

Stabilization of infinite-dimensional linear systems: An algebraist's point of view

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A workshop on linear system theory,
Model reduction
Sde Boker (15-19/09/08)

Thank you very much Paul!

- The **fractional representation approach to analysis and synthesis problems** developed by Desoer, Callier, Vidyasagar, Francis... was successful for finite-dimensional systems.

M. Vidyasagar, *Control System Synthesis: A Factorization Approach*, MIT Press, 1985.

- However, it is still in progress for infinite-dimensional systems.

R. F. Curtain, H. J. Zwart, *An Introduction to ∞ -Dimensional Linear Systems Theory*, TAM 21, Springer, 1991.

- The goal of this talk is to give a simple **overview** of certain results recently obtained based on **algebraic analysis approach**.
- In particular, we shall introduce the concept of **fractional ideals** to study transfer functions within a module-theoretic approach.

Examples of transfer functions

- Ordinary differential equation:

$$\dot{z}(t) = z(t) + u(t), \quad z(0) = 0 \quad \Rightarrow \quad \widehat{z}(s) = \frac{1}{(s-1)} \widehat{u}(s).$$

- Differential time-delay equation:

$$\begin{cases} \dot{z}(t) = z(t) + u(t), & x(0) = 0, \\ y(t) = \begin{cases} 0, & 0 \leq t < h, \\ z(t-h), & t \geq h, \end{cases} \end{cases} \Rightarrow \widehat{y}(s) = \frac{e^{-hs}}{(s-1)} \widehat{u}(s).$$

- Partial differential equation:

$$\begin{cases} \frac{\partial^2 z}{\partial t^2}(x, t) - a^2 \frac{\partial^2 z}{\partial x^2}(x, t) = 0, \\ z(x, 0) = 0, \quad \frac{\partial z}{\partial t}(x, 0) = 0, \\ z(0, t) = u(t), \quad z(l, t) = 0, \\ y(t) = z(\bar{x}, t), \end{cases} \Rightarrow \widehat{y}(s) = \frac{\left(e^{-\frac{\bar{x}}{a}s} - e^{-\frac{(2l-\bar{x})s}{a}} \right)}{\left(1 - e^{-\frac{2a}{l}s} \right)} \widehat{u}(s).$$

Examples of transfer functions

- Heat equation:

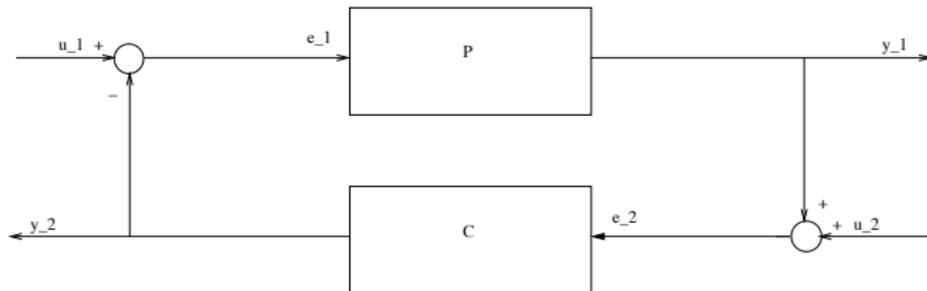
$$\left\{ \begin{array}{l} \frac{\partial z}{\partial t}(x, t) - \lambda^2 \frac{\partial^2 z}{\partial x^2}(x, t) = 0, \\ z(x, 0) = 0, \\ z(0, t) = u(t), \quad z(l, t) = 0, \\ y(t) = z(\bar{x}, t), \end{array} \right. \Rightarrow \hat{y}(s) = \frac{(e^{\lambda(l-\bar{x})\sqrt{s}} - e^{-\lambda(l-\bar{x})\sqrt{s}})}{(e^{\lambda l\sqrt{s}} - e^{-\lambda l\sqrt{s}})} \hat{u}(s).$$

- Telegraph equation:

$$\left\{ \begin{array}{l} \frac{\partial^2 z}{\partial t^2}(x, t) - a^2 \frac{\partial^2 z}{\partial x^2}(x, t) - k z(x, t) = 0, \\ z(x, 0) = 0, \quad \frac{\partial z}{\partial t}(x, 0) = 0, \\ z(0, t) = u(t), \quad \lim_{x \rightarrow +\infty} z(x, t) = 0, \\ y(t) = z(\bar{x}, t), \end{array} \right. \Rightarrow \hat{y}(s) = e^{\frac{-\sqrt{s^2 - k}}{a} \bar{x}} \hat{u}(s).$$

Stabilization problems

- Let the open-loop $\hat{u} \mapsto \hat{y} = p\hat{u}$ be unstable.
- Is it possible to find a controller c such that the closed-loop is stable (e.g., for all $u_1, u_2 \in L^2(\mathbb{R}_+)$ or $L^\infty(\mathbb{R}_+)$)?



- Can we parametrize the set of all stabilizing controllers of p ?
- Is it possible to find robust/optimal controllers c of p ?
- Is it possible to find stable controllers c of p ?

The fractional representation approach

- (Zames) **The set of transfer functions** has the structure of an **algebra** (parallel $+$, serie \circ , proportional feedback \cdot by \mathbb{R}).
- (Vidyasagar) Let A be an algebra of **stable transfer functions** with a structure of an integral domain ($a b = 0, a \neq 0 \Rightarrow b = 0$).

$Q(A) = \{p = n/d \mid 0 \neq d, n \in A\}$ represents the class of systems:

$$p \in A \Leftrightarrow p \text{ is stable, } p \in K \setminus A \Leftrightarrow p \text{ is unstable}$$

- (Zames) The algebra A should be a **normed algebra** so that the errors in the modelization & approximation of the real plant by the mathematical model can be considered

(e.g., **Banach algebra**: $\| a b \|_A \leq \| a \|_A \| b \|_A, \| 1 \|_A = 1$).

