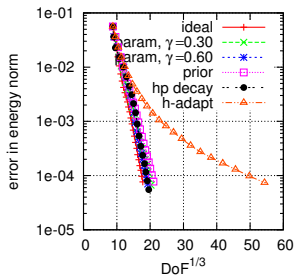
## Exact solution

$$u(r, \phi) = r^{2/3} \sin(2\phi/3)$$

## Discretization (with V. Dolejší)

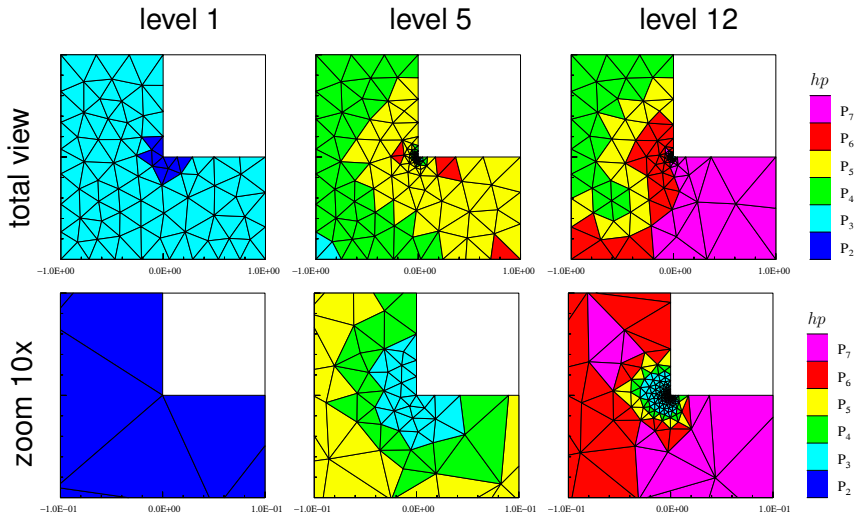
- incomplete interior penalty discontinuous Galerkin method
- unstructured non-nested triangular grids
- $hp$ -adaptive refinement

# hp-adaptive refinement: exponential convergence





# hp-refinement grids



# Outline

- 1 Main results & applications
- 2 Key ingredients
  - Stable polynomial extensions on a tetrahedron
  - 3D patch enumeration
- 3 Proof sketch (potentials)
- 4 Numerical illustration in 2D a posteriori estimates
- 5 Conclusions and future directions

# Conclusions and future directions

## Conclusions

- stability of the best piecewise polynomial approximation
- polynomial-degree-robust local efficiency of a posteriori error estimates
- a framework covering all standard numerical methods (conforming FEs, nonconforming FEs, discontinuous Galerkin, mixed FEs ...)

## Ongoing generalizations

- transmission problems with singularly changing coefficients
- singularly-perturbed reaction-diffusion problems
- Stokes equation
- eigenvalue problems
- heat equation

# Conclusions and future directions

## Conclusions

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## Ongoing generalizations

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**Thank you for your attention!**

