

# A priori and a posteriori error analysis in $\mathbf{H}(\text{curl})$ : localization, minimal regularity, and $p$ -optimality

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# Outline

- 1 Introduction
- 2 Approximation error estimates
- 3 A posteriori error estimates
- 4 Local-best–global-best equivalence
  - Context
  - Equivalence
- 5 A stable local commuting projector
  - Commuting de Rham diagram, wishlist, and context
  - A stable local commuting projector  $P_h^{p,\text{curl}}$
- 6 Equilibration in  $\mathbf{H}(\text{curl})$ 
  - Patchwise equilibration
  - Main tool: stable (broken)  $\mathbf{H}(\text{curl})$  polynomial extensions
- 7 Numerical illustration
- 8 Conclusions

# The curl-curl problem (current density $\mathbf{j} \in \mathbf{H}_{0,\mathrm{N}}(\mathrm{div}, \Omega)$ with $\nabla \cdot \mathbf{j} = 0$ )

## The curl–curl problem

*Find the magnetic vector potential  $\mathbf{A} : \Omega \subset \mathbb{R}^3 \rightarrow \mathbb{R}^3$  such that*

$$\nabla \times (\nabla \times \mathbf{A}) = \mathbf{j}, \quad \nabla \cdot \mathbf{A} = 0 \quad \text{in } \Omega,$$

$$\mathbf{A} \times \mathbf{n}_\Omega = \mathbf{0}, \quad \text{on } \Gamma_D,$$

$$(\nabla \times \mathbf{A}) \times \mathbf{n}_\Omega = \mathbf{0}, \quad \mathbf{A} \cdot \mathbf{n}_\Omega = 0 \quad \text{on } \Gamma_N.$$

## Weak formulation

$\mathbf{A} \in \mathbf{H}_{0,D}(\mathrm{curl}, \Omega)$  satisfies

$$(\nabla \times \mathbf{A}, \nabla \times \mathbf{v}) = (\mathbf{j}, \mathbf{v}) \quad \forall \mathbf{v} \in \mathbf{H}_{0,D}(\mathrm{curl}, \Omega).$$

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# Three key Sobolev spaces

$H^1(\Omega)$

scalar-valued  $L^2(\Omega)$  functions with weak gradients in  $\mathbf{L}^2(\Omega)$ ,  
 $H^1(\Omega) := \{\mathbf{v} \in L^2(\Omega); \nabla \mathbf{v} \in \mathbf{L}^2(\Omega)\}$

$H(\text{curl}, \Omega)$

vector-valued  $L^2(\Omega)$  functions with weak curls in  $\mathbf{L}^2(\Omega)$ ,  
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# Three key Sobolev spaces with inhomogeneous BCs

$H_{0,N}^1(\Omega)$

$H_{0,N}^1(\Omega) := \{v \in H^1(\Omega); v = 0 \text{ on } \Gamma_N\}$

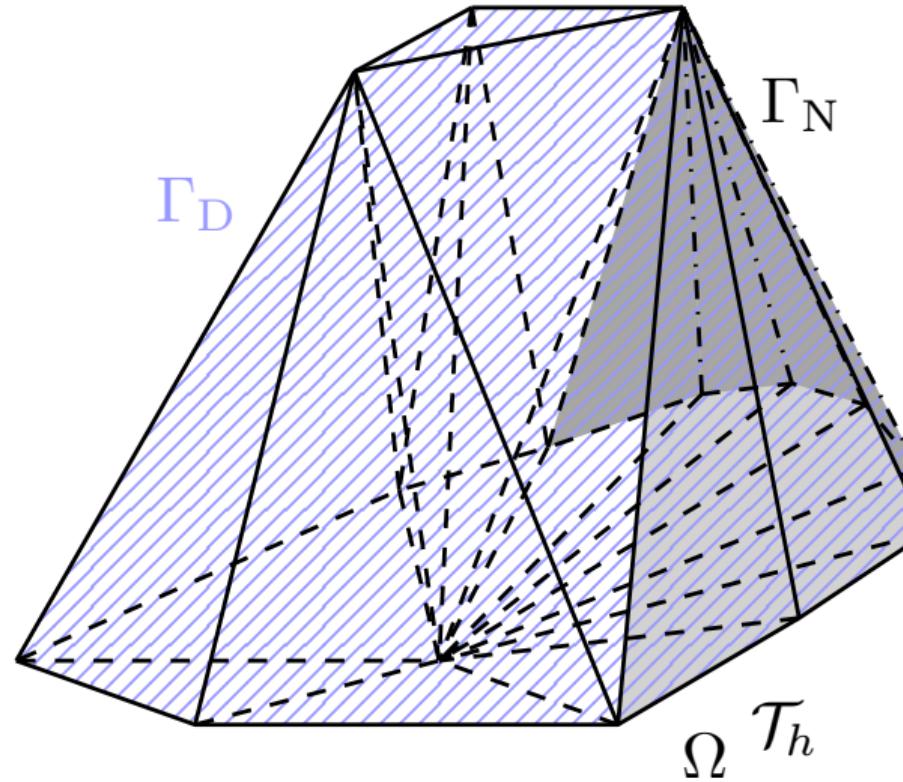
$H_{0,N}(\mathbf{curl}, \Omega)$

$H_{0,N}(\mathbf{curl}, \Omega) := \{v \in \mathbf{H}(\mathbf{curl}, \Omega); v \times n_\Omega = 0 \text{ on } \Gamma_N \text{ in appropriate sense}\}$

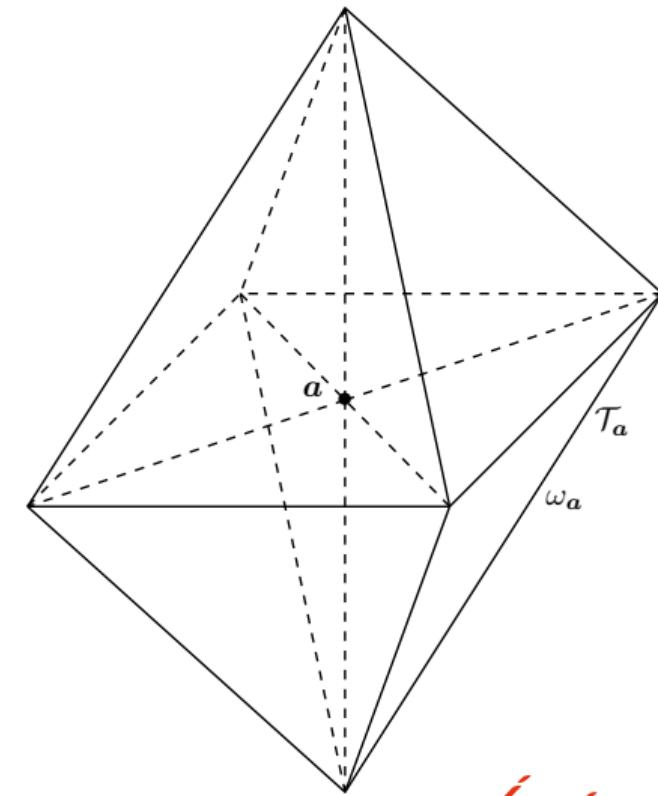
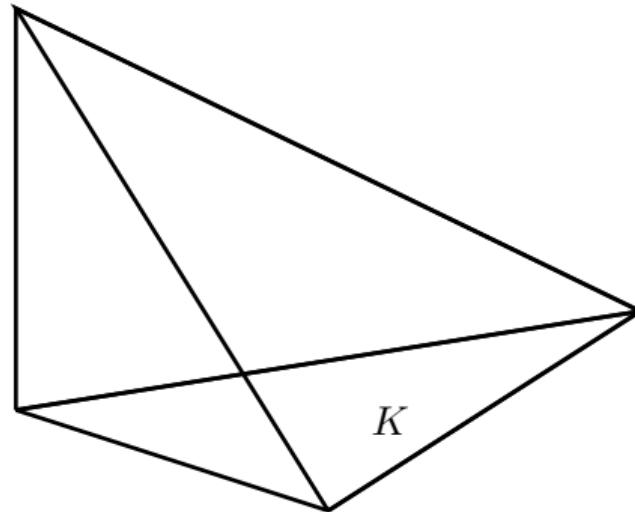
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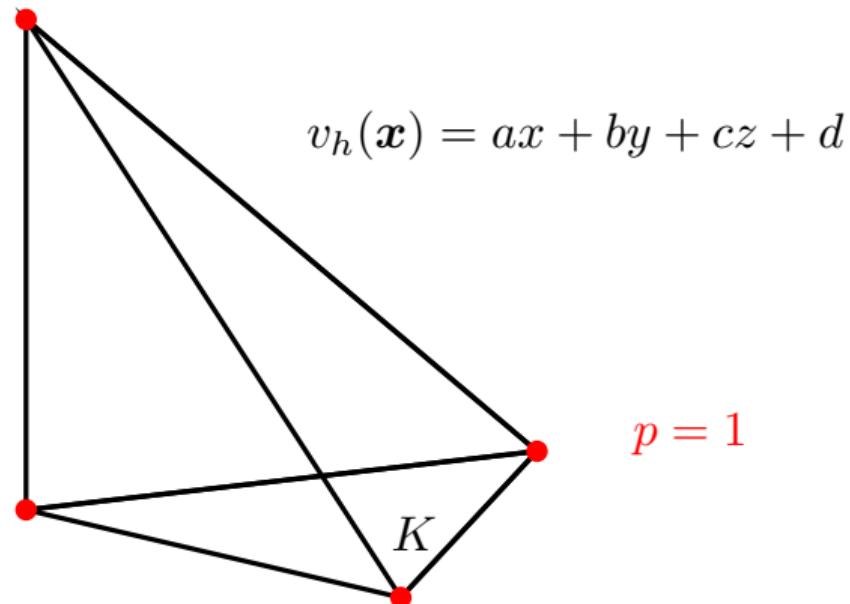
# Meshes, elements, and patches



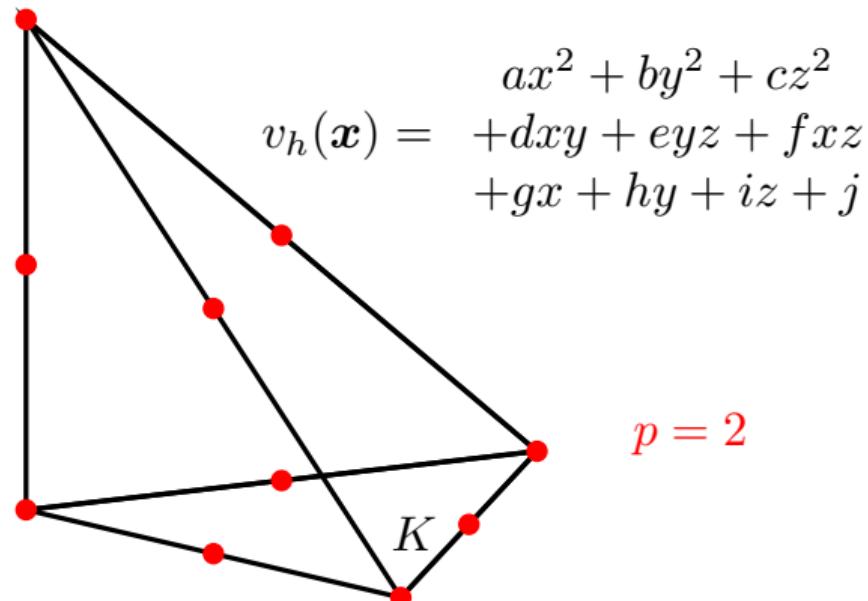
# Meshes, elements, and patches



# Lagrange spaces $\mathcal{P}_p(K)$ , $p \geq 1$



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# Nédélec spaces $\mathcal{N}_p(K) := [\mathcal{P}_p(K)]^3 + \mathbf{x} \times [\mathcal{P}_p(K)]^3$ , $p \geq 0$

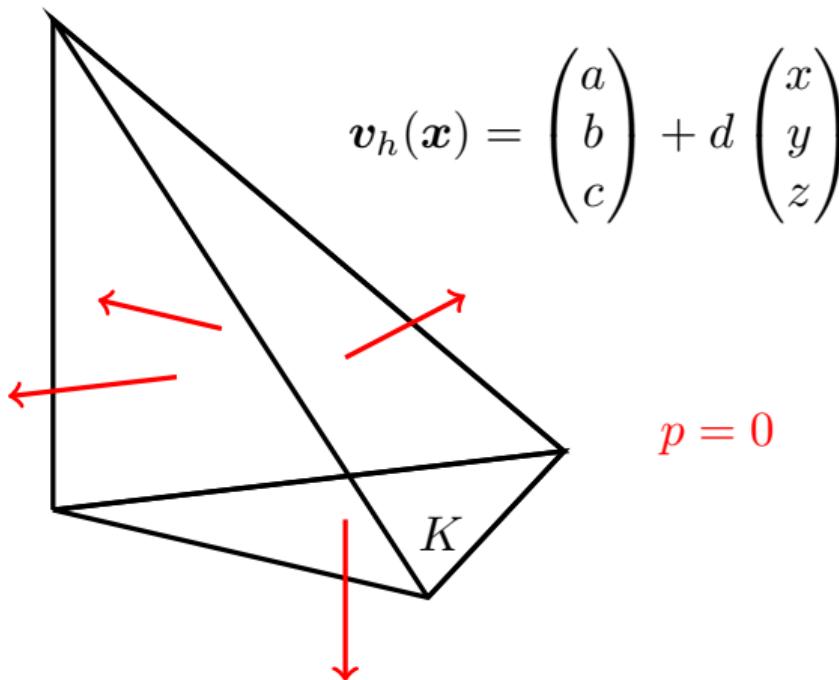
$\mathbf{v}_h(\mathbf{x}) =$

$$\begin{pmatrix} a \\ b \\ c \end{pmatrix} + d \begin{pmatrix} 0 \\ z \\ -y \end{pmatrix}$$

$$+ e \begin{pmatrix} -z \\ 0 \\ x \end{pmatrix} + f \begin{pmatrix} y \\ -x \\ 0 \end{pmatrix}$$

$p = 0$

# Raviart–Thomas spaces $\mathcal{RT}_p(K) := [\mathcal{P}_p(K)]^3 + \mathcal{P}_p(K)\mathbf{x}$ , $p \geq 0$



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# Approximation error estimates: context

## $h$ approximation estimate

Let  $\mathbf{v} \in \mathbf{H}(\operatorname{curl}, \Omega) \cap \mathbf{H}^s(\Omega)$ ,  $s > 1/2$ . Then

$$\min_{\mathbf{v}_h \in \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}(\operatorname{curl}, \Omega)} \|\mathbf{v} - \mathbf{v}_h\| \leq C(\kappa_{\mathcal{T}_h}, s, p) h^{\min\{p+1, s\}} \|\mathbf{v}\|_{\mathbf{H}^s(\Omega)}.$$