Example: Hardy algebra

- Let us define the right half plane $\mathbb{C}_+ = \{s \in \mathbb{C} \mid \operatorname{Re} s > 0\}$.
- The Hardy algebra $H^\infty(\mathbb{C}_+)$ (Banach algebra) is defined by:

$$H^\infty(\mathbb{C}_+) = \{\text{holomorphic fcts in } \mathbb{C}_+ \mid \|f\|_\infty = \sup_{s \in \mathbb{C}_+} |f(s)| < +\infty\}.$$

- The Hardy algebra $H^\infty(\mathbb{C}_+)$ is the algebra of transfer functions of $L^2(\mathbb{R}_+) - L^2(\mathbb{R}_+)$ -stable shift-invariant ∞ -dimensional systems.

- $RH_\infty = \mathbb{R}(s) \cap H^\infty(\mathbb{C}_+)$
 $= \left\{ \frac{n}{d} \mid 0 \neq d, n \in \mathbb{R}[s], \deg n \leq \deg d, d(\bar{s}) = 0 \Rightarrow \operatorname{Re} \bar{s} < 0 \right\}$

is the algebra of exponentially-stable finite-dimensional plants.

Example: Wiener algebra

- $L^1(\mathbb{R}_+) = \{f : [0, +\infty[\rightarrow \mathbb{R} \mid \|f\|_1 = \int_0^{+\infty} |f(t)| dt < +\infty\},$

$$l^1(\mathbb{Z}_+) = \{a : \mathbb{Z}_+ = \{0, 1, \dots\} \rightarrow \mathbb{R} \mid \|(a_i)_{i \in \mathbb{Z}_+}\|_1 = \sum_{i=0}^{+\infty} |a_i| < +\infty\}.$$

- The **Wiener algebra** \mathcal{A} is defined by:

$$\mathcal{A} = \{f = g + \sum_{i=0}^{+\infty} a_i \delta_{(t-h_i)} \mid g \in L^1(\mathbb{R}_+), (a_i)_{i \in \mathbb{Z}_+} \in l^1(\mathbb{Z}_+), \\ 0 = h_0 \leq h_1 \leq h_2 \leq \dots\}.$$

- \mathcal{A} is a **Banach algebra** w.r.t. $\|f\|_{\mathcal{A}} = \|g\|_1 + \|(a_i)_{i \in \mathbb{Z}_+}\|_1$.
- $\hat{\mathcal{A}} = \{\mathcal{L}(f) \mid f \in \mathcal{A}\}, \quad \|\hat{f}\|_{\hat{\mathcal{A}}} = \|f\|_{\mathcal{A}}.$
- \mathcal{A} is the **algebra of $L^\infty(\mathbb{R}_+) - L^\infty(\mathbb{R}_+)$ -stable shift-invariant ∞ -dimensional systems.**

Examples

- RH_∞ : algebra of exponentially-stable finite-dimensional plants:

$$p = \frac{1}{s-1} = \frac{\left(\frac{1}{s+1}\right)}{\left(\frac{s-1}{s+1}\right)}, \quad \frac{1}{s+1}, \quad \frac{s-1}{s+1} \in RH_\infty \Rightarrow p \in Q(RH_\infty).$$

- $\hat{\mathcal{A}}$: algebra of BIBO-stable ∞ -dimensional plants:

$$p = \frac{e^{-hs}}{s-1} = \frac{\left(\frac{e^{-hs}}{s+1}\right)}{\left(\frac{s-1}{s+1}\right)}, \quad \frac{e^{-hs}}{s+1}, \quad \frac{s-1}{s+1} \in \hat{\mathcal{A}} \Rightarrow p \in Q(\hat{\mathcal{A}}).$$

- $H^\infty(\mathbb{C}_+)$: algebra of $L^2(\mathbb{R}_+)$ -stable ∞ -dimensional plants:

$$p = \frac{(1 + e^{-2s})}{(1 - e^{-2s})} \in Q(H^\infty(\mathbb{C}_+)) : 1 + e^{-2s}, 1 - e^{-2s} \in H^\infty(\mathbb{C}_+).$$

(Weakly) coprime factorization

- Let A be an algebra of stable transfer functions and:

$$K = Q(A) = \{n/d, 0 \neq d, n \in A\}.$$

- Definition:** $p \in K$ admits a **weakly coprime factorization** if:

$$\exists 0 \neq d, n \in A: p = n/d, \quad \forall k \in K: kn, kd \in A \Rightarrow k \in A.$$

- Definition:** $p \in K$ admits a **coprime factorization over A** if:

$$\exists 0 \neq d, n, x, y \in A: p = n/d, \quad dx + ny = 1.$$

- A coprime factorization is a weakly coprime factorization:

$$\forall k \in K: kn, kd \in A \Rightarrow k = (kd)x + (kn)y \in A.$$

Internal stabilizability (Desoer)

- Let A be an algebra of stable transfer functions, $K = Q(A)$.
- Let $p \in K$ be a plant and $c \in K$ a controller.
- The closed-loop system is defined by:

$$\begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \begin{pmatrix} 1 & c \\ -p & 1 \end{pmatrix} \begin{pmatrix} e_1 \\ e_2 \end{pmatrix}, \quad \begin{cases} y_1 = e_2 - u_2, \\ y_2 = u_1 - e_1. \end{cases}$$

- **Definition:** c internally stabilizes p if we have:

$$H(p, c) = \begin{pmatrix} 1 & c \\ -p & 1 \end{pmatrix}^{-1} = \begin{pmatrix} \frac{1}{1+pc} & -\frac{c}{1+pc} \\ \frac{p}{1-pc} & \frac{1}{1+pc} \end{pmatrix} \in A^{2 \times 2}.$$

Then, c is called a stabilizing controller of p .

Strong and simultaneous stabilizabilities

- Let A be an algebra of stable transfer functions, $K = Q(A)$.
- **Definition:** $p \in K$ is **strongly stabilizable** if there exists a **stable controller** c , i.e., $c \in A$, which internally stabilizes p .
- **Definition:** The plants $p_1, \dots, p_n \in K$ are **simultaneously stabilizable** if $\exists c \in K$ which internally stabilizes p_1, \dots, p_n .
- **Interests of the strong stabilizability:**

Safety, good ability to track reference inputs.

- **Interests of the simultaneous stabilizability:**

The controller is designed to stabilize a family of plants (e.g., operating conditions, failed modes, loss of sensors/actuators).

Robust stabilizability

- Let A be a **Banach algebra** of stable transfer functions

$$\text{(e.g., } A = H^\infty(\mathbb{C}_+), \widehat{\mathcal{A}}, A(\mathbb{D}), W_+).$$

- Definition:** Let c be a stabilizing controller of $p \in Q(A)$. Then, c **robustly stabilizes** p if $\exists \epsilon > 0$ such that c internally stabilizes:

- 1 Additive perturbations:

$$B_1(p, \delta) = \{p + \delta \mid \forall \delta \in A, \|\delta\|_A < \epsilon\}.$$

- 2 Multiplicative perturbations:

$$B_2(p, \delta) = \{p/(1 + \delta p) \mid \forall \delta \in A, \|\delta\|_A < \epsilon\}.$$

- 3 Relative additive perturbations:

$$B_3(p, \delta) = \{p(1 + \delta) \mid \forall \delta \in A, \|\delta\|_A < \epsilon\}.$$

- 4 Relative multiplicative perturbations:

$$B_4(p, \delta) = \{p/(1 + \delta) \mid \forall \delta \in A, \|\delta\|_A < \epsilon\} \dots$$

A fractional ideal approach (SCL 03)

- A is an integral domain of SISO stable plants and $K = Q(A)$.
- Let $p \in K$ be a plant and let us introduce the **fractional ideal**:

$$J = (1, p) \triangleq A + Ap.$$

- J is defined by all the **stable linear combinations of 1 and p** .
- **Why do we need 1?** Algebraic answer: the structural properties of a plant p only depend on the **system**:

$$y - pu = 0 \Leftrightarrow (1 \quad -p) \begin{pmatrix} y \\ u \end{pmatrix} = 0.$$

Analysis answer: the structural properties of a plant p depend on the **graph of the unbounded operator**:

$$u \longmapsto y = pu.$$

Theory of fractional ideals

“Dedekind’s invention of ideals in the 1870s was a major turning point in the development of algebra”, Stillvell.

- **Definition:** An A -submodule J of $K = Q(A)$ is a **fractional ideal of A** if $\exists 0 \neq d \in A$ such that $(d)J = \{dj \mid j \in J\} \subseteq A$.
- A fractional ideal $J \subseteq A$ is called an **ideal** of A .
- A fractional ideal J is **principal** if $\exists k \in K$ s.t. $J = Ak = (k)$.
- $IJ = \{\sum_{i=1}^n a_i b_i \mid a_i \in I, b_i \in J\}$, $A : J = \{k \in K \mid (k)J \subseteq A\}$.
- A fractional ideal J is **invertible** if $\exists I \in \mathcal{F}(A)$ such that $IJ = A$.
If J is invertible then its inverse J^{-1} is unique and defined by $A : J$.

Main results (SCL 03)

- Let A be a ring of stable transfer functions and $K = Q(A)$.
- Let $p \in K$ be a transfer function.
- Let $J = (1, p)$ be a fractional ideal, $A : J = \{d \in A \mid d p \in A\}$.
- **Theorem:** 1. p is **stable** iff $J = (1) = A$ iff $A : J = (1) = A$.
- 2. p admits a **weakly coprime factorization** iff:

$$\exists 0 \neq d \in A: \quad A : J = (d).$$

Then, $p = n/d$, ($n = d p \in A$), is a **weakly coprime factorization**.

3. p is **internally stabilizable** iff J is **invertible**, i.e., iff:

$$\exists a, b \in A, \quad a + b p = 1, \quad a p \in A.$$

If $a \neq 0$, then $c = b/a$ is a **stabilizing controller of p** and:

$$J^{-1} = (a, b), \quad a = 1/(1 + p c), \quad b = c/(1 + p c).$$

Main results (SCL 03)

4. $c \in K$ **internally stabilizes** $p \in K$ iff we have:

$$(1, p)(1, c) = (1 + pc).$$

5. $c \in K$ **externally stabilizes** $p \in K$, i.e., $pc/(1 - pc) \in A$, iff:

$$(1, pc) = (1 + pc).$$

6. p is **strongly stabilizable** iff there exists $c \in A$ such that:

$$(1, p) = (1 + pc).$$

7. p admits a **coprime factorization** iff J is principal.

Then, there exists $0 \neq d \in A$ such that $(1, p) = (1/d)$ and $p = n/d$ is a **coprime factorization of p** ($n = dp \in A$).

Proof 1

- $J = (1, p)$, $A : J = \{d \in A \mid dp \in A\}$. If J is **invertible**, then

$$1 \in JJ^{-1} = (1, p)(A : J) = \{\alpha + \beta p \mid \alpha, \beta \in A : J\}$$

$$\Leftrightarrow \exists a, b \in A : \begin{cases} a + bp = 1, \\ ap \in A, bp \in A. \end{cases}$$

If $a \neq 0$, then $c = b/a \in K$ satisfies:

$$H(p, c) = \begin{pmatrix} \frac{1}{1+pc} & -\frac{c}{1+pc} \\ \frac{p}{1+pc} & \frac{1}{1+pc} \end{pmatrix} = \begin{pmatrix} a & -b \\ ap & a \end{pmatrix} \in A^{2 \times 2},$$

$\Rightarrow c = b/a$ **internally stabilizes** p ($a = 0 \Rightarrow c = 1 + b \text{ IS } p$).

- If p is **internally stabilizable**, then there exists $c \in K$ s.t.:

$$a = 1/(1+pc) \in A, \quad ap = p/(1+pc) \in A, \quad b = c/(1+pc) \in A.$$

Let $I = (a, b)$. Then, $a + bp = 1 \in IJ \Rightarrow IJ = A \Rightarrow I = J^{-1}$.