- Nédélec (1980), Hiptmair (2002), Boffi, Brezzi, Fortin (2013)
  - Monk (1994, rectangular meshes)

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- Em, Gudi, Smears, Vohralík (2022, *in the making*)

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Theorem (Local  $hp$ -optimal approximation under minimal Sobolev regularity)

Let  $\mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{curl}, \Omega)$  with

$$\mathbf{v}|_K \in \mathbf{H}^s(K), \quad (\nabla \times \mathbf{v})|_K \in \mathbf{H}^t(K) \quad \forall K \in \mathcal{T}_h$$

for  $s \geq 0$  and  $s \geq t \geq \max\{0, s - 1\}$ . Then

$$\begin{aligned} & \min_{\mathbf{v}_h \in \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{curl}, \Omega)} \left[ \|\mathbf{v} - \mathbf{v}_h\|^2 + \sum_{K \in \mathcal{T}_h} \left( \frac{h_K}{p+1} \|\nabla \times (\mathbf{v} - \mathbf{v}_h)\|_K \right)^2 \right] \\ & \leq C(\kappa_{\mathcal{T}_h}, s, t) \sum_{K \in \mathcal{T}_h} \left[ \left( \frac{h_K^{\min\{p+1,s\}}}{(p+1)^s} \|\mathbf{v}\|_{\mathbf{H}^s(K)} \right)^2 + \left( \frac{h_K}{p+1} \frac{h_K^{\min\{p+1,t\}}}{(p+1)^t} \|\nabla \times \mathbf{v}\|_{\mathbf{H}^t(K)} \right)^2 \right]. \end{aligned}$$

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- $hp$  case:  $|\Gamma_D| = 0$  and convex patch subdomains  $\omega_a$  for all vertices

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# A posteriori error estimates: context

## Weak formulation

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# A posteriori error estimates: context

Nédélec finite element discretization

$\mathbf{V}_h := \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{D}}(\text{curl}, \Omega)$ ,  $p \geq 0$ ;  $\mathbf{A}_h \in \mathbf{V}_h$  satisfies

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Reliability

$$\underbrace{\|\nabla \times (\mathbf{A} - \mathbf{A}_h)\|}_{\text{unknown error}} \leq \underbrace{C}_{\text{computable estimator}} \eta$$

Residual estimates (unknown constant  $C$ )

- Monk (1998)
- Beck, Hiptmair, Hoppe, & Wohlmuth (2000)
- Nicaise & Creusé (2003)

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Guaranteed upper bound via  $\mathbf{h}_h \in \mathbf{H}_{0,N}(\operatorname{curl}, \Omega)$  s.t.  $\nabla \times \mathbf{h}_h = \mathbf{j}$

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Functional estimates (global flux construction)

- Repin (2007)
- Hannukainen (2008)
- Neittaanmäki & Repin (2010)

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Guaranteed upper bound and efficiency via  $\mathbf{h}_h \in \mathbf{H}_{0,\text{N}}(\text{curl}, \Omega)$  s.t.  $\nabla \times \mathbf{h}_h = \mathbf{j}$

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## Equilibrated estimates (local flux construction)

- Braess & Schöberl (2008): lowest-order case  $p = 0$
- Licht (2019): a conceptual discussion
- Gedicke, Geevers, & Perugia (2020): equilibrated-residual-style construction
- Gedicke, Geevers, Perugia, & Schöberl (2021):  $p$ -robust modification
- Ern, Chaumont-Frelet, Vohralík (2021):  $p$ -robust broken patchwise equil.

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Guaranteed upper bound and efficiency via  $\mathbf{h}_h \in \mathbf{H}_{0,\text{N}}(\text{curl}, \Omega)$  s.t.  $\nabla \times \mathbf{h}_h = \mathbf{j}$

$$\underbrace{\|\nabla \times (\mathbf{A} - \mathbf{A}_h)\|}_{\text{unknown error}} \leq \underbrace{\|\nabla \times \mathbf{A}_h - \mathbf{h}_h\|}_{\text{computable estimator}} \lesssim \underbrace{\|\nabla \times (\mathbf{A} - \mathbf{A}_h)\|}_{\text{unknown error}}$$

Equilibrated estimates (local flux construction)

- Braess & Schöberl (2008): lowest-order case  $p = 0$
- Licht (2019): a conceptual discussion
- Gedicke, Geevers, & Perugia (2020): equilibrated-residual-style construction
- Gedicke, Geevers, Perugia, & Schöberl (2021):  $p$ -robust modification
- Ern, Chaumont-Frelet, Vohralík (2021):  $p$ -robust broken patchwise equil.

# A posteriori error estimates

## Weak formulation

$\mathbf{A} \in \mathbf{H}_{0,\mathrm{D}}(\mathrm{curl}, \Omega)$  satisfies

$$(\nabla \times \mathbf{A}, \nabla \times \mathbf{v}) = (\mathbf{j}, \mathbf{v}) \quad \forall \mathbf{v} \in \mathbf{H}_{0,\mathrm{D}}(\mathrm{curl}, \Omega).$$

## Nédélec finite element discretization

$\mathbf{V}_h := \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\mathrm{D}}(\mathrm{curl}, \Omega)$ ,  $p \geq 0$ ;  $\mathbf{A}_h \in \mathbf{V}_h$  satisfies

$$(\nabla \times \mathbf{A}_h, \nabla \times \mathbf{v}_h) = (\mathbf{j}, \mathbf{v}_h) \quad \forall \mathbf{v}_h \in \mathbf{V}_h.$$

$\mathbf{h}_h \in \mathcal{N}_{p+1}(\mathcal{T}_h) \cap \mathbf{H}_{0,\mathrm{N}}(\mathrm{curl}, \Omega)$  s.t.  $\nabla \times \mathbf{h}_h = \mathbf{j}$ : local equilibrated flux reconstruction

Theorem (Guaranteed upper bound, efficiency, and  $p$ -robustness)

$$\underbrace{\|\nabla \times (\mathbf{A} - \mathbf{A}_h)\|}_{\text{unknown error}} \leq \underbrace{\|\nabla \times \mathbf{A}_h - \mathbf{h}_h\|}_{\text{computable estimator}} \leq C(\kappa_{\mathcal{T}_h}) \underbrace{\|\nabla \times (\mathbf{A} - \mathbf{A}_h)\|}_{\text{unknown error}}$$

# A posteriori error estimates

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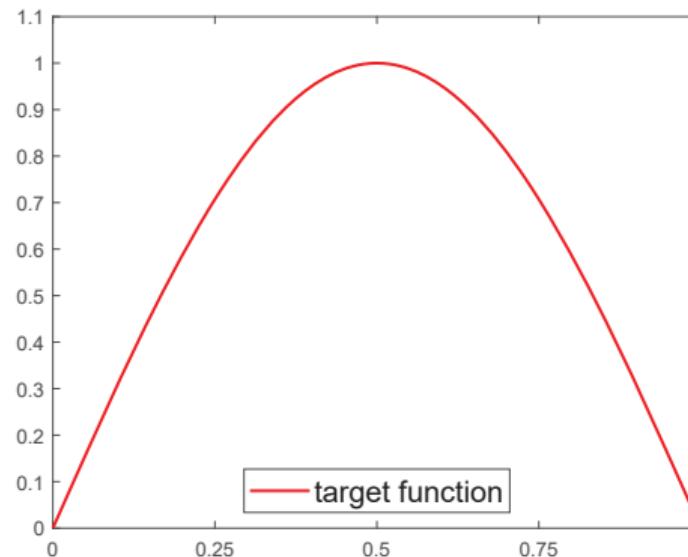
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  - Main tool: stable (broken)  $H(\text{curl})$  polynomial extensions
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- 8 Conclusions

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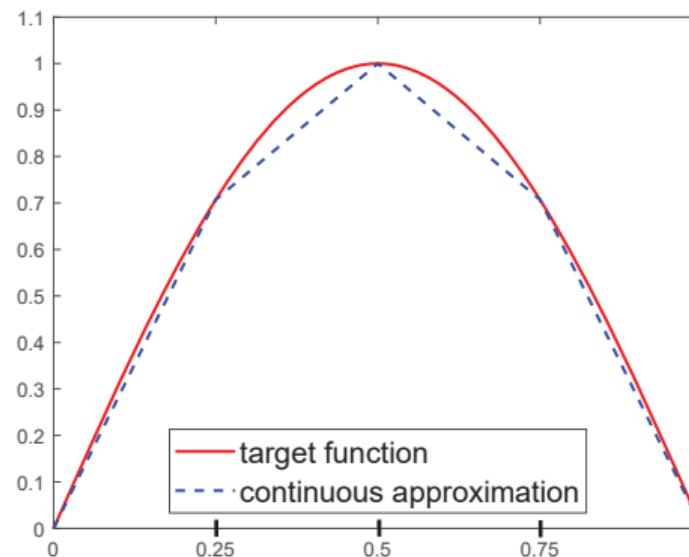
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# Equivalence of local- and global-best approximations in $H_0^1(\Omega)$ : 1D



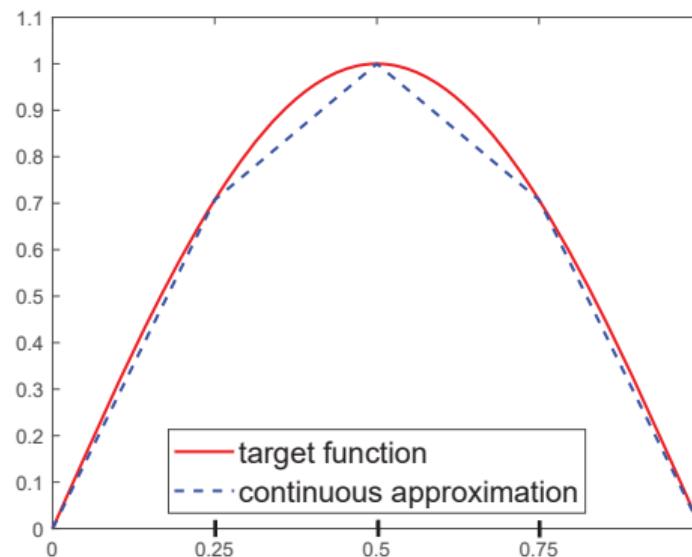
Target function

# Equivalence of local- and global-best approximations in $H_0^1(\Omega)$ : 1D

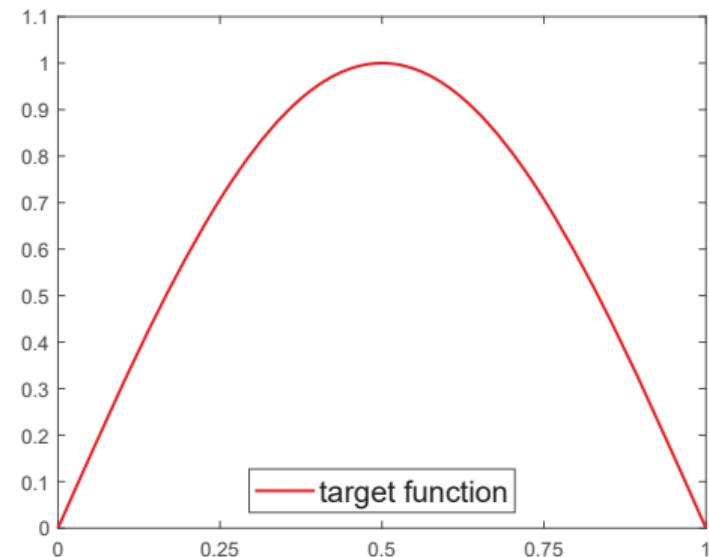


Approximation by **continuous**  
piecewise polynomials

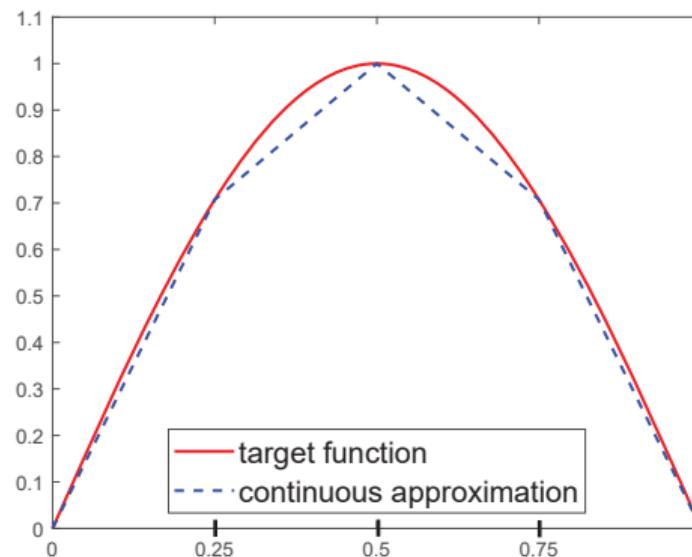
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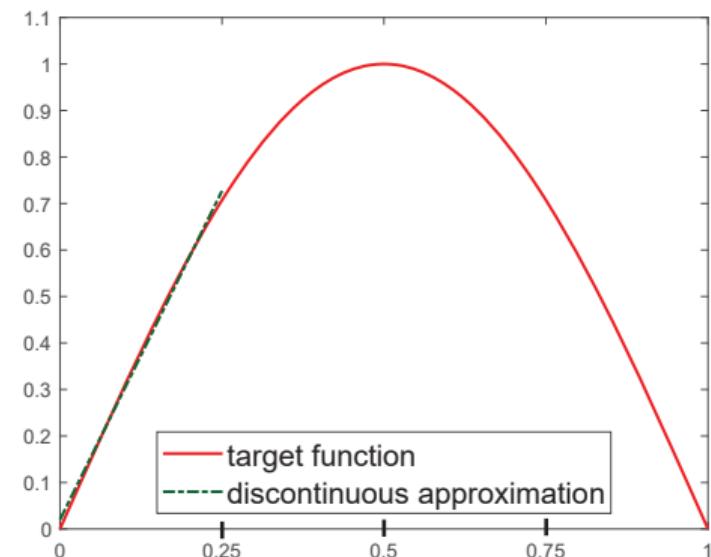
Approximation by **continuous**  
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Target function

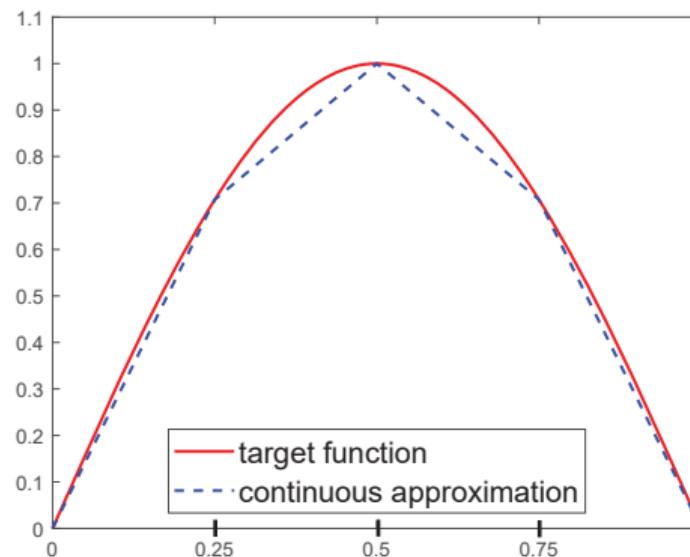
Equivalence of local- and global-best approximations in  $H_0^1(\Omega)$ : 1D

Approximation by **continuous**  
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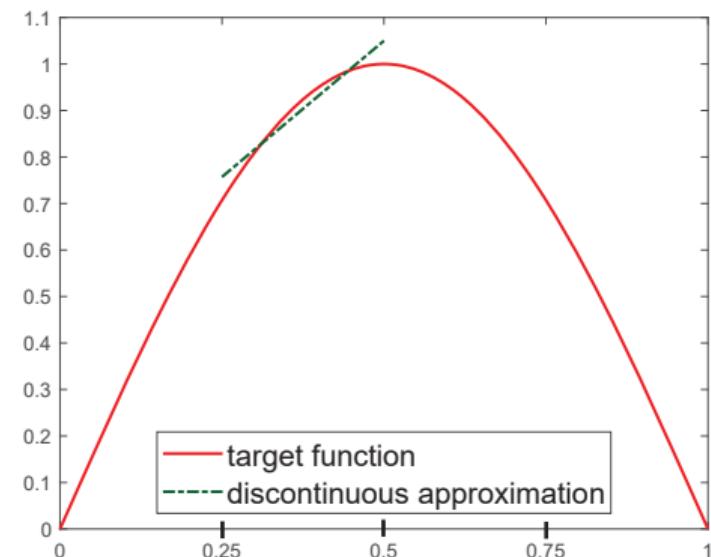


Approximation by **discontinuous**  
piecewise polynomials

# Equivalence of local- and global-best approximations in $H_0^1(\Omega)$ : 1D

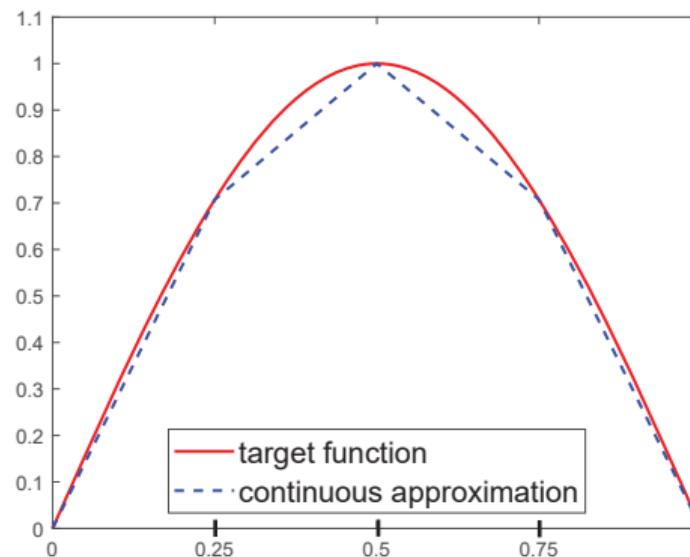


Approximation by **continuous** piecewise polynomials

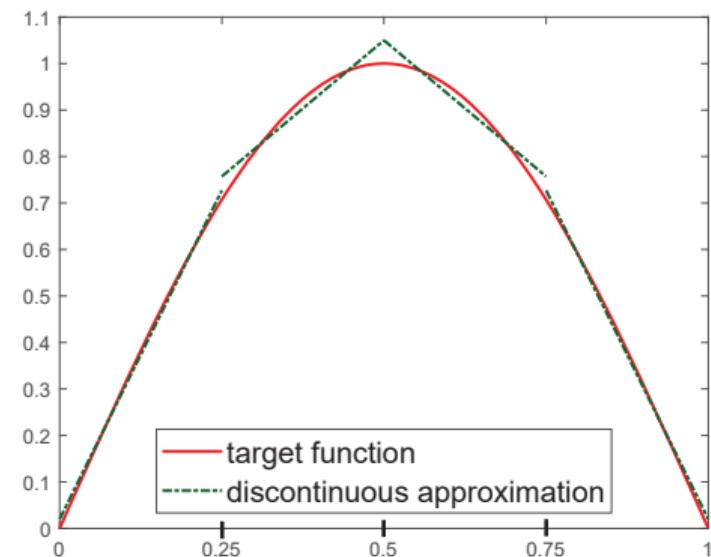


Approximation by **discontinuous** piecewise polynomials

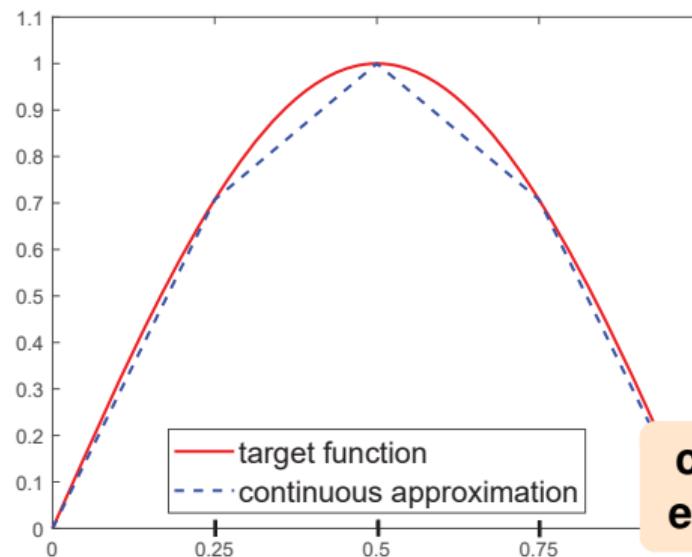
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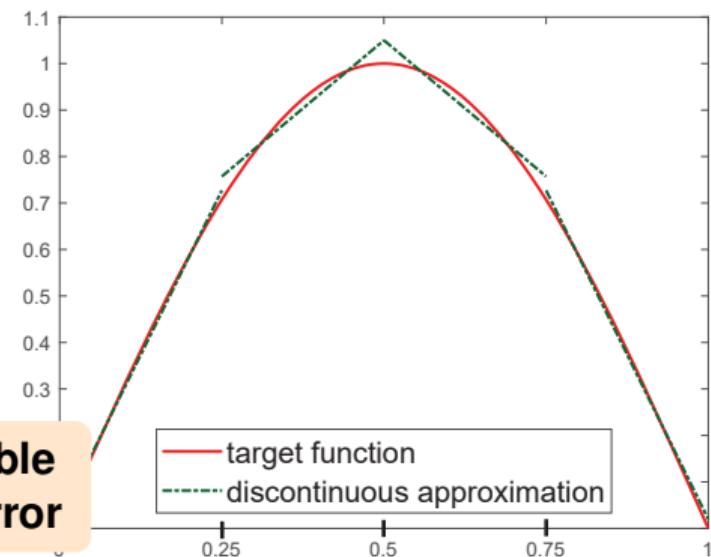
Approximation by **continuous**  
piecewise polynomials



Approximation by **discontinuous**  
piecewise polynomials

Equivalence of local- and global-best approximations in  $H_0^1(\Omega)$ : 1D

comparable  
energy error



Approximation by **continuous**  
piecewise polynomials

Approximation by **discontinuous**  
piecewise polynomials

# Equivalence of local- and global-best approximations in $H_0^1(\Omega)$

Equivalence in  $H_0^1$ , Carstensen, Peterseim, Schedensack (2012), Aurada, Feischl, Kemetmüller, Page, Praetorius (2013), Veeser (2016)

*bigger  $\approx$  smaller*

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$$\min_{\text{smaller space}} \approx \min_{\text{bigger space}}$$

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$$\min_{CG \text{ space}} \approx \min_{DG \text{ space}}$$

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$$\underbrace{\min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_0^1(\Omega)} \|\nabla(u - v_h)\|^2}_{\text{global-best on } \Omega \text{ trace-continuity constraint}} \approx \sum_{K \in \mathcal{T}_h} \underbrace{\min_{v_h \in \mathcal{P}_p(K)} \|\nabla(u - v_h)\|_K^2}_{\text{local-best on each } K \in \mathcal{T}_h \text{ no trace-continuity constraint}}$$

- $\approx_p$ : up to a generic constant that only depends on space dimension  $d$ , shape-regularity of the mesh  $\kappa_{\mathcal{T}_h}$ , and polynomial degree  $p$

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# Global-best approximation $\approx$ local-best approximation in $H(\text{curl})$

Theorem (Constrained equivalence in  $H(\text{curl})$ )

*bigger  $\approx$  smaller*

# Global-best approximation $\approx$ local-best approximation in $H(\text{curl})$

## Theorem (Constrained equivalence in $H(\text{curl})$ )

$$\min_{\text{smaller space } \text{with constraints}} \approx \min_{\text{bigger space } \text{without constraints}}$$

# Global-best approximation $\approx$ local-best approximation in $H(\text{curl})$

## Theorem (Constrained equivalence in $H(\text{curl})$ )

$$\min_{\text{Nédélec space with constraints}} \approx \min_{\text{broken Nédélec space without constraints}}$$

# Global-best approximation $\approx$ local-best approximation in $\mathbf{H}(\text{curl})$

## Theorem (Constrained equivalence in $\mathbf{H}(\text{curl})$ )

Let  $\mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{curl}, \Omega)$  and  $p \geq 0$  be arbitrary. Then,

$$\min_{\substack{\mathbf{v}_h \in \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{curl}, \Omega) \\ \nabla \times \mathbf{v}_h = \mathbf{P}_h^{p,\text{div}}(\nabla \times \mathbf{v})}} \|\mathbf{v} - \mathbf{v}_h\|^2 + \sum_{K \in \mathcal{T}_h} \left( \frac{h_K}{p+1} \|\nabla \times \mathbf{v} - \Pi_{\mathcal{RT}}^p(\nabla \times \mathbf{v})\|_K \right)^2$$

global-best on  $\Omega$   
tangential-trace-continuity constraint  
curl constraint

$$\approx_p \sum_{K \in \mathcal{T}_h} \left[ \underbrace{\min_{\mathbf{v}_h \in \mathcal{N}_p(K)} \|\mathbf{v} - \mathbf{v}_h\|_K^2}_{\text{local-best on each } K \in \mathcal{T}_h} + \left( \frac{h_K}{p+1} \|\nabla \times \mathbf{v} - \Pi_{\mathcal{RT}}^p(\nabla \times \mathbf{v})\|_K \right)^2 \right].$$

no tangential-trace-continuity constraint  
no curl constraint

- $\approx_p$ : only depends on shape-regularity of  $\mathcal{RT}_h$  and the polynomial degree  $p$

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*no tangential-trace-continuity constraint  
no curl constraint*

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# Global-best approximation $\approx$ local-best approximation in $\mathbf{H}(\text{curl})$

## Theorem (Constrained equivalence in $\mathbf{H}(\text{curl})$ )

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# Commuting de Rham diagram and wishlist for $\mathbf{P}_h^{p,\text{curl}}$

## Commuting de Rham diagram

$$\begin{array}{ccccccc}
 H_{0,N}^1(\Omega) & \xrightarrow{\nabla} & \mathbf{H}_{0,N}(\text{curl}, \Omega) & \xrightarrow{\nabla \times} & \mathbf{H}_{0,N}(\text{div}, \Omega) & \xrightarrow{\nabla \cdot} & L_*^2(\Omega) \\
 \downarrow \mathbf{P}_h^{p,\text{grad}} & & \downarrow \mathbf{P}_h^{p,\text{curl}} & & \downarrow \mathbf{P}_h^{p,\text{div}} & & \downarrow \Pi_h^p \\
 \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,N}^1(\Omega) & \xrightarrow{\nabla} & \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{curl}, \Omega) & \xrightarrow{\nabla \times} & \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{div}, \Omega) & \xrightarrow{\nabla \cdot} & \mathcal{P}_p(\mathcal{T}_h) \cap L_*^2(\Omega)
 \end{array}$$

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 \downarrow P_h^{p,\text{grad}} & & \downarrow P_h^{p,\text{curl}} & & \downarrow P_h^{p,\text{div}} & & \downarrow \Pi_h^p \\
 \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,N}^1(\Omega) & \xrightarrow{\nabla} & \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{curl}, \Omega) & \xrightarrow{\nabla \times} & \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{div}, \Omega) & \xrightarrow{\nabla \cdot} & \mathcal{P}_p(\mathcal{T}_h) \cap L_*^2(\Omega)
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# Commuting de Rham diagram and wishlist for $\mathbf{P}_h^{p,\text{curl}}$

## Commuting de Rham diagram

$$\begin{array}{ccccccc}
 H_{0,N}^1(\Omega) & \xrightarrow{\nabla} & \mathbf{H}_{0,N}(\text{curl}, \Omega) & \xrightarrow{\nabla \times} & \mathbf{H}_{0,N}(\text{div}, \Omega) & \xrightarrow{\nabla \cdot} & L_*^2(\Omega) \\
 \downarrow \mathbf{P}_h^{p,\text{grad}} & & \downarrow \mathbf{P}_h^{p,\text{curl}} & & \downarrow \mathbf{P}_h^{p,\text{div}} & & \downarrow \Pi_h^p \\
 \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,N}^1(\Omega) & \xrightarrow{\nabla} & \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{curl}, \Omega) & \xrightarrow{\nabla \times} & \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{div}, \Omega) & \xrightarrow{\nabla \cdot} & \mathcal{P}_p(\mathcal{T}_h) \cap L_*^2(\Omega)
 \end{array}$$

- $\mathbf{P}_h^{p,\text{div}}$ : Ern, Gudi, Smears, Vohralík (2022)

# Commuting de Rham diagram and wishlist for $\mathbf{P}_h^{p,\text{curl}}$

## Commuting de Rham diagram

$$\begin{array}{ccccc}
 H_{0,N}^1(\Omega) & \xrightarrow{\nabla} & \mathbf{H}_{0,N}(\text{curl}, \Omega) & \xrightarrow{\nabla \times} & \mathbf{H}_{0,N}(\text{div}, \Omega) \\
 \downarrow P_h^{p,\text{grad}} & & \downarrow \mathbf{P}_h^{p,\text{curl}} & & \downarrow P_h^p \\
 \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,N}^1(\Omega) & \xrightarrow{\nabla} & \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{curl}, \Omega) & \xrightarrow{\nabla \times} & \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{div}, \Omega) \\
 & & & & \xrightarrow{\nabla \cdot} \mathcal{P}_p(\mathcal{T}_h) \cap L_*^2(\Omega)
 \end{array}$$