Example

- $A = H^\infty(\mathbb{C}_+)$, $p = \frac{e^{-s}}{s-1} \in Q(A)$, $J = (1, p)$.

$$\gcd\left(\frac{e^{-s}}{s+1}, \frac{s-1}{s+1}\right) = 1 \Rightarrow A : J = \{d \in A \mid dp \in A\} = \left(\frac{s-1}{s+1}\right).$$

- p is **internally stabilizable** iff

$$\exists a, b \in (A : J) : a + bp = 1 \Leftrightarrow \exists x, y \in A : \begin{cases} a = \left(\frac{s-1}{s+1}\right) x, \\ b = \left(\frac{s-1}{s+1}\right) y, \\ a + bp = 1. \end{cases}$$

$$\begin{aligned} a + bp = 1 &\Leftrightarrow \left(\frac{s-1}{s+1}\right) (x + py) = 1 \Leftrightarrow x = \frac{s+1}{s-1} - py \\ &\Leftrightarrow x = \frac{(s+1) - e^{-s}y}{s-1}. \end{aligned}$$

- $x \in A \Rightarrow ((s+1) - e^{-s}y(s))(1) = 0 \Rightarrow y(1) = 2e.$

Example

- Hence, we can take:

$$y(s) = 2e \Rightarrow x(s) = 1 + 2 \left(\frac{1 - e^{-(s-1)}}{s-1} \right) \in A.$$

- Therefore, we get:

$$\begin{cases} a = \left(\frac{s-1}{s+1} \right) x = \left(\frac{s-1}{s+1} \right) \left(1 + 2 \left(\frac{1 - e^{-(s-1)}}{s-1} \right) \right), \\ b = \left(\frac{s-1}{s+1} \right) y = 2e \left(\frac{s-1}{s+1} \right), \\ a + bp = 1. \end{cases}$$

\Rightarrow A **stabilizing controller** c of p is defined by:

$$c = \frac{b}{a} = \frac{2e(s-1)}{(s-1) + 2(1 - e^{-(s-1)})} = \frac{2e(s-1)}{s+1 - 2e^{-(s-1)}}.$$

Proof 2

- $J = (1, p)$ is **principal** iff there exists $0 \neq k \in K$ s.t. $J = (k)$, i.e., iff there exist $0 \neq d, n, x, y \in A$ s.t.:

$$\begin{cases} 1 = dk, \\ p = nk, \\ k = x + yp \end{cases} \Leftrightarrow \begin{cases} k = 1/d, \\ p = n/d, \\ 1/d = x + y(n/d), \end{cases} \Leftrightarrow \begin{cases} k = 1/d, \\ p = n/d, \\ dx + ny = 1. \end{cases}$$

$\Rightarrow p$ admits a **coprime factorization** $p = n/d$ iff $J = (1/d)$.

- A **principal** fractional ideal $J = (k) \neq 0$ is **invertible**:

$$J^{-1} = (1/k).$$

\Rightarrow if p admits a coprime factorization then p is stabilizable.

- $(dx) + (dy)p = 1$, i.e., $a = dx, b = dy \in J^{-1} = (d)$,

$$\Rightarrow c = b/a = y/x \in \text{Stab}(p).$$

Example

- Let $A = H^\infty(\mathbb{C}_+)$ and $p = \frac{e^{-s}}{(s-1)} \in K = Q(A)$.
- Let $J = (1, p)$ be the fractional ideal of A generated by 1 and p .
- $J = \left(\frac{s+1}{s-1}\right)$ is a **principal ideal** as we have:

$$\left\{ \begin{array}{l} 1 = \left(\frac{s-1}{s+1}\right) \left(\frac{s+1}{s-1}\right), \\ \frac{e^{-s}}{(s-1)} = \left(\frac{e^{-s}}{s+1}\right) \left(\frac{s+1}{s-1}\right), \\ \left(\frac{s+1}{s-1}\right) = \left(1 + 2 \left(\frac{1-e^{-(s-1)}}{s-1}\right)\right) + 2e \frac{e^{-s}}{(s-1)} \quad (\star). \end{array} \right.$$

$p = \frac{n}{d}$, $d = \frac{(s-1)}{(s+1)}$, $n = \frac{e^{-s}}{(s+1)}$, is a **coprime factorization** of p :

$$(\star) \Leftrightarrow \left(\frac{s-1}{s+1}\right) \left(1 + 2 \left(\frac{1-e^{-(s-1)}}{s-1}\right)\right) + \left(\frac{e^{-s}}{s+1}\right) (2e) = 1.$$

Example

- Let A be the **Wiener algebra** W_+ of holomorphic functions in the unit disc \mathbb{D} whose Taylor series converge absolutely:

$$W_+ = \left\{ f(z) = \sum_{i=0}^{+\infty} a_i z^i \mid \sum_{i=0}^{+\infty} |a_i| < +\infty \right\}.$$

- A is the algebra of the **BIBO-stable causal filters**.
- Let us consider $J = (1, p)$ where $p = e^{-\left(\frac{1+z}{1-z}\right)}$

$$\begin{cases} n = (1-z)^3 e^{-\left(\frac{1+z}{1-z}\right)} \in A, \\ d = (1-z)^3 \in A, \end{cases} \quad \Rightarrow \quad p = \frac{n}{d} \in Q(A).$$

- The ideal $A : J = \{d \in A \mid d p \in A\}$ of A is **not finitely generated** (R. Mortini & M. Von Renteln, "Ideals in Wiener algebra", J. Austral. Math. Soc., 46 (1989), 220-228).

\Rightarrow p does not admit a (weakly) coprime factorization and p is not internally stabilizable.

Example

- Let A be the **disc algebra** $A(\mathbb{D})$ of holomorphic functions in the open unit disc $\mathbb{D} = \{z \in \mathbb{C} \mid |z| < 1\}$ which are continuous on the unit circle $\mathbb{T} = \{z \in \mathbb{C} \mid |z| = 1\}$.

- We have $n = (1 - z) e^{-\left(\frac{1+z}{1-z}\right)} \in A$, $d = (1 - z) \in A$,

$$\Rightarrow p = \frac{n}{d} = e^{-\left(\frac{1+z}{1-z}\right)} \in Q(A), \quad J = (1, p).$$

- The ideal $A : J = \{d \in A \mid d p \in A\} = \{d \in A \mid d(1) = 0\}$ of A is maximal and is **not finitely generated**

(R. Mortini, "Finitely generated prime ideals in H^∞ and $A(\mathbb{D})$ ",
Math. Z., 191 (1986), 297-302).

$\Rightarrow p$ does not admit a (weakly) coprime factorization and p is not internally stabilizable.

Proof 3

- If p is **strongly stabilizable** then there exists $c \in A$ such that:

$$a = \frac{1}{1+pc} \in A, \quad ap = \frac{p}{1+pc} \in A, \quad b = \frac{c}{1+pc} = ca \in A.$$

Using the fact that $c \in A$, we obtain:

$$J^{-1} = (a, b) = (a) = ((1+pc)^{-1}) \Rightarrow J = (J^{-1})^{-1} = (1+pc).$$

- We suppose that there exists $c \in A$ such that $(1, p) = (1+pc)$

$$\Rightarrow \exists 0 \neq d, n \in A: \begin{cases} 1 = d(1+pc), \\ p = n(1+pc), \end{cases} \Rightarrow \begin{cases} p = \frac{n}{d}, \\ d + nc = 1, \end{cases}$$

$$\Rightarrow \begin{pmatrix} \frac{1}{1+pc} & -\frac{c}{1+pc} \\ \frac{p}{1+pc} & \frac{1}{1+pc} \end{pmatrix}^{-1} = \begin{pmatrix} d & -dc \\ n & d \end{pmatrix} \in A^{2 \times 2}$$

$\Rightarrow c \in A$ internally stabilizes p , i.e., **p is strongly stabilizable.**

Example

- $A = H^\infty(\mathbb{C}_+)$, $K = Q(A)$, $p = \frac{(1+e^{-2s})}{(1-e^{-2s})} \in K$.
- We have $J = (1, p) = \left(\frac{1}{1-e^{-2s}}\right)$ because:

$$\begin{cases} 1 = (1 - e^{-2s}) \frac{1}{(1 - e^{-2s})}, \\ p = \frac{(1 + e^{-2s})}{(1 - e^{-2s})} = (1 + e^{-2s}) \frac{1}{(1 - e^{-2s})}, \\ \frac{1}{(1 - e^{-2s})} = \frac{1}{2} \times 1 + \frac{1}{2} \frac{(1 + e^{-2s})}{(1 - e^{-2s})}. \end{cases}$$

$$\Rightarrow \text{coprime factorization} \begin{cases} p = \frac{(1 + e^{-2s})}{(1 - e^{-2s})}, \\ \frac{1}{2} (1 - e^{-2s}) + \frac{1}{2} (1 + e^{-2s}) = 1. \end{cases}$$

$\Rightarrow c = 1$ is a **stable stabilizing controller** of p .

- **Check:** $1 + pc = \frac{2}{(1-e^{-2s})}$ and $J = \left(\frac{1}{1-e^{-2s}}\right) = (1 + pc)$.

Bass stable rank (Feintuch, Blondel, SIAM 04)

- **Definition:** The **stable rank** of a ring A equals 1, denoted by $\text{sr}(A) = 1$, if, for every d and n of A satisfying $dx + ny = 1$ for certain x and y of A , then there exists $c \in A$ such that:

$$d + nc \in U(A) = \{a \in A \mid \exists b \in A : ab = ba = 1\}.$$

- **Theorem:** Let A be a ring of transfer functions and $K = Q(A)$. Then, every transfer function $p \in K$ which admits a **coprime factorization** is **strongly stabilizable** iff $\text{sr}(A) = 1$.

- **Example:** The following Banach algebras $H^\infty(\mathbb{C}_+)$,

$$H^\infty(\mathbb{D}), A(\mathbb{D}), W_+, L_1(\mathbb{R}_+) + \mathbb{C}\delta, L^\infty(i\mathbb{R}), \mathbb{C}(s) \cap H^\infty(\mathbb{C}_+)$$

have a **stable rank equal to 1**

(Treil 92, Jones/Marshall/Wolff 86, Rupp 90,
Mikkola/Sasane 07, Handelmann 79).

Topological stable rank (SIAM 04)

- $U_n(A) = \{a = (a_1, \dots, a_n) \in A^n \mid \exists b = (b_1, \dots, b_n) : a b^T = 1\}$.
- **Definition:** If A is a Banach algebra, then the **topological stable rank** $\text{tsr}(A)$ of A is the least integer n such that $U_n(A)$ is dense in $A^{1 \times n}$ for the product topology (else we set $\text{tsr}(A) = \infty$).
- **Proposition** (Rieffel, 83): $\text{sr}(A) \leq \text{tsr}(A)$.
- **Theorem:** Let A be a Banach algebra such that $\text{tsr}(A) = 2$, then **every system** – defined by a transfer function $p = n/d$ ($0 \neq d, n \in A$) – **is as close as we want to a plant which admits a coprime factorization**, i.e.:

$$\forall \epsilon > 0, \exists (d_\epsilon, n_\epsilon) \in U_2(A) : \begin{cases} \|d - d_\epsilon\|_A \leq \epsilon, \\ \|n - n_\epsilon\|_A \leq \epsilon. \end{cases}$$

- **Theorem:** $\text{tsr}(H^\infty(\mathbb{D})) = 2$ (Suárez 96), $\text{tsr}(A(\mathbb{D})) = 2$ (Rieffel 83), $\text{tsr}(W_+) = 2$ (Sasane).