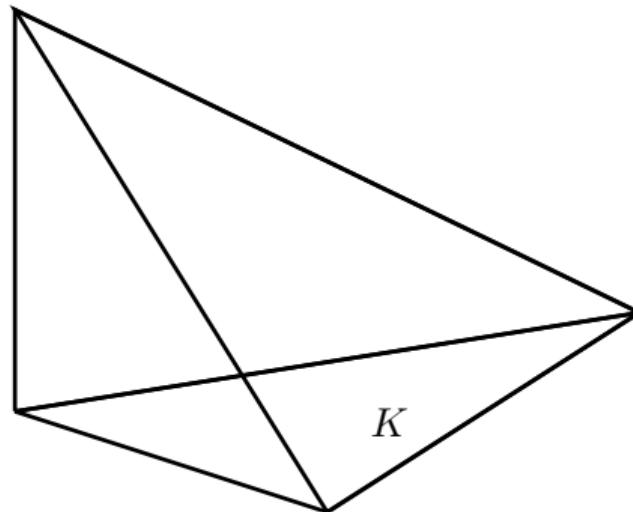
### Requirements on $\mathbf{P}_h^{p,\text{curl}}$

- ➊ be defined over the **entire** infinite-dimensional space  $\mathbf{H}_{0,N}(\text{curl}, \Omega)$
- ➋ be defined **locally** (in neighborhood of mesh elements)
- ➌ be defined **simply** (starting from elementwise polynomial projections)
- ➍ have **optimal approximation properties**, that of **elementwise unconstrained  $L^2$ -orthogonal projector** (local-best–global-best equivalence)
- ➎ be **stable in  $L^2(\Omega)$**  (up to data oscillation)
- ➏ satisfy the **commuting properties** expressed by the arrows
- ➐ be **projector**, i.e., leave intact piecewise polynomials

# Stable local commuting projectors defined on $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$

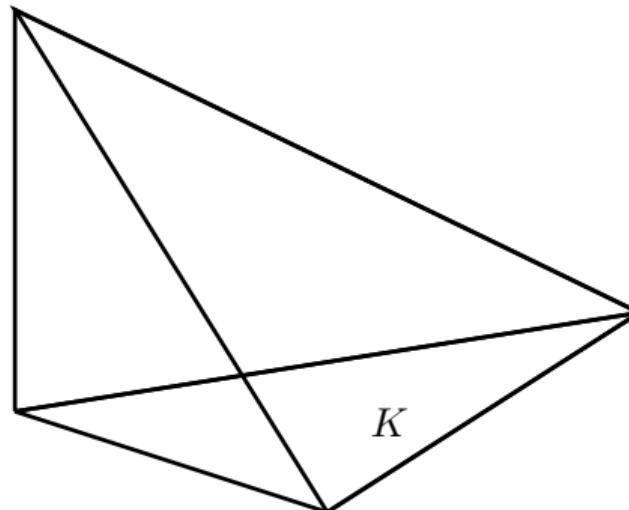
- Schöberl (2001, 2005): not local
- Christiansen and Winther (2008): not local
- Bespalov and Heuer (2011): low regularity but still not  $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$
- Falk and Winther (2014): local and  $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$ -stable but not  $L^2$ -stable
- Ern and Guermond (2016): not local
- Ern and Guermond (2017):  $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$  regularity but not commuting
- Licht (2019): essential boundary conditions on part of  $\partial\Omega$

# Classical elementwise interpolation



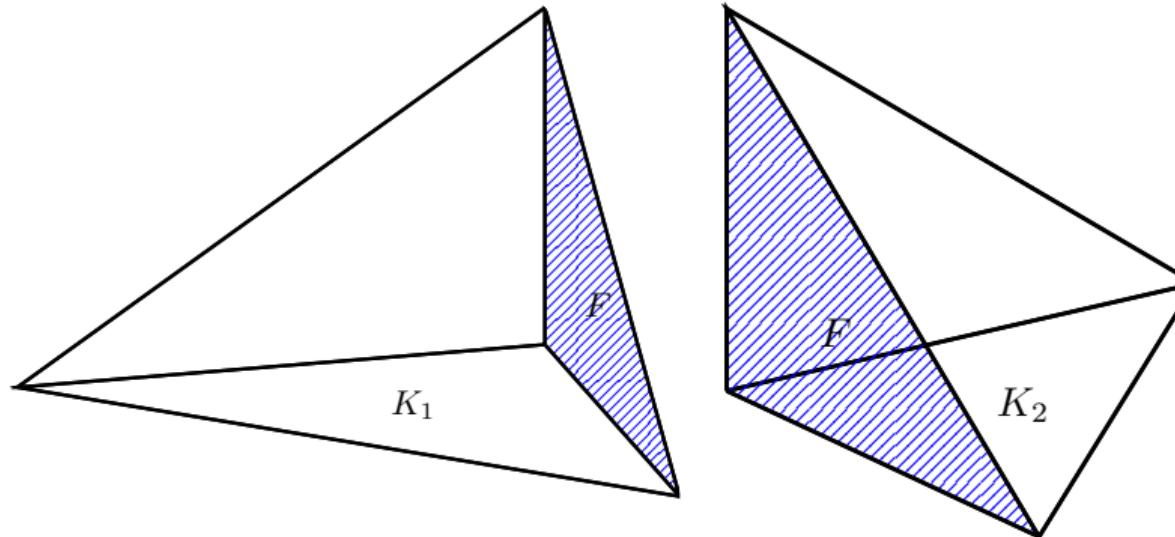
- $\|\mathbf{v} - \mathbf{v}_h\|^2 = \sum_{K \in \mathcal{T}_h} \|\mathbf{v} - \mathbf{v}_h\|_K^2$
- $\mathbf{v} \in \mathbf{H}(\text{curl}, \Omega) \Rightarrow \mathbf{v}|_K \in \mathbf{H}(\text{curl}, K) \Rightarrow$  so interpolate  $\mathbf{v}|_K$

# Classical elementwise interpolation



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# Classical elementwise interpolation: conformity enforcement

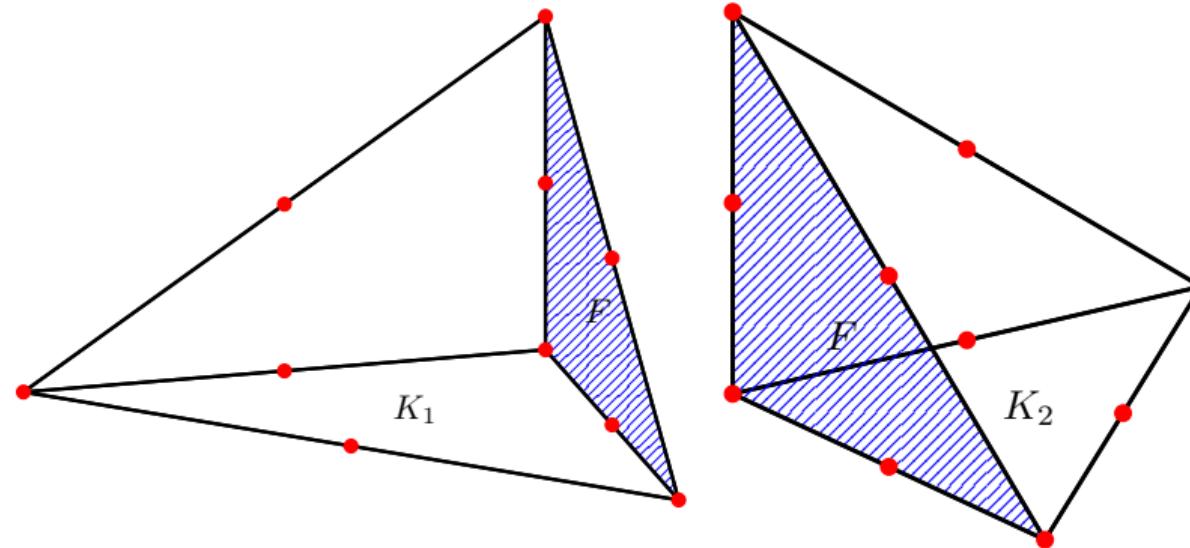


- $v \in H^1(K_1 \cup K_2)$  iff  $v \in H^1(K_1)$ ,  $v \in H^1(K_2)$ , and  $(v|_{K_1})|_F = (v|_{K_2})|_F$
- ⇒ ensure this by putting DoFs at the face  $F$  (Lagrange interpolate)

Clash

Point values not available in  $H^1$ .

# Classical elementwise interpolation: conformity enforcement

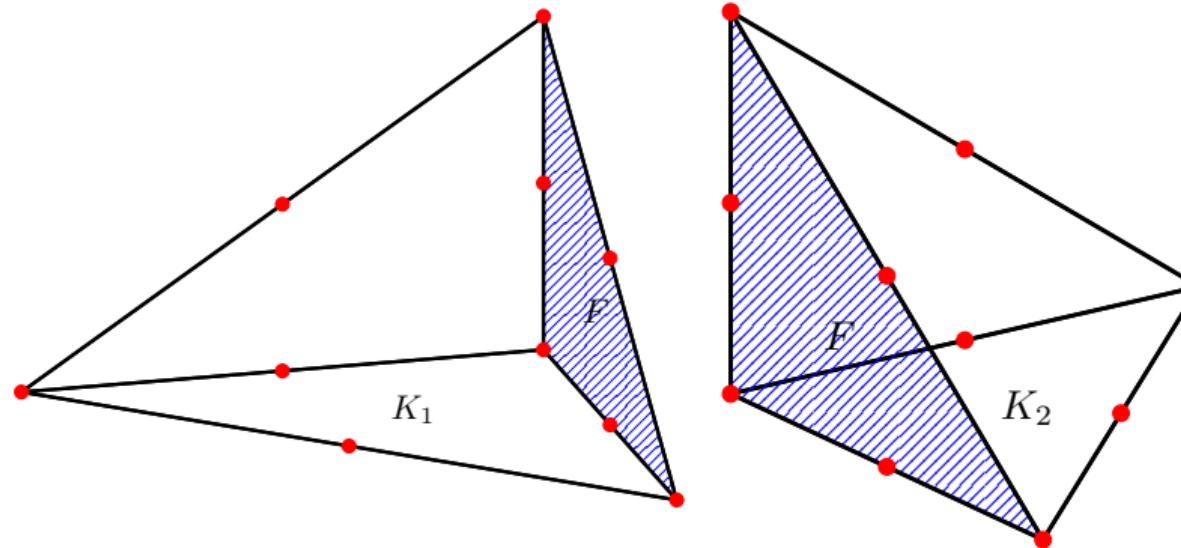


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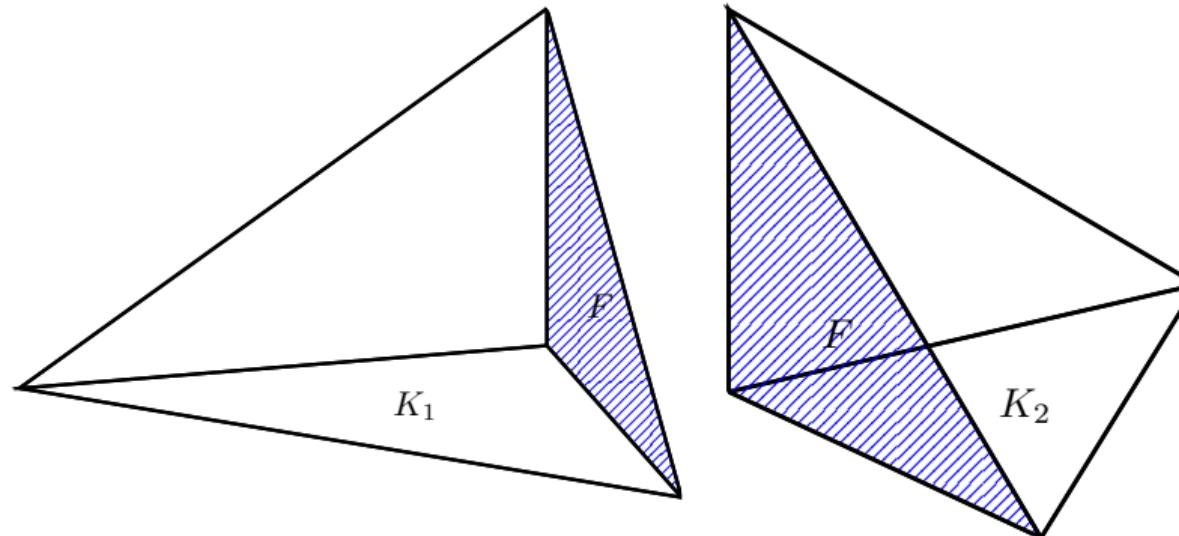


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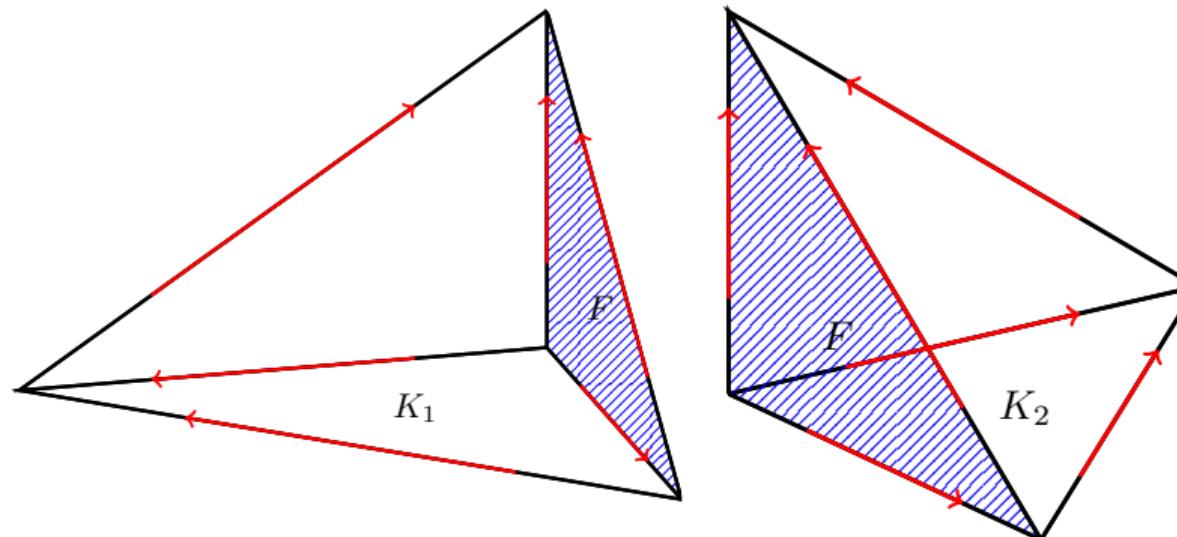


- $\mathbf{v} \in \mathbf{H}(\text{curl}, K_1 \cup K_2)$  iff  $\mathbf{v} \in \mathbf{H}(\text{curl}, K_1)$ ,  $\mathbf{v} \in \mathbf{H}(\text{curl}, K_2)$ , and  $(\mathbf{v}|_{K_1} \times \mathbf{n}_F)|_F = (\mathbf{v}|_{K_2} \times \mathbf{n}_F)|_F$  in appropriate sense

## Conclusion

Not a single tetrahedron  $K \in \mathcal{T}_h$  if the minimal regularity  $\mathbf{v} \in \mathbf{H}(\text{curl}, \Omega)$  requested.