Robust stabilization

- Let $p \in Q(A)$ and $\delta \in A$.
- $c \in Q(A)$ internally stabilizes p and $p + \delta$ iff we have:

$$\begin{cases} (1, p)(1, c) = (1 + pc), \\ (1, p + \delta)(1, c) = (1 + (p + \delta)c), \end{cases} \Leftrightarrow \begin{cases} (1, p)(1, c) = (1 + pc), \\ (1, p)(1, c) = (1 + (p + \delta)c), \end{cases}$$

$$\Leftrightarrow \begin{cases} (1, p)(1, c) = (1 + pc), \\ \left(\frac{1 + (p + \delta)c}{1 + pc} \right) = \left(1 + \frac{\delta c}{1 + pc} \right) = A, \end{cases} \Leftrightarrow \begin{cases} c \text{ IS } p, \\ 1 + \frac{\delta c}{(1 + pc)} \in U(A). \end{cases}$$

- If A is a Banach algebra, then (small gain theorem):

$$\|1 - a\|_A < 1 \Rightarrow a \in U(A) = \{a \in A \mid \exists b \in A : ab = ba = 1\}.$$

\Rightarrow A sufficient condition for robust stabilization is:

$$\|\delta\|_A < \|c/(1 + pc)\|_A^{-1}.$$

Robust stabilization

- Let A be a Banach algebra, $p \in Q(A)$ and $\delta \in A$.
- c internally stabilizes p and $p/(1 + \delta p)$ iff we have:

$$\begin{cases} (1, p)(1, c) = (1 + pc), \\ \left(1, \frac{p}{1 + \delta p}\right)(1, c) = \left(1 + \frac{pc}{1 + \delta p}\right), \end{cases}$$

$$\Leftrightarrow \begin{cases} (1, p)(1, c) = (1 + pc), \\ (1 + \delta p, p)(1, c) = (1 + pc + \delta p), \end{cases}$$

$$\Leftrightarrow \begin{cases} (1, p)(1, c) = (1 + pc), \\ \left(\frac{1 + pc + \delta p}{1 + pc}\right) = \left(1 + \frac{\delta p}{1 + pc}\right) = A, \end{cases} \Leftrightarrow \begin{cases} c \text{ IS } p, \\ 1 + \frac{\delta p}{1 + pc} \in U(A). \end{cases}$$

\Rightarrow A sufficient condition for robust stabilization is:

$$\|\delta\|_A < \|p/(1 + pc)\|_A^{-1}.$$

General Q-parametrization (SCL 03)

- **Theorem:** Let c be a **stabilizing controller** of $p \in Q(A)$,

$$a = 1/(1 + pc), \quad b = c/(1 + pc), \quad J = (1, p).$$

Then, **all stabilizing controllers** of p are

$$c(q_1, q_2) = \frac{b + a^2 q_1 + b^2 q_2}{a - a^2 p q_1 - b^2 p q_2}, \quad (*)$$

where q_1 and q_2 any element of A : $a - a^2 p q_1 - b^2 p q_2 \neq 0$.

1. $(*)$ depends on **only one free parameter**

$$\Leftrightarrow p^2 \text{ admits a coprime factorization } p^2 = s/r.$$

$$(*) \Leftrightarrow c(q) = \frac{b + r q}{a - r p q}, \quad \forall q \in A: a - r p q \neq 0.$$

2. If p admits a **coprime factorization** $p = n/d$, $dx + ny = 1$:

$$(*) \Leftrightarrow c(q) = \frac{y + d q}{x - n q}, \quad \forall q \in A: x - n q \neq 0.$$

Solving Zames-Francis' conditions

- Let $p \in Q(A)$ be an internally stabilizable plant

$$\Leftrightarrow \exists a, b \in A, \quad a + b p = 1, \quad a p \in A, \quad (\star)$$

$$\Leftrightarrow \exists b \in A, \quad b p, \quad p(1 + b p) \in A. \quad (\text{Zames-Francis})$$

- If $a \neq 0$, then $c = b/a = b/(1 + b p)$ internally stabilizes p .

- $J = (1, p)$ is invertible and $J^{-1} = (a, b) \Rightarrow J^2 = (1, p, p^2)$

$$\Rightarrow J^{-2} = (J^2)^{-1} = \{\alpha \in A \mid \alpha p, \alpha p^2 \in A\}.$$

$$\Rightarrow J^{-2} = (J^{-1})^2 = (a, b)^2 = (a, a b, b^2).$$

- Using (\star) , we get $a b = (b) a^2 + (a p) b^2 \in (a^2, b^2)$

$$\Rightarrow J^{-2} = (a^2, a b, b^2) = (a^2, b^2).$$

Solving Zames-Francis' conditions

- Let us find all the possible a' and b' satisfying:

$$\exists a', b' \in A, \quad a' + b' p = 1, \quad a' p \in A, \quad (1)$$

- Using the fact that $a, b, a p \in A$ and $a + b p = 1$, we get:

$$(b' - b) p = a - a' \in A, \quad (b' - b) p^2 = (a - a') p \in A,$$

$$\Rightarrow b' - b \in \{\alpha \in A \mid \alpha p, \alpha p^2 \in A\} = (a^2, b^2),$$

$$\Rightarrow \exists q_1, q_2 \in A: \quad \begin{cases} b' = b + q_1 a^2 + q_2 b^2, \\ a' = a - (q_1 a^2 + q_2 b^2) p, \end{cases} \quad (2)$$

$$\Rightarrow c' = \frac{b'}{a'} = \frac{b + q_1 a^2 + q_2 b^2}{a - (q_1 a^2 + q_2 b^2) p} \in \text{Stab}(p).$$

- We can check that, for all q_1 and $q_2 \in A$, (2) satisfies (1).

Zames-Francis Q -parametrization

- Let $p = \frac{n}{d}$ be a **coprime factorization of p** over A :

$$d x + n y = 1.$$

$$\Rightarrow J = (1/d) \Rightarrow J^{-2} = (d^2),$$

$$\Rightarrow a = d x, b = d y \in J^{-1} = (d) : a + b p = 1, \quad a p \in A.$$

$$\Rightarrow c(q) = \frac{b + q d^2}{a - q d^2 p} = \frac{d y + q d^2}{d x - d n q} = \frac{y + q d}{x - n q}.$$

- **Conclusion:** We have just found again **Zames-Francis** and **Youla-Kučera parametrizations of all stabilizing controllers of p .**

Distributed delay

- $p = e^{-s}/(s-1)$ is stabilized by the controller (distributed delay):

$$c = 2e(s-1) / (s+1 - 2e^{-(s-1)}).$$

$$\begin{cases} a = \frac{1}{(1+pc)} = \frac{(s+1-2e^{-(s-1)})}{(s+1)} \in H^\infty(\mathbb{C}_+), \\ b = \frac{c}{1+pc} = \frac{2e(s-1)}{(s+1)} \in H^\infty(\mathbb{C}_+), \\ ap = \frac{p}{(1+pc)} = \frac{e^{-s}}{(s+1)} \frac{(s+1-2e^{-(s-1)})}{(s-1)} \in H^\infty(\mathbb{C}_+). \end{cases}$$