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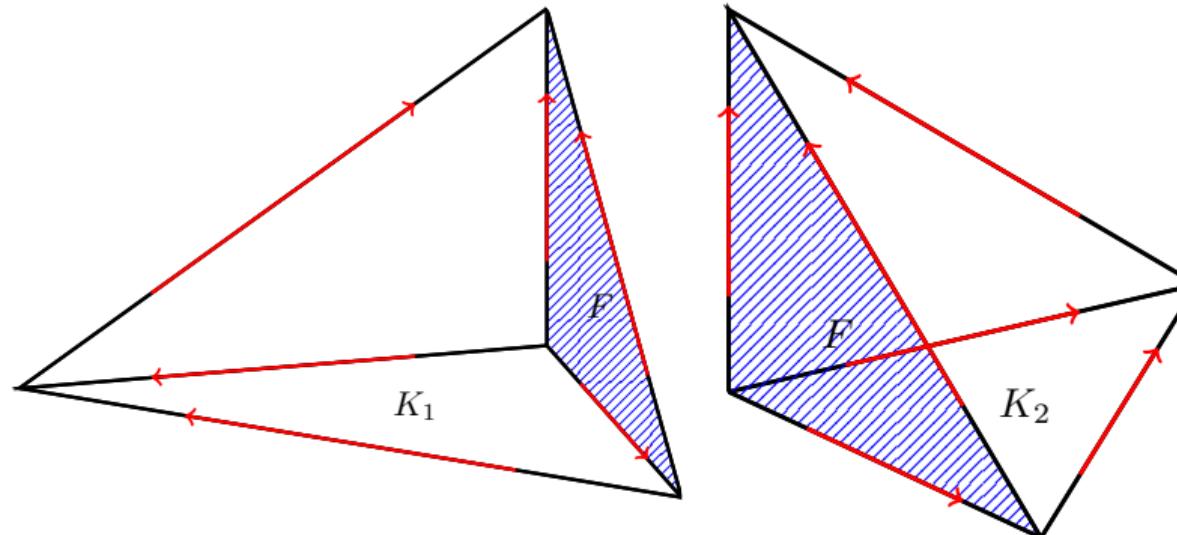


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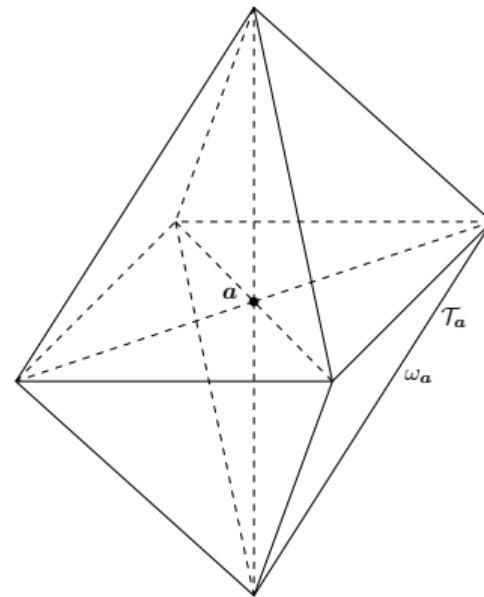


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# Classical patchwise interpolation (Clément)

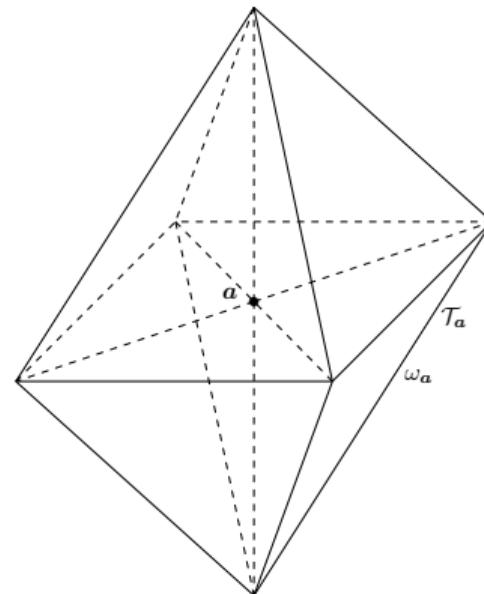


- some local-best polynomial approximation on  $\omega_a$
- values on  $\omega_a$  as weights for basis functions supported on  $\omega_a$

## Conclusion

Allows the **minimal regularity** but breaks the **projection property**, the **elementwise structure**, and the **commuting diagram**.

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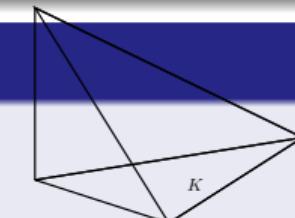
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# A stable local commuting projector $\mathbf{P}_h^{p,\text{curl}}$

Definition (A stable local commuting projector  $\mathbf{P}_h^{p,\text{curl}}$ )

Let  $\mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{curl}, \Omega)$  be given (minimal regularity).



- For each  $K \in \mathcal{T}_h$ , prepare the datum  $\tau_h|_K$

$$\tau_h|_K := \arg \min_{\substack{\mathbf{w}_h \in \mathcal{RT}_p(K) \\ \nabla \cdot \mathbf{w}_h = 0}} \|\nabla \times \mathbf{v} - \mathbf{w}_h\|_K$$

and define  $\iota_h|_K$  by the **elementwise constrained projection**

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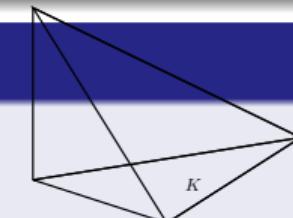
(discrete but tangential trace discontinuous).

- Obtain  $\mathbf{P}_h^{p,\text{curl}}(\mathbf{v}) \in \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{curl}, \Omega)$  by applying the **flux equilibration procedure** to  $\iota_h$ ; in particular,  $\mathbf{P}_h^{p,\text{curl}}(\mathbf{v}) := \mathbf{h}_h := \sum_{a \in \mathcal{V}_h} \mathbf{h}_h^a$ , where  $\mathbf{h}_h^a$  are obtained by **local energy minimizations** on the patch subdomains  $\omega_a$ .

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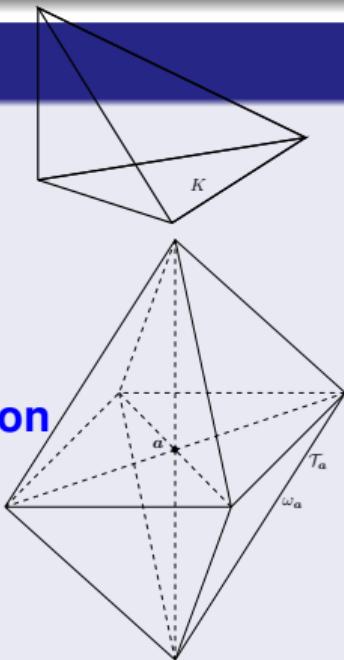
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Theorem (A stable local commuting projector  $\mathbf{P}_h^{p,\text{curl}}$ )

$\mathbf{P}_h^{p,\text{curl}}$  is a **commuting projector** since

$$\nabla \times \mathbf{P}_h^{p,\text{curl}}(\mathbf{v}) = \mathbf{P}_h^{p,\text{div}}(\nabla \times \mathbf{v})$$

$$\forall \mathbf{v} \in \mathbf{H}_{0,N}(\text{curl}, \Omega),$$

$$\mathbf{P}_h^{p,\text{curl}}(\mathbf{v}) = \mathbf{v}$$

$$\forall \mathbf{v} \in \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{curl}, \Omega).$$

Moreover, it has **local-best approximation properties** and is  **$L^2$  stable** up to data oscillation, since, for all  $\mathbf{v} \in \mathbf{H}_{0,N}(\text{curl}, \Omega)$  and  $K \in \mathcal{T}_h$ ,

$$\|\mathbf{v} - \mathbf{P}_h^{p,\text{curl}}(\mathbf{v})\|_K^2 + \left( \frac{h_K}{p+1} \|\nabla \times (\mathbf{v} - \mathbf{P}_h^{p,\text{curl}}(\mathbf{v}))\|_K \right)^2$$

$$\lesssim_p \sum_{K' \in \mathcal{T}_K} \left\{ \min_{\mathbf{v}_h \in \mathcal{N}_p(K')} \|\mathbf{v} - \mathbf{v}_h\|_{K'}^2 + \left( \frac{h_{K'}}{p+1} \|\nabla \times \mathbf{v} - \Pi_{\mathcal{RT}}^p(\nabla \times \mathbf{v})\|_{K'} \right)^2 \right\},$$

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$$\begin{aligned} & \| \mathbf{v} - \mathbf{P}_h^{p,\text{curl}}(\mathbf{v}) \|_K^2 + \left( \frac{h_K}{p+1} \| \nabla \times (\mathbf{v} - \mathbf{P}_h^{p,\text{curl}}(\mathbf{v})) \|_K \right)^2 \\ & \lesssim_p \sum_{K' \in \mathcal{T}_K} \left\{ \min_{\mathbf{v}_h \in \mathcal{N}_p(K')} \| \mathbf{v} - \mathbf{v}_h \|_{K'}^2 + \left( \frac{h_{K'}}{p+1} \| \nabla \times \mathbf{v} - \Pi_{\mathcal{RT}}^p(\nabla \times \mathbf{v}) \|_{K'} \right)^2 \right\}, \end{aligned}$$

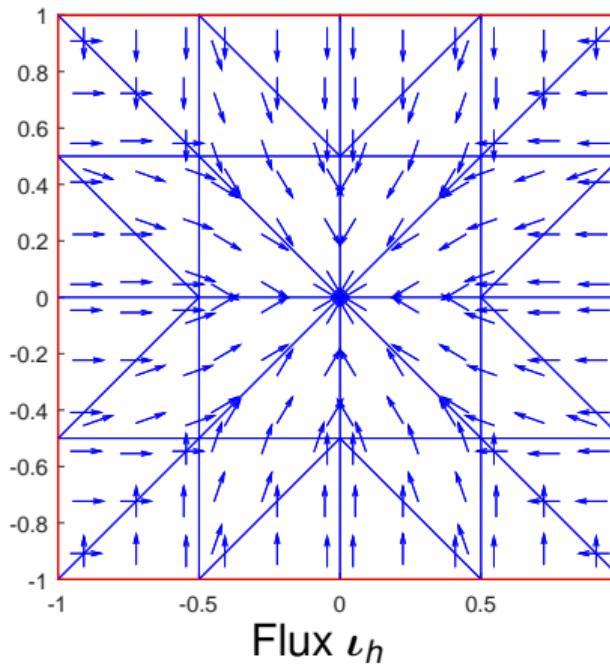
$$\| \mathbf{P}_h^{p,\text{curl}}(\mathbf{v}) \|_K^2 \lesssim_p \sum_{K' \in \mathcal{T}_K} \left\{ \| \mathbf{v} \|_{K'}^2 + \left( \frac{h_{K'}}{p+1} \| \nabla \times \mathbf{v} - \Pi_{\mathcal{RT}}^p(\nabla \times \mathbf{v}) \|_{K'} \right)^2 \right\}.$$

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# Equilibrated flux reconstruction in $H(\text{div})$

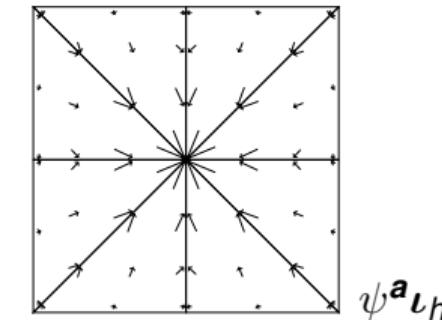
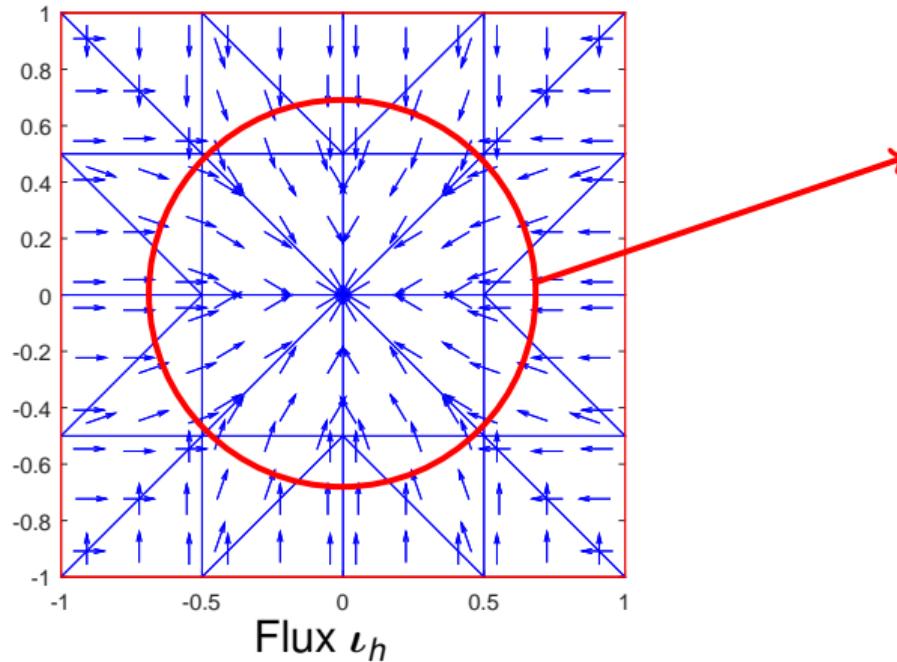
Destuynder and Métivet (1998), Braess & Schöberl (2008)



$$\underbrace{\nu_h \in \mathcal{RT}_p(\mathcal{T}_h), f \in \mathcal{P}_{p+1}(\mathcal{T}_h)}_{(f, \psi^a)_{\omega_a} + (\nu_h, \nabla \psi^a)_{\omega_a} = 0 \quad \forall a \in \mathcal{V}_h^{\text{int}}}$$