- We obtain that **all stabilizing controllers of p** have the form:

$$c(q) = \frac{2e+q \frac{(s-1)}{(s+1)}}{1+2 \left(\frac{1-e^{-(s-1)}}{s-1} \right) - q \frac{e^{-s}}{(s+1)}}, \quad q = \left(1 + 2 \left(\frac{1-e^{-(s-1)}}{s-1} \right) \right)^2 q_1 + 4e^2 q_2.$$

\Rightarrow the **Youla-Kučera parametrization** for the coprime factorization:

$$p = \frac{n}{d}, \quad n = \frac{e^{-s}}{(s+1)}, \quad d = \frac{(s-1)}{(s+1)}, \quad d \left(1 + 2 \left(\frac{1-e^{-(s-1)}}{s-1} \right) \right) + n(2e) = 1.$$

- Let $A = \mathbb{R}[x^2, x^3]$ be the ring of discrete time delay systems without the unit delay.
- A is used for high-speed circuits, computer memory devices. . .
- $p = (1 - x^3)/(1 - x^2) \in Q(A)$, $J = (1, p)$.
- Using $(1 - x^3)(1 + x^3) = (1 - x^2)(1 + x^2 + x^4)$, we get

$$p = \frac{(1 - x^3)}{(1 - x^2)} = \frac{(1 + x^2 + x^4)}{(1 + x^3)}.$$

$A : J = (1 - x^2, 1 + x^3)$ is not principal because $(x + 1) \notin A$.

$\Rightarrow p$ does not admit a (weakly) coprime factorization.

- $J(A : J) = (1 - x^2, 1 + x^3, 1 - x^3, 1 + x^2 + x^4)$.

- $(1 + x^3)/2 + (1 - x^3)/2 = 1 \in J(A : J)$

$$\Rightarrow \begin{cases} a = (1 + x^3)/2 \in A : J, \\ b = (1 - x^2)/2 \in A : J, \\ a + bp = 1, \end{cases}$$

$$\Rightarrow c = b/a = (1 - x^2)/(1 + x^3) \text{ internally stabilizes } p.$$

- $J^{-1} = (1 - x^2, 1 + x^3) \Rightarrow J^{-2} = ((1 - x^2)^2, (1 + x^3)^2)$.

- $(x + 1) \notin A \Rightarrow J^{-2}$ is not principal ideal of A .

\Rightarrow All stabilizing controllers of p have the form

$$c(q_1, q_2) = \frac{2(1 - x^2) + (1 + x^3)^2 q_1 + (1 - x^2)^2 q_2}{2(1 + x^3) - (1 + x^3)(1 + x^2 + x^4) q_1 - (1 - x^2)(1 - x^3) q_2},$$

for all $q_1, q_2 \in A$ such that the denominator exists.

Convexity of $H(p, c)$

- Let c_* be a **stabilizing controller** of $p \in Q(A)$.
- All stabilizing controllers of p are parametrized by

$$c(q_1, q_2) = \frac{(1 + p c_*) c_* + q_1 + q_2 c_*^2}{(1 + p c_*) - q_1 p - q_2 p c_*^2}$$

$$\forall q_1, q_2 \in A: (1 + p c_*) - q_1 p - q_2 p c_*^2 \neq 0.$$

- Then, the **closed-loop system**

$$\begin{pmatrix} e_1 \\ e_2 \end{pmatrix} = \begin{pmatrix} \frac{1}{1+pc} & -\frac{c}{1+pc} \\ \frac{p}{1+pc} & \frac{1}{1+pc} \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \text{ is affine/convex in the } q_i\text{'s}$$

$$\begin{pmatrix} \frac{1}{1+pc_*} - q_1 \frac{p}{(1+pc_*)^2} - q_2 \frac{pc_*^2}{(1+pc_*)^2} & -\frac{c_*}{1+pc_*} - q_1 \frac{1}{(1+pc_*)^2} - q_2 \frac{c_*^2}{(1+pc_*)^2} \\ \frac{p}{1+pc_*} - q_1 \frac{p^2}{(1+pc_*)^2} - q_2 \frac{(pc_*)^2}{(1+pc_*)^2} & \frac{1}{1+pc_*} - q_1 \frac{p}{(1+pc_*)^2} - q_2 \frac{pc_*^2}{(1+pc_*)^2} \end{pmatrix}$$

$$\text{i.e., } \forall \lambda \in A: \quad H(p, c(\lambda q_1 + (1-\lambda) q'_1, \lambda q_2 + (1-\lambda) q'_2)) \\ = \lambda H(p, c(q_1, q_2)) + (1-\lambda) H(p, c(q'_1, q'_2)).$$

Sensitivity minimization

- Let A be a Banach algebra (e.g., $H^\infty(\mathbb{C}_+)$, $\widehat{\mathcal{A}}$, $W_+ \dots$).
- Let c_\star be a stabilizing controller of $p \in Q(A)$ and:

$$a = 1/(1 + p c_\star), \quad b = c_\star/(1 + p c_\star) \in A.$$

- Let $w \in A$ be a weighted function. Then, we have:

$$\inf_{c \in \text{Stab}(p)} \|w/(1+pc)\|_A = \inf_{q_1, q_2 \in A} \|w(a - a^2 p q_1 - b^2 p q_2)\|_A \quad (*)$$

i.e., $(*)$ is a convex problem in the q_i 's!

- If $p = n/d$ is a coprime factorization ($x, y \in A, dx + ny = 1$)

$$\Rightarrow a - a^2 p q_1 - b^2 p q_2 = d(x - nq).$$

$\forall q \in A, \quad \exists q_1, q_2 \in A: \quad q = x^2 q_1 + y^2 q_2, \quad \text{where:}$

$$q_1 = d^2(1 + 2ny)q, \quad q_2 = n^2(1 + 2dx)q.$$

$$(*) \Leftrightarrow \inf_{q \in A} \|w d(x - nq)\|_A.$$

Classification of the rings A (SIAM 03)

- **Theorem:** Let A be a integral domain of stable transfer functions and $K = Q(A)$.
 1. Every transfer function $p \in K$ admits a **weakly coprime factorization** iff A is a **GCDD**, i.e., any two elements of A admits a greatest common divisor (**coherent Sylvester domain**).
 2. Every transfer function $p \in K$ is **internally stabilizable** iff A is a **Prüfer domain**, i.e., any f.g. ideal of A is invertible.
 3. Every transfer function $p \in K$ admits a **coprime factorization** iff A is a **Bézout domain**, i.e., any f.g. ideal of A is principal (Vidya.).
- RH_∞ is a **PID** \Rightarrow GCD, Prüfer and Bézout domains.
- $H^\infty(\mathbb{C}_+)$ is a **GCDD** but is not a Prüfer and a Bézout domain.

- **Definition:** An integral domain A is a **pre-Bézout ring** if, for every pair (d, n) of elements of A which admits a **greatest common divisor** $\gcd(d, n)$, then there exist $x, y \in A$ satisfying:

$$d x + n y = \gcd(d, n).$$

- **Example:** $A(\mathbb{D})$ and W_+ are **pre-Bézout ring**.
- **Proposition:** Let A be a pre-Bézout ring. Then, $1 \Leftrightarrow 2$:
 1. $p \in Q(A)$ admits a **weakly coprime factorization**.
 2. $p \in Q(A)$ admits a **coprime factorization**.
- Some of the previous results are nicely explained in the booklet:
A. Sasane, [Algebras of Holomorphic Functions and Control Theory](#).

Operator-theoretical approach (Acta 05)

- Let \mathcal{F} be a A -module, $p \in Q(A)$ and $u \in \mathcal{F}$.
- What is the meaning of pu ?

$$pu = y \Leftrightarrow \forall d \in A : J, (dp)u = dy \quad (\star).$$

$$\Rightarrow \begin{cases} \text{ann}_{\mathcal{F}}(A : J) = \{z \in \mathcal{F} \mid \forall d \in A : J, dz = 0\}, \\ \overline{\mathcal{F}} = \mathcal{F} / \text{ann}_{\mathcal{F}}(A : J), \end{cases}$$

and we denote by \overline{y} the residue class of y in $\overline{\mathcal{F}}$ satisfying (\star) .

- We can introduce the following two A -modules:

$$\begin{cases} \text{dom}_{\mathcal{F}}(p) = \{u \in \mathcal{F} \mid pu \in \overline{\mathcal{F}}\}, \\ \text{graph}_{\mathcal{F}}(p) = \{(u \quad pu)^T \in \mathcal{F} \times \overline{\mathcal{F}} \mid u \in \mathcal{F}\}. \end{cases}$$

- If \mathcal{F} be a torsion-free A -module, i.e.,

$$t(\mathcal{F}) = \{z \in \mathcal{F} \mid \exists 0 \neq d \in A, dz = 0\},$$

then we have $\overline{\mathcal{F}} = \mathcal{F}$ (e.g., H^2 is a torsion-free (flat) H^∞ -module).

Operator-theoretical approach (Acta 05)

- We have the **exact sequence** of A -module:

$$0 \longleftarrow J \xleftarrow{f} A^{1 \times 2} \xleftarrow{g} A : J \longleftarrow 0, \quad (\square)$$

$$\begin{array}{ccc} A^{1 \times 2} & \xrightarrow{f} & J \\ (a \ b) & \mapsto & a + b p, \end{array} \quad \begin{array}{ccc} A : J & \xrightarrow{g} & A^{1 \times 2} \\ d & \mapsto & (-d p \ d). \end{array}$$

- Applying $\text{hom}_A(\cdot, \mathcal{F})$ to (\square) gives the **exact sequence**

$$0 \longrightarrow \text{hom}_A(J, \mathcal{F}) \xrightarrow{f^*} \mathcal{F}^2 \xrightarrow{g^*} \text{hom}_A(A : J, \mathcal{F}) \longrightarrow \text{ext}_A^1(J, \mathcal{F}) \longrightarrow 0,$$

which yields, after some computations, to the **exact sequence**

$$0 \longrightarrow \text{dom}_{\mathcal{F}}(p) \xrightarrow{\begin{pmatrix} 1 \\ p \end{pmatrix}} \mathcal{F} \times \overline{\mathcal{F}} \xrightarrow{\sigma} \text{hom}_A(A : J, \mathcal{F}) \longrightarrow \text{ext}_A^1(J, \mathcal{F}) \longrightarrow 0,$$

$$\forall u \in \mathcal{F}, \quad \forall \bar{y} \in \overline{\mathcal{F}}, \quad \sigma((u \ \bar{y})) = y - p u.$$

Conclusion (MCSS06 $\times 2$)

- The extension of the previous results to MIMO systems uses the so-called **theory of lattices**.
- If $P \in K^{q \times r}$, then we have the lattices:

$$\mathcal{L} = (I_q \quad -P)A^{q+r}, \quad \mathcal{M} = A^{1 \times (q+r)} \begin{pmatrix} P \\ I_r \end{pmatrix},$$

$$A: \mathcal{L} = \{\lambda \in A^{1 \times q} \mid \lambda P \in A^{1 \times r}\}, \quad A: \mathcal{M} = \{\lambda \in A^r \mid P \lambda \in A^q\},$$

- Moreover, if $P = D^{-1}N = \tilde{N}\tilde{D}^{-1}$, $R = (D \quad -N) \in A^{q \times (q+r)}$ and $\tilde{R} = (\tilde{N}^T \quad \tilde{D}^T)^T \in A^{(q+r) \times r}$, then we have the lattices:

$$\mathcal{P} = RA^{q+r}, \quad \mathcal{Q} = A^{1 \times (q+r)}\tilde{R},$$

$$A: \mathcal{P} = \{\lambda \in K^{1 \times q} \mid \lambda R \in A^{1 \times (q+r)}\}, \quad A: \mathcal{Q} = \{\lambda \in K^r \mid \tilde{R}\lambda \in A^{q+r}\}$$

- Certain of these lattices were already used by Paul in his famous paper "Algebraic system theory: an analyst's point of view" 

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