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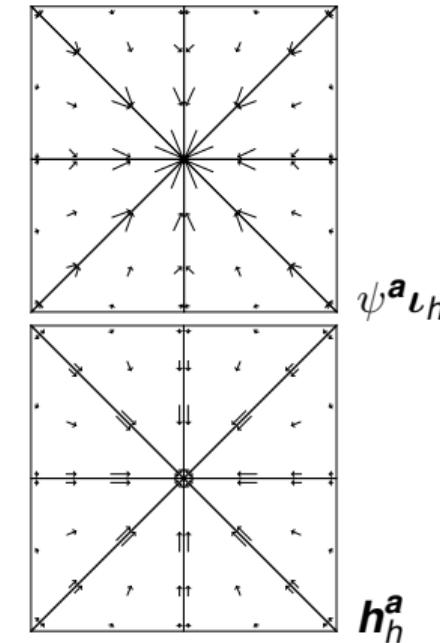
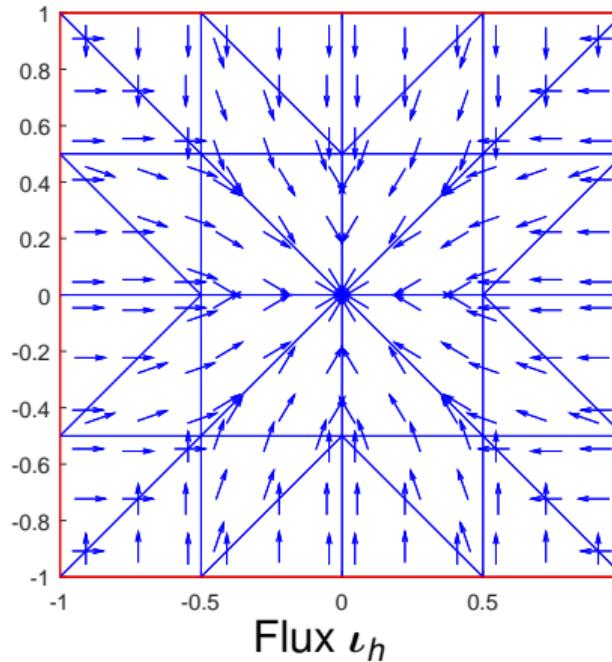
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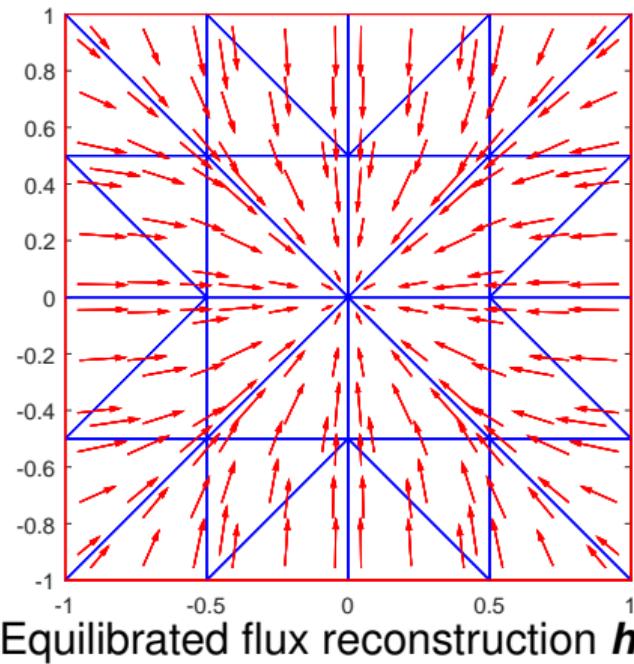
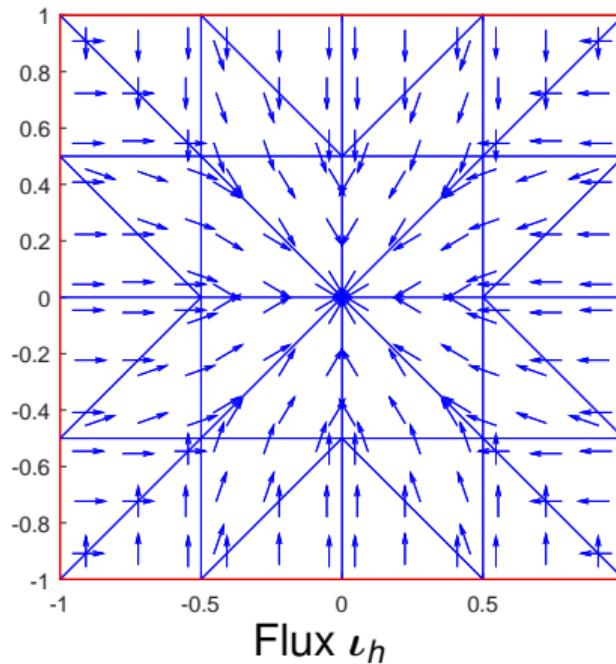
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$$\underbrace{\boldsymbol{\iota}_h \in \mathcal{RT}_p(\mathcal{T}_h), f \in \mathcal{P}_{p+1}(\mathcal{T}_h)}_{(f, \psi^{\mathbf{a}})_{\omega_{\mathbf{a}}} + (\boldsymbol{\iota}_h, \nabla \psi^{\mathbf{a}})_{\omega_{\mathbf{a}}} = 0 \quad \forall \mathbf{a} \in \mathcal{V}_h^{\text{int}}} \rightarrow \boldsymbol{h}_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \boldsymbol{h}_h^{\mathbf{a}} \in \mathcal{RT}_{p+1}(\mathcal{T}_h) \cap H(\text{div}), \nabla \cdot \boldsymbol{h}_h = f$$

# Equilibration – the bottom line

## $H(\text{div})$ -case

- When there exists  $\mathbf{v}_h \in \mathcal{RT}_p(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{div}, \omega_{\mathbf{a}})$  such that  $\nabla \cdot \mathbf{v}_h = j_h^{\mathbf{a}}$ ?
- When  $j_h^{\mathbf{a}} \in \mathcal{P}_p(\mathcal{T}_{\mathbf{a}})$  and  $(j_h^{\mathbf{a}}, 1)_{\omega_{\mathbf{a}}} = 0$  if  $\mathbf{a} \notin \overline{\Gamma_D}$ .

## $H(\text{curl})$ -case

- When there exists  $\mathbf{v}_h \in \mathcal{N}_p(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{curl}, \omega_{\mathbf{a}})$  such that  $\nabla \times \mathbf{v}_h = j_h^{\mathbf{a}}$ ?
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  - Equivalence
- 5 A stable local commuting projector
  - Commuting de Rham diagram, wishlist, and context
  - A stable local commuting projector  $P_h^{p,\text{curl}}$
- 6 Equilibration in  $\mathbf{H}(\text{curl})$ 
  - Patchwise equilibration
  - Main tool: stable (broken)  $\mathbf{H}(\text{curl})$  polynomial extensions
- 7 Numerical illustration
- 8 Conclusions

# Patchwise equilibrated fluxes

## Continuous level

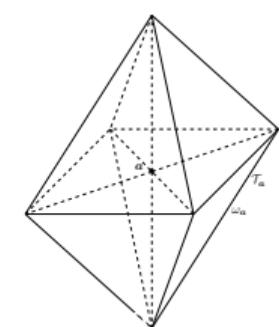
- $\mathbf{A} \in \mathbf{H}_{0,\mathrm{D}}(\mathrm{curl}, \Omega)$  satisfies
 
$$(\nabla \times \mathbf{A}, \nabla \times \mathbf{v}) = (\mathbf{j}, \mathbf{v}) \quad \forall \mathbf{v} \in \mathbf{H}_{0,\mathrm{D}}(\mathrm{curl}, \Omega).$$

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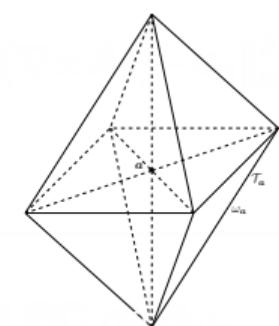
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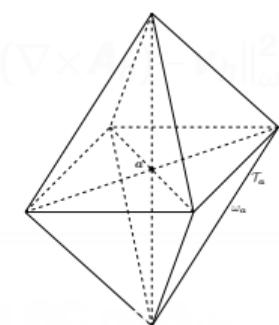
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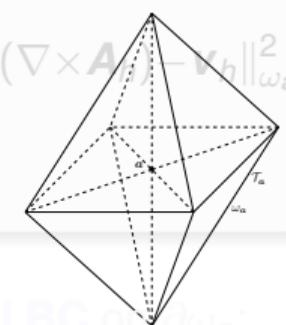
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Key points

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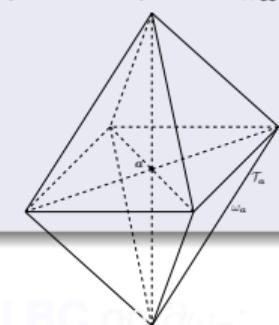
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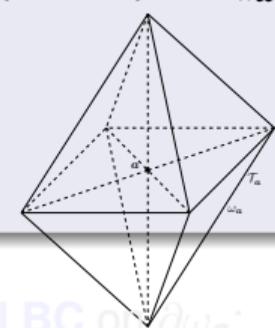
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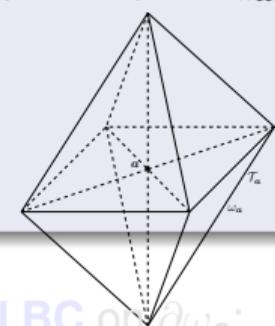
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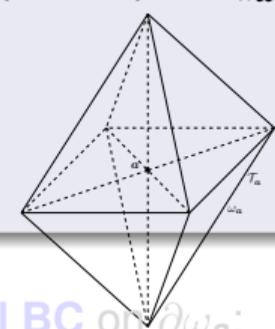
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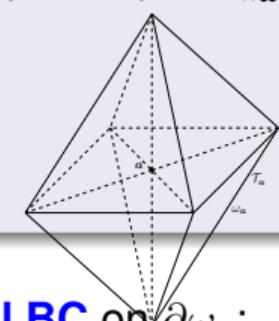
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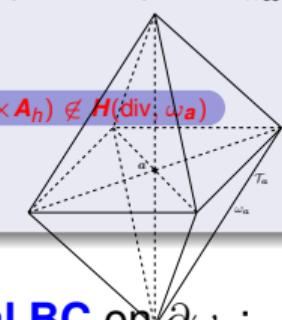
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►  $\psi^{\mathbf{a}} \mathbf{j} \in \mathcal{RT}_{p+1}(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{div}, \omega_{\mathbf{a}})$  but  $\nabla \psi^{\mathbf{a}} \times (\nabla \times \mathbf{A}_h) \notin \mathbf{H}(\text{div}, \omega_{\mathbf{a}})$

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- $\mathbf{A} \in \mathbf{H}_{0,\text{D}}(\text{curl}, \Omega)$  satisfies  
 $(\nabla \times \mathbf{A}, \nabla \times \mathbf{v}) = (\mathbf{j}, \mathbf{v}) \quad \forall \mathbf{v} \in \mathbf{H}_{0,\text{D}}(\text{curl}, \Omega).$

- Thus  $\nabla \times \mathbf{A} \in \mathbf{H}_{0,\text{N}}(\text{curl}, \Omega)$  with  
 $\nabla \times (\nabla \times \mathbf{A}) = \mathbf{j}.$
- Take  $\mathbf{h}^{\mathbf{a}} := \psi^{\mathbf{a}}(\nabla \times \mathbf{A}) \in \mathbf{H}_0(\text{curl}, \omega_{\mathbf{a}})$   
and note that  $\sum_{\mathbf{a} \in \mathcal{V}_h} \mathbf{h}^{\mathbf{a}} = \nabla \times \mathbf{A}.$
- Rewritten implicitly,

$$\mathbf{h}^{\mathbf{a}} := \arg \min_{\substack{\mathbf{v} \in \mathbf{H}_0(\text{curl}, \omega_{\mathbf{a}}) \\ \nabla \times \mathbf{v} = \mathbf{j}^{\mathbf{a}}}} \|\psi^{\mathbf{a}}(\nabla \times \mathbf{A}) - \mathbf{v}\|_{\omega_{\mathbf{a}}}^2$$

with

$$\mathbf{j}^{\mathbf{a}} := \psi^{\mathbf{a}} \mathbf{j} + \nabla \psi^{\mathbf{a}} \times (\nabla \times \mathbf{A}).$$

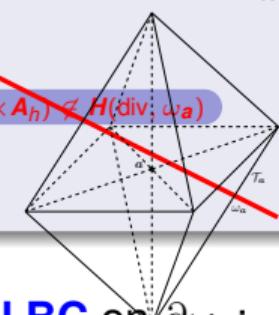
## Definition (Chaumont-Frelet, Vohralík (2022))

For each vertex  $\mathbf{a} \in \mathcal{V}_h$ , solve the **local constrained minimization pb**

$$\mathbf{h}_h^{\mathbf{a}} := \arg \min_{\substack{\mathbf{v}_h \in \mathcal{N}_{p+1}(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{curl}, \omega_{\mathbf{a}}) \\ \nabla \times \mathbf{v}_h = \psi^{\mathbf{a}} \mathbf{j} + \nabla \psi^{\mathbf{a}} \times (\nabla \times \mathbf{A}_h)}} \|\psi^{\mathbf{a}}(\nabla \times \mathbf{A}_h) - \mathbf{v}_h\|_{\omega_{\mathbf{a}}}^2$$

►  $\psi^{\mathbf{a}} \mathbf{j} \in \mathcal{RT}_{p+1}(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{div}, \omega_{\mathbf{a}})$  but  $\nabla \psi^{\mathbf{a}} \times (\nabla \times \mathbf{A}_h) \notin \mathbf{H}(\text{div}, \omega_{\mathbf{a}})$

$$\mathbf{h}_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \mathbf{h}_h^{\mathbf{a}}.$$



## Key points

- **homogeneous tangential BC** on  $\partial \omega_{\mathbf{a}}$ :  
 $\mathbf{h}_h \in \mathcal{N}_{p+1}(\mathcal{T}_h) \cap \mathbf{H}(\text{curl}, \Omega)$
- **global equilibrium**  $\nabla \times \mathbf{h}_h = \sum_{\mathbf{a} \in \mathcal{V}_h} \nabla \times \mathbf{h}_h^{\mathbf{a}}$   
 $= \sum_{\mathbf{a} \in \mathcal{V}_h} (\psi^{\mathbf{a}} \mathbf{j} + \nabla \psi^{\mathbf{a}} \times (\nabla \times \mathbf{A}_h)) = \mathbf{j}$

# Stage 1: overconstrained Raviart–Thomas projection

Projection of  $\nabla\psi^{\mathbf{a}} \times (\nabla \times \mathbf{A}_h)$  to a Raviart–Thomas space

For all vertices  $\mathbf{a} \in \mathcal{V}_h$ , consider  $p' := \min\{p, 1\}$ -degree patchwise minimizations:

$$\theta_h^{\mathbf{a}} := \arg \min_{\mathbf{v}_h \in \mathcal{RT}_{p'}(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{div}, \omega_{\mathbf{a}})} \|\nabla\psi^{\mathbf{a}} \times (\nabla \times \mathbf{A}_h) - \mathbf{v}_h\|_{\omega_{\mathbf{a}}}^2.$$

$\nabla \cdot \mathbf{v}_h = -\nabla\psi^{\mathbf{a}} \cdot \mathbf{j}$

$(\mathbf{v}_h, r_h)_K = (\nabla\psi^{\mathbf{a}} \times (\nabla \times \mathbf{A}_h), r_h)_K \quad \forall r_h \in [P_0(K)]^3, \forall K \in \mathcal{T}_{\mathbf{a}}$

## Comments

- $\nabla\psi^{\mathbf{a}} \times (\nabla \times \mathbf{A}_h) \notin \mathcal{RT}_{p'}(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{div}, \omega_{\mathbf{a}})$
- remainder  $\delta_h := \sum_{K \in \mathcal{T}_{\mathbf{a}}} \delta_h^K$ 
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- additional orthogonality constraint
- crucial for stage 2

http://math.cse.leeds.ac.uk/~mvo/teaching/numerical-analysis/lectures/10.pdf  
 M. Vohralík, Institut Élie Cartan de Lorraine, Université de Lorraine, Nancy, France

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# Stage 2: divergence-free decomposition of the given divergence-free Raviart-Thomas piecewise polynomial $\delta_h$

Divergence-free decomposition of  $\delta_h$

For all tetrahedra  $K \in \mathcal{T}_h$ , consider  $(p+1)$ -degree elementwise minimizations:

$$\delta_h^{\mathbf{a}}|_K := \arg \min_{\substack{\mathbf{v}_h \in \mathcal{RT}_1(K) \\ \nabla \cdot \mathbf{v}_h = 0 \\ \mathbf{v}_h \cdot \mathbf{n}_K = \mathbf{I}_{\mathcal{RT}}^1(\psi^{\mathbf{a}} \delta_h) \cdot \mathbf{n}_K \text{ on } \partial K}} \|\mathbf{v}_h - \mathbf{I}_{\mathcal{RT}}^1(\psi^{\mathbf{a}} \delta_h)\|_K^2 \quad \forall \mathbf{a} \in \mathcal{V}_K \text{ when } p=0,$$

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## Comments

- patchwise contributions

$$\delta_h^{\mathbf{a}} \in \mathcal{RT}_{p+1}(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\operatorname{div}, \omega_{\mathbf{a}}) \quad \text{and} \quad \nabla \cdot \delta_h^{\mathbf{a}} = 0 \quad \forall \mathbf{a} \in \mathcal{V}_h$$

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$\psi, \gamma$  form a divergence-free decomposition of  $\delta_h$ :  $\delta_h = \sum \delta_h^{\mathbf{a}}$

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# Stage 2: divergence-free decomposition of the given divergence-free current density $\mathbf{j}$

Divergence-free decomposition of the current density  $\mathbf{j}$

Set

$$\mathbf{j}_h^{\mathbf{a}} := \psi^{\mathbf{a}} \mathbf{j} + \theta_h^{\mathbf{a}} - \delta_h^{\mathbf{a}}.$$

Then

$$\mathbf{j}_h^{\mathbf{a}} \in \mathcal{RT}_{p+1}(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{div}, \omega_{\mathbf{a}}),$$

$$\nabla \cdot \mathbf{j}_h^{\mathbf{a}} = 0,$$

$$\sum_{\mathbf{a} \in \mathcal{V}_h} \mathbf{j}_h^{\mathbf{a}} = \mathbf{j}.$$

# Stage 3: discrete patchwise equilibrated fluxes

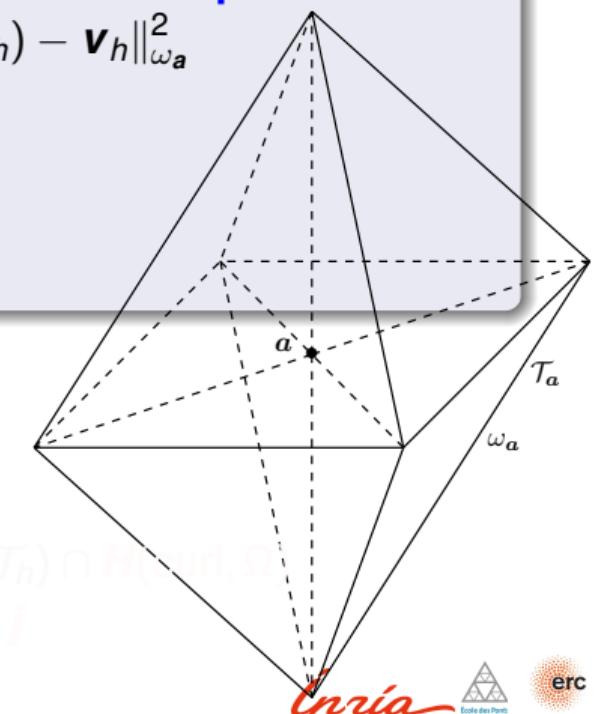
Definition (Chaumont-Frelet, Vohralík (2021))

For each vertex  $a \in \mathcal{V}_h$ , solve the **local constrained minimization problem**

$$\mathbf{h}_h^a := \arg \min_{\begin{array}{l} \mathbf{v}_h \in \mathcal{N}_{p+1}(\mathcal{T}_a) \cap \mathcal{H}_0(\text{curl}, \omega_a) \\ \nabla \times \mathbf{v}_h = \mathbf{j}_h^a \end{array}} \|\psi^a(\nabla \times \mathbf{A}_h) - \mathbf{v}_h\|_{\omega_a}^2$$

and combine

$$\mathbf{h}_h := \sum_{a \in \mathcal{V}_h} \mathbf{h}_h^a.$$



Key points

- homogeneous tangential BC on  $\partial\omega_a$ :  $\mathbf{h}_h \in \mathcal{N}_{p+1}(\mathcal{T}_h) \cap \mathcal{H}_0(\text{curl}, \omega_a)$
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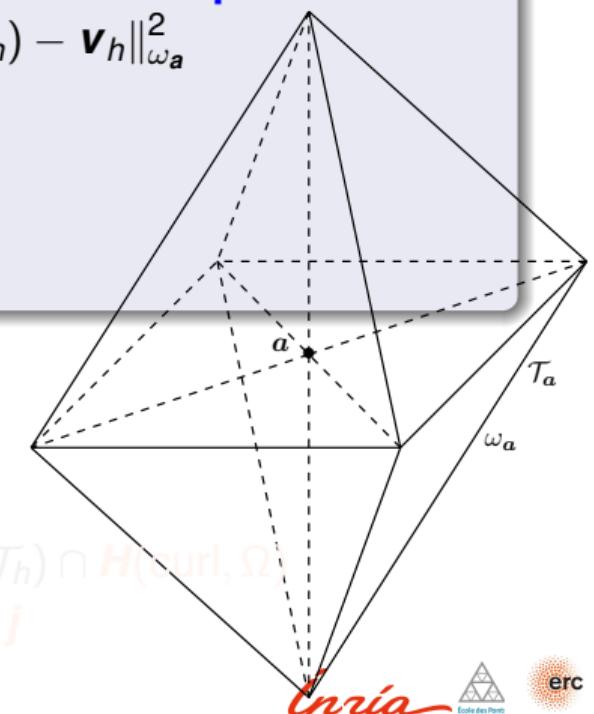
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Key points

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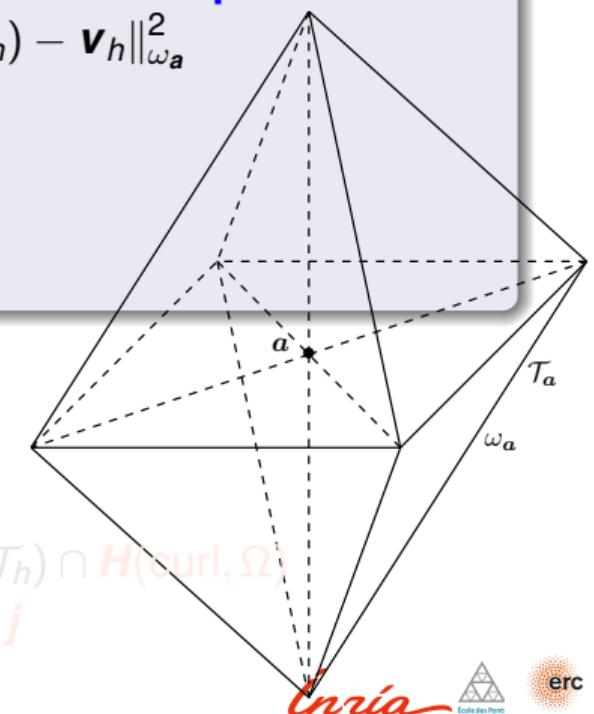
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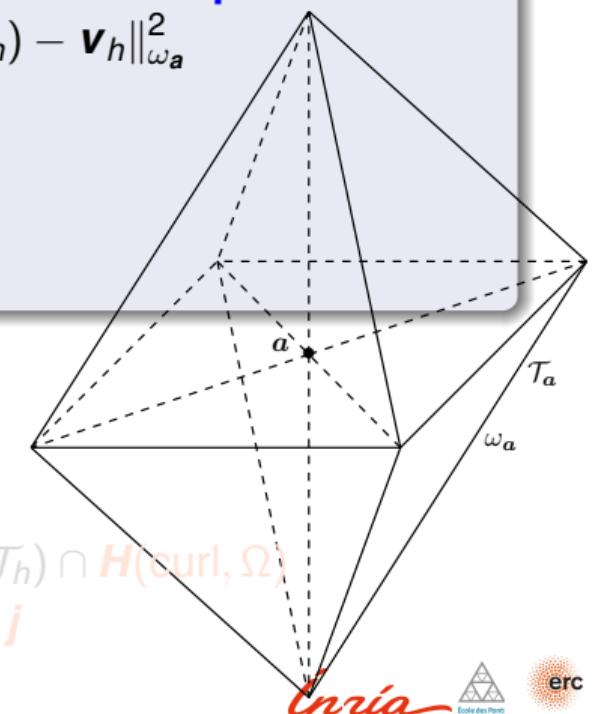
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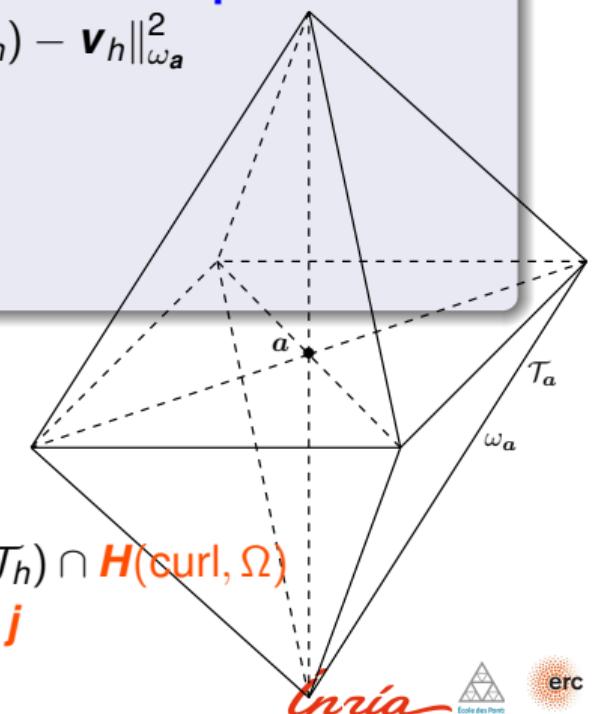
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# Outline

- 1 Introduction
- 2 Approximation error estimates
- 3 A posteriori error estimates
- 4 Local-best–global-best equivalence
  - Context
  - Equivalence
- 5 A stable local commuting projector
  - Commuting de Rham diagram, wishlist, and context
  - A stable local commuting projector  $P_h^{p,\text{curl}}$
- 6 Equilibration in  $\mathbf{H}(\text{curl})$ 
  - Patchwise equilibration
  - Main tool: stable (broken)  $\mathbf{H}(\text{curl})$  polynomial extensions
- 7 Numerical illustration
- 8 Conclusions

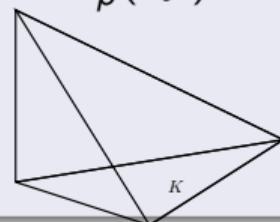
# $\mathbf{H}(\text{curl})$ polynomial extensions on a tetrahedron

Theorem ( $\mathbf{H}(\text{curl})$ ) polynomial extension on a single tetrahedron

Costabel & Mc-Intosh (2010);

Demkowicz, Gopalakrishnan, & Schöberl (2009); Chaumont-Frelet, Ern, & Vohralík (2020)

Let  $\emptyset \subseteq \mathcal{F} \subseteq \mathcal{F}_K$  be a (sub)set of faces of a tetrahedron  $K$ . Then, for every polynomial degree  $p \geq 0$ , for all  $\mathbf{r}_K \in \mathcal{RT}_p(K)$  such that  $\nabla \cdot \mathbf{r}_K = 0$ , and for all  $\mathbf{r}_{\mathcal{F}} \in \mathcal{N}_p^{\tau}(\Gamma_{\mathcal{F}})$  such that  $\mathbf{r}_K \cdot \mathbf{n}_F = \text{curl}_F(\mathbf{r}_F)$  for all  $F \in \mathcal{F}$ , there holds



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## Comments

- $C_{\text{st}}$  only depends on the shape-regularity of  $K$
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- extension to an edge patch: Chaumont-Frelet, Ern, & Vohralík (2021)
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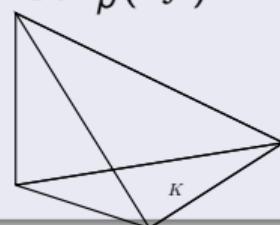
# $\mathbf{H}(\text{curl})$ polynomial extensions on a tetrahedron

Theorem ( $\mathbf{H}(\text{curl})$ ) polynomial extension on a single tetrahedron

Costabel & Mc-Intosh (2010);

Demkowicz, Gopalakrishnan, & Schöberl (2009); Chaumont-Frelet, Ern, & Vohralík (2020)

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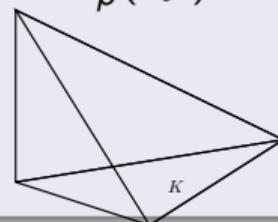
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Theorem ( $\mathbf{H}(\text{curl})$ ) polynomial extension on a single tetrahedron

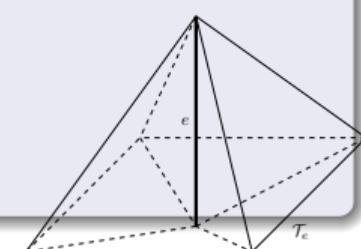
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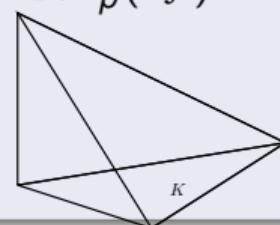
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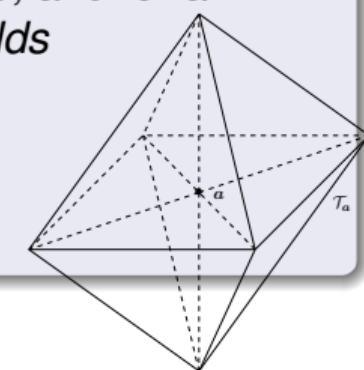
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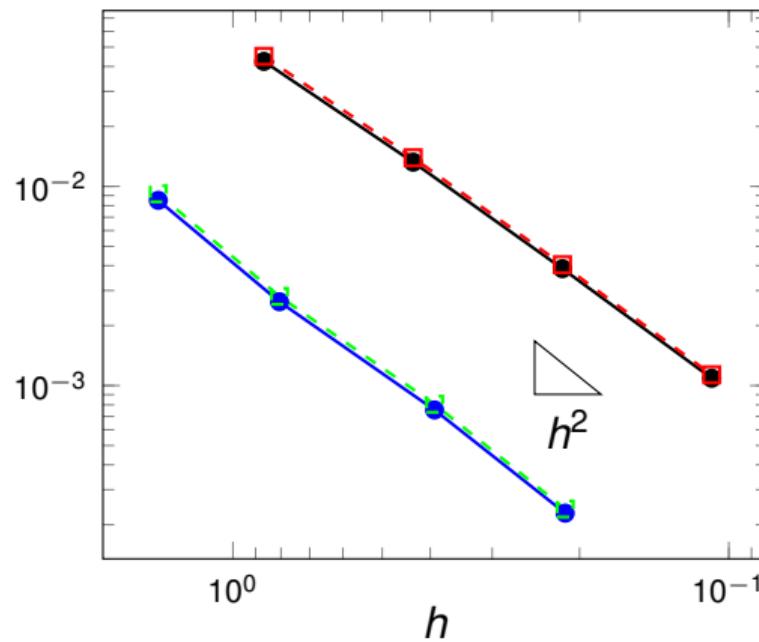
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# Outline

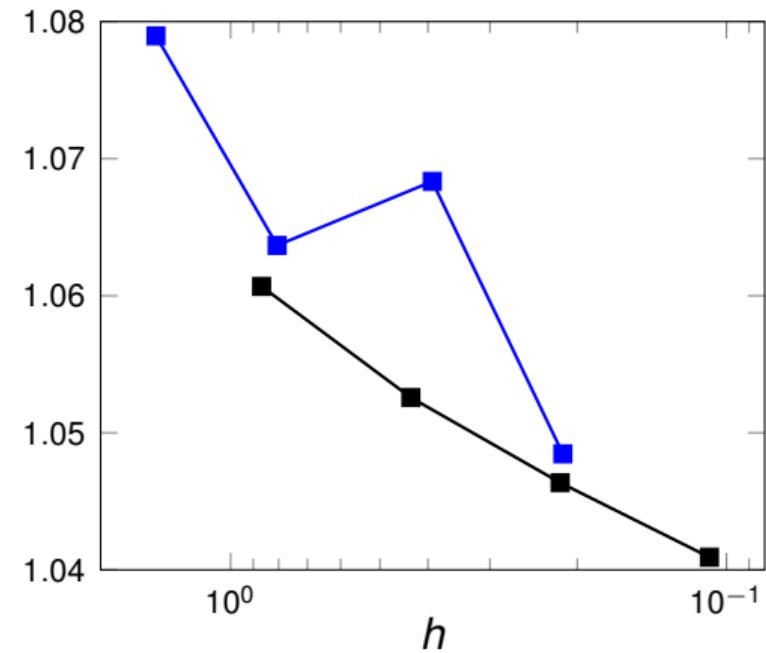
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- 2 Approximation error estimates
- 3 A posteriori error estimates
- 4 Local-best–global-best equivalence
  - Context
  - Equivalence
- 5 A stable local commuting projector
  - Commuting de Rham diagram, wishlist, and context
  - A stable local commuting projector  $P_h^{p,\text{curl}}$
- 6 Equilibration in  $H(\text{curl})$ 
  - Patchwise equilibration
  - Main tool: stable (broken)  $H(\text{curl})$  polynomial extensions
- 7 Numerical illustration
- 8 Conclusions

# Patchwise equilibration, $H^3$ solution, $h$ -refinement

$$\|\nabla \times (\mathbf{A} - \mathbf{A}_h)\|$$

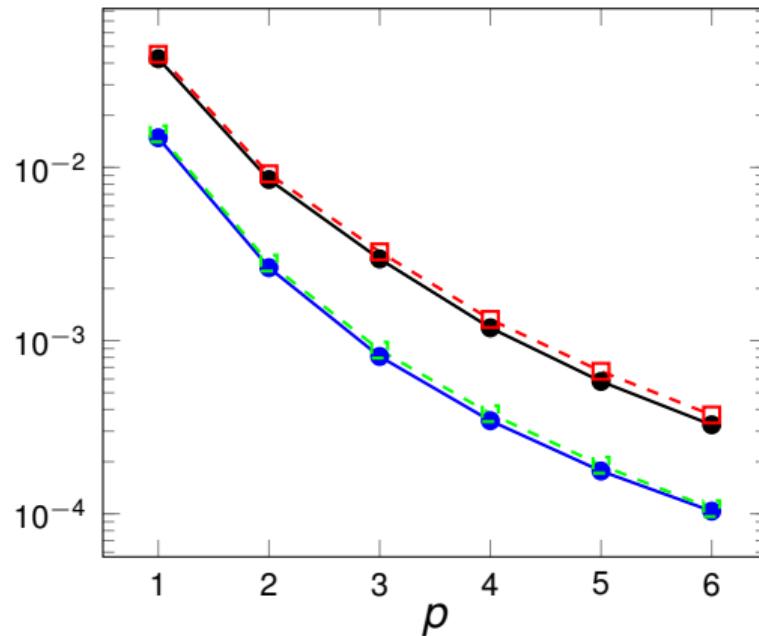


$$\text{Effectivity index } \eta / \|\nabla \times (\mathbf{A} - \mathbf{A}_h)\|$$



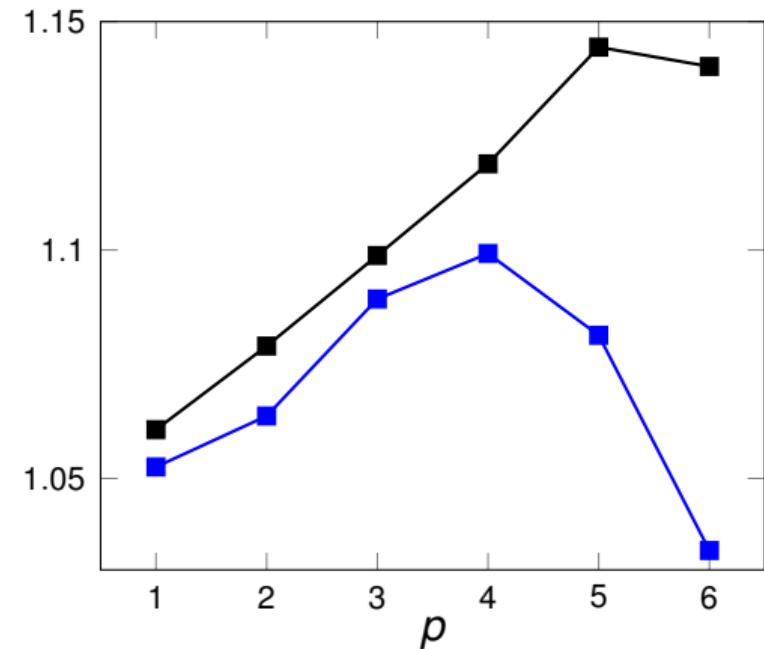
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$$\|\nabla \times (\mathbf{A} - \mathbf{A}_h)\|$$



—●— error    - - - □— estimate, struct. mesh  
 —●— error    - - - □— estimate, unstruct. mesh

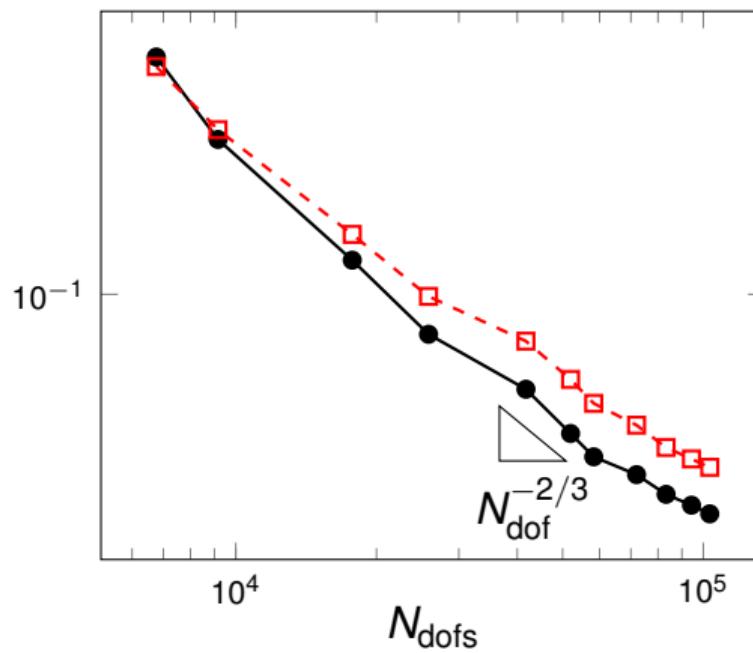
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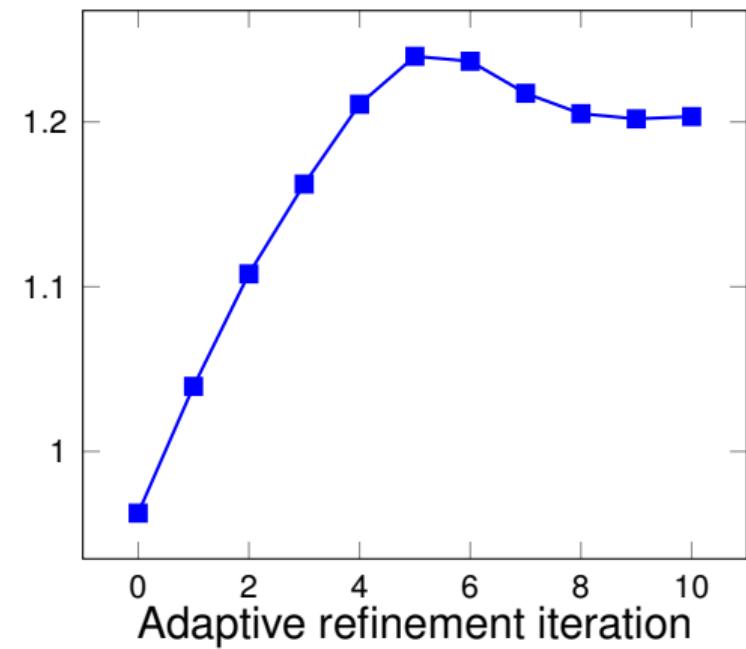
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# Patchwise equilibration, singular solution, adap. refinement ( $p = 2$ )

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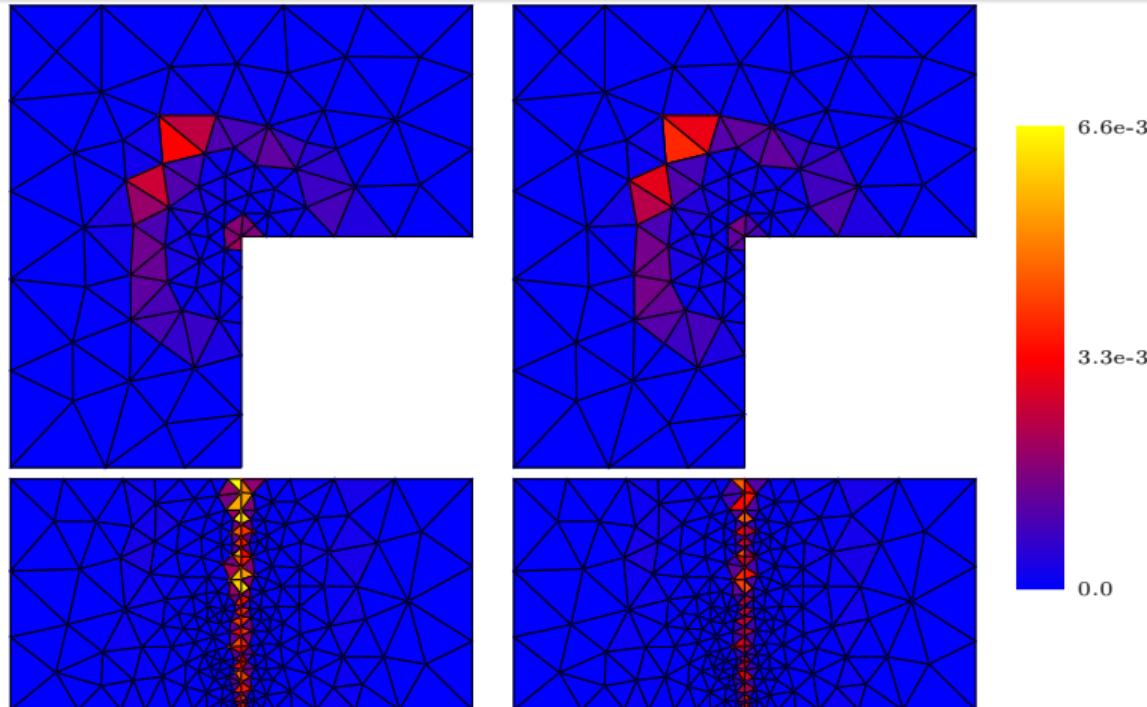
$$\text{Effectivity index } \eta / \|\nabla \times (\mathbf{A} - \mathbf{A}_h)\|$$



—●— error

-□- estimate

—■— effectivity index

Patchwise equilibration, singular solution, adap. refinement ( $p = 2$ )

Estimators (left) and actual error (right), adaptive mesh refinement iteration #10.  
Top view (top) and side view (bottom)

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Thank you for your attention!

